



NON-CONFORMITY (NC), CORRECTIVE ACTION (CA) AND CLEARANCE REPORT

NC Report no.:			
Entity (applicant or Independent):		UNFCCC ref. no.:	
Name of the Entity Representative who receives the finding description:			
Non-conformity / Observation <i>(strike-off as appropriate)</i>	Section/Subsection of the JI Accreditation Standard:	Paragraph number(s) of relevant requirement(s):	
PART 1: DETAILED DESCRIPTION OF FINDING:			
Signature of Entity Representative:	Date	Signature of JI-AT Team Leader:	Date
PART 2: ANALYSIS AND ACTION PROPOSED BY THE ENTITY:			
2.a. SUMMARY OF ROOT CAUSE ANALYSIS			
2.b. CORRECTIVE ACTION (as applicable)			
2.c. CORRECTION (as applicable)			
Proposed date for completion of proposed action		Name and signature of Entity Representative:	
PART 3: ASSESSMENT OF PROPOSED CORRECTIVE ACTION:			
<input type="checkbox"/> Accepted (Please move to the part 6 below, if the CA is accepted.)		<input type="checkbox"/> Not Accepted	
Date:		Signature JI-AT Team Leader/ Member	
PART 4: FURTHER CORRECTIVE ACTION PROPOSED BY THE ENTITY:			
4.a. SUMMARY OF ROOT CAUSE ANALYSIS			

4.b. CORRECTIIVE ACTION (as applicable)		
4.c. CORRECTION (as applicable)		
Proposed date for completion of proposed action		Name and signature of Entity Representative:
PART 5: FURTHER ASSESSMENT OF PROPOSED CORRECTIVE ACTION:		
<input type="checkbox"/> Accepted <input type="checkbox"/> Not Accepted		
Date:	Signature JI-AT Team Leader/ Member	
PART 6: CORRECTIVE ACTION IMPLEMENTED AND COMPLETED (report by Entity Representative, use separate sheet if necessary):		
Date:	Signature Entity Representative	
PART 7: VERIFICATION OF IMPLEMENTED CORRECTIVE ACTION:		
<input type="checkbox"/> Closed <input type="checkbox"/> Not Closed (Please complete the part 8 and 9 below, if the NC is not closed.)		
Date:	Signature JI-AT Team Leader/ Member	
PART 8: CORRECTIVE ACTION FURTHER IMPLEMENTED AND COMPLETED (report by Entity Representative, use separate sheet if necessary):		
Date:	Signature Entity Representative	

PART 9: RE-VERIFICATION OF IMPLEMENTED CORRECTIVE ACTION:

Closed

Not Closed

Date:

Signature

JI-AT Team Leader/ Member

History of the form

Version	Date	Nature of revision
02	27 May 2011	Revision of the form to incorporate operational steps
01	27 Oct 2006	Initial document.
Decision Class: Regulatory		
Document Type: Form		
Business Function: Accreditation		