



## WORKPLAN FOR THE JOINT IMPLEMENTATION SUPERVISORY COMMITTEE FOR 2011–2013

(Version 01)

### I. BACKGROUND

1. The Joint Implementation Supervisory Committee (JISC), at its twenty-third meeting (extraordinary meeting), considered the updated financial status for the work on joint implementation (JI), and noted that there was still a significant shortfall from the budget presented in the joint implementation management plan (JI-MAP) for 2010–2011 (version 01). At the same meeting, the JISC considered the revision of the JI-MAP and agreed to continue its deliberations at its next meeting. For this purpose, the JISC requested the secretariat to prepare a draft revised JI-MAP including a contingency plan, which would strictly prioritize JISC activities, taking into account the latest financial situation as well as the financial projection up to 2012.

2. Also at the same meeting, the JISC requested the secretariat to prepare a draft JISC work plan up to 2013 when most verifications relating to the first commitment period to the Kyoto Protocol would be concluded, taking into account the proposed actions included in the “Report on experience with the verification procedure under the Joint Implementation Supervisory Committee and possible improvements in the future operation of joint implementation” prepared by the JISC and submitted to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its sixth session, as well as any mandate from the CMP to be given at its sixth session.

3. The CMP, at its sixth session, adopted decision 4/CMP.6 on “Guidance on the implementation of Article 6 of the Kyoto Protocol” containing a number of mandates to the JISC.

### II. VISION AND OBJECTIVES

4. The overarching vision for the work of the JISC in the period of 2011–2013 is to firmly establish JI as an effective tool of international collaboration for developed country Parties in mitigating their emissions of greenhouse gases and to provide a robust basis for building upon JI as a means of mitigating greenhouse gas emissions in the period beyond 2012.

5. In pursuit of this vision, the JISC has identified three major objectives to be achieved over the next three years:

- (a) **Greater efficiency in the continued operation of JI.** The JISC shall secure resources required for the continued operation of the mechanism, including the processing of new submissions relating to projects and accreditation, and strengthen its policy guidance to ensure its clarity and improve its usability in both the short and long term;
- (b) **Enhanced promotion of the mechanism.** The JISC shall strengthen its outreach to ensure an enhanced understanding among stakeholders and policy makers of the benefits and contributions of JI to addressing climate change;
- (c) **Effective contribution to the future development of JI.** The JISC shall contribute to the consideration of Parties and other experts of how JI can be further developed and used in the period beyond 2012, including through contributing to the intergovernmental process in this matter.

### III. WORK PLAN

6. For each objective listed in paragraph 5 above, this section lists action areas proposed by the JISC in the report referred to in paragraph 2 above and those mandated by the CMP at its sixth session through the decision referred to in paragraph 3 above and sets out the steps and time frame for each action.

**Joint Implementation Supervisory Committee****A. Greater efficiency in the continued operation of JI**

**Action 1:** Processing submissions of project design documents (PDDs), determinations, monitoring reports and verifications, including possible JISC reviews of determinations/verifications, in accordance with the relevant JI project cycle procedures.

**Steps:** In accordance with existing relevant procedures.

**Time frame:** Continuous (top priority).

**Action 2:** Processing accreditation applications and accreditation assessments of independent entities in accordance with the JI accreditation procedure.

**Steps:** In accordance with existing relevant procedures.

**Time frame:** Continuous (top priority).

**Action 3:** Developing a contingency plan identifying further resource savings in relation to its JI-MAP for the biennium 2010–2011. This plan is to strictly prioritize activities of the JISC, taking into account the action areas listed in this paper for re-orientating the present work programme of the JISC, activities required by JI stakeholders for the day-to-day operation of the mechanism, and ongoing updates on financial status and expectations of future fee income. To the extent possible, meetings of the JISC and JI-AP will be minimized for the immediate future, as will staff recruitment, until the financial situation is more alleviated.

- Steps:**
- (i) The secretariat to draft a revised JI-MAP for the biennium 2010–2011 (version 02);
  - (ii) The JISC to consider the draft and adopt it with modifications as appropriate;

**Time frame:** Concluding at JISC 24.

**Action 4:** Revising the provisions for the charging of fees to cover the administrative costs relating to the activities of the JISC, by:

- (a) Establishing provisions for the charging of fees for activities under the Track 1 procedure, by introducing a fee of up to USD 20,000 for each large-scale project, including programmes of activities, and a fee of up to USD 5,000 for each small-scale project and for each programme of activities composed of small-scale project activities, with the fees payable upon publication of project documentation on the UNFCCC website;
- (b) Considering increasing the non-reimbursable portion of the advance fee payment due at determination.

- Steps:**
- (i) The secretariat to draft revised provisions for the charging of fees to cover the administrative cost relating to the activities of the JISC;
  - (ii) The JISC to consider the draft and adopt it with modifications as appropriate.

**Time frame:** Concluding at JISC 24 (starting to apply from 1 March 2011 as per decision 4/CMP.6).

**Action 5:** Preparing recommendations to the CMP for its seventh session on amendments to the fee structure including, inter alia, the introduction of a fixed annual fee payable by host Parties.

- Steps:**
- (i) The JISC to discuss and decide on general direction;
  - (ii) The secretariat to prepare draft recommendations to the CMP;

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- (iii) The JISC to consider the drafts and adopt them with modifications as appropriate.

**Time frame:** Starting at JISC 25, concluding at JISC 26.

<p><b>Action 6:</b> Revising relevant policy guidance documents issued by the JISC by:</p> <ul style="list-style-type: none"><li>(a) Ensuring the consistent definition of “JI project” and “Project” between documents;</li><li>(b) Avoiding duplications, repetitions and inconsistencies between documents in relation to baseline setting and monitoring;</li><li>(c) Clarifying the use of English language, the confidentiality requirements and the possibility of modifying the JI PDD form in specific cases;</li><li>(d) Implementing editorial changes in the guidelines for users of PDD form and the PDD form;</li><li>(e) Revising the document “Guidance on criteria for baseline setting and monitoring” incorporating:<ul style="list-style-type: none"><li>(i) Applying the concept of materiality in establishing the project boundary and estimating leakage;</li><li>(ii) Clarifying the option in baseline setting of a JI-specific approach already taken in comparable cases;</li><li>(iii) Clarifying which aspects of the CDM additionality tool, and its associated guidelines, are applicable under the Track 2 procedure;</li></ul></li><li>(f) Merging the documents “Guidance on criteria for baseline setting and monitoring (version 2)” and “Guidelines for users of JI PDD form (including those for SSC and LULUCF projects) (version 4)” into a "PDD Manual" to consolidate and ensure the coherence of all requirements and instructions for JI project development.</li></ul>
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- Steps:**
- (i) The JISC to discuss and decide on the priority areas/scope of clarifications/revisions;
  - (ii) The secretariat to draft revisions of relevant guidance documents and forms;
  - (iii) The JISC to consider the drafts and adopt them with modifications, as appropriate.

**Time frame:** Starting at JISC 25, aiming to conclude by JISC 26 for the priority area (e). The necessity and time frame for other areas to be decided at future JISC meetings.

<p><b>Action 7:</b> Exploring possible setting of time limit or expectations for each phase of the JI project cycle, in particular the time between publication of a PDD and when its determination is deemed final, through, among others, the revision of the review process in order to create more independence from the JISC meeting cycle.</p>
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- Steps:**
- (i) The JISC to discuss and decide on general direction (e.g. discussing pros and cons of introducing such time limit, learning experience from the CDM process);
  - (ii) The JISC to conduct stakeholder consultations (roundtable discussion and/or call for public inputs);

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- (iii) The secretariat to draft revisions of relevant procedural documents;
- (iv) The JISC to consider the drafts and adopt them with modifications, as appropriate.

**Time frame:** Starting at JISC 25, aiming to conclude at JISC 26.

**Action 8:** Making use of electronic decision-making, in particular in relation to reviews.

- Steps:**
- (i) The secretariat to draft revisions of relevant procedural documents;
  - (ii) The JISC to consider the drafts and adopt them with modifications, as appropriate.

**Time frame:** Concluding at JISC 25, aiming to start implementing immediately after JISC 25.

**Action 9:** Considering revisions of guidance to encourage and support simple and innovative methodological approaches, including:

- (a) The application of standardized baselines and default values, such as emission factors or energy intensity indicators, to increase the level of objectivity in baseline calculations and facilitate the development of projects;
- (b) The streamlined demonstration of additionality, for example through agreeing on “positive lists” of technologies, perhaps related to renewable energy or energy efficiency, which would be deemed to meet the relevant additionality requirements, or clarifying the requirements for additionality;
- (c) Allowing multiple measures that reduce emissions or enhance removals in a single project;
- (d) Further developing guidelines for programmatic approaches under JI, including programmes of activities.

- Steps:**
- (i) The JISC to discuss and decide on priority areas;
  - (ii) The JISC to conduct stakeholder consultations (roundtable discussion and/or call for public inputs);
  - (iii) The secretariat to draft revisions or develop relevant guidance documents;
  - (iv) The JISC to consider the drafts and adopt them with modifications, as appropriate.
  - (v) The JISC to flag other areas for possible changes to the CMP as an area for consideration in the review of the JI guidelines as referred to in Action 16: below.

**Time frame:** Starting at JISC 26, continuing in 2012.

**Action 10:** Revising the JI accreditation procedure for implementing the following decisions by the JISC made at its past meetings regarding the process of witnessing activities required by the current JI accreditation procedure, as well as for further streamlining the accreditation process through building on synergy and lessons from other accreditation processes, including the possibility of removing the provision requiring a witnessing activity as part of the initial accreditation of an independent entity:

- (a) The JISC agreed, at its twenty-first meeting, to replace ex-post witnessing activities with performance assessments, while maintaining one successful initial witnessing activity before granting accreditation;

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- (b) The JISC also decided, at its twenty-first meeting, that Track 1 projects may be used for witnessing activities, provided that Track 2 rules and requirements were applied in determination or verification. This action was taken to relieve some of the difficulties in identifying suitable Track 2 projects for witnessing purposes;
- (c) The JISC decided, at its twenty-second meeting, to temporarily suspend the provisions in the JI accreditation procedure requiring “ex post witnessing activities” within one and a half years for sectoral scopes not witnessed under the initial accreditation. This action was taken in order to avoid suspending the accreditation of AIEs on these grounds.

- Steps:**
- (i) The secretariat to prepare a proposal for areas for streamlining the JI accreditation process;
  - (ii) The JI-AP to consider the proposal and decide on which processes to be changed and how;
  - (iii) The secretariat to draft a revised JI accreditation procedure;
  - (iv) The JI-AP to consider the draft revised JI accreditation procedure and recommend it to the JISC with modifications as appropriate;
  - (v) The JISC to consider the draft and adopt it with modifications as appropriate.

**Time frame:** Starting at JI-AP 24, aiming to conclude at JISC 26.

**B. Enhanced promotion of the mechanism**

**Action 11:** Developing a comprehensive communication strategy to outreach various stakeholders.

- Steps:**
- (i) The JISC to have a brainstorming discussion on possible communication tools and modalities for each group of stakeholders (e.g. DFPs, project participants, AIEs);
  - (iii) The secretariat to prepare a draft a strategy based on the existing “JISC communication and outreach workplan”;
  - (iv) The JISC to consider the draft and adopt it with modifications as appropriate.

**Time frame:** Starting at JISC 25, concluding at JISC 26.

**Action 12:** Establishing a DFP forum, based on experience gained with the Designated National Authorities (DNA) Forum under the CDM. In consultation with the DFP forum, such a forum should:

- (a) Create a platform for DFPs to exchange views and experiences;
- (b) Harmonize requirements between national approval processes and increase the transparency towards interested stakeholders;
- (c) Provide a basis for capacity-building under both tracks.

- Steps:**
- (i) The JISC to discuss and decide concrete activities under this action (e.g. developing terms of reference of the forum, organization of workshops for DFPs) and the timing of their implementation, taking into account available resources;
  - (ii) The JISC and/or secretariat to implement/organize agreed activities.

**Time frame:** Concluding at JISC 25.

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**Action 13:** Enhancing the secretariat's outreach activities on JI through:

- (a) Improving the structure and format of, and information on, the UNFCCC JI website;
- (b) Publishing JI-related articles on relevant web-portals and organizing interviews with JISC members/alternates;
- (c) Preparing responses to frequently asked questions about JI;
- (d) Supporting capacity building activities and training by external organizations;
- (e) Publishing information on JI "success stories";
- (f) Providing updated information on the resource situation for JISC activities;
- (g) Organizing JI-related events during important carbon market events;
- (h) Provide post meeting briefing sessions to AIEs.

- Steps:**
- (i) The JISC to discuss and decide priority areas under this action and the timing of their implementation, taking into account available resources;
  - (ii) The secretariat to implement the listed activities as and when resources are available.

**Time frame:** After JISC 26, start and end to be determined.

**Action 14:** Considering the involvement of other stakeholders further in activities to raise awareness and understanding of JI among policy-makers and potential project developers,<sup>1</sup> and exploring cooperative activities with international institutions interested in JI to promote the mechanism and to undertake concrete actions to increase awareness of the mechanism.

- Steps:**
- (i) The JISC to decide what concrete actions it wishes to take under this action;
  - (ii) The JISC and/or the secretariat to implement the agreed actions.

**Time frame:** After JISC 26, start and end to be determined.

**Action 15:** (Individual JISC members and alternate members, especially the Chair and Vice-Chair are to be) Engaging more actively in JI outreach activities by participating and making presentations at external events, as well as strengthening the JISC's engagement with the media, in particular via its Chair and Vice-Chair.

- Steps:**
- (i) Individual JISC members and alternate members to seek opportunities for participating in external events to make presentations on JI;
  - (ii) The secretariat to organize media outreach activities for JISC members, in particular its Chair and Vice-Chair;

**Time frame:** Continuous.

<sup>1</sup> These stakeholders could include Parties, non-governmental organizations, carbon market players, project participants and AIEs.



### C. Effective contribution to the future development of JI

**Action 16:** Preparing recommendations to the CMP for its seventh session on options for building on the approach embodied in JI, taking into account, inter alia, the report referred to in paragraph 2 above and on-going work being carried out by the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol, with a view to considering the recommendations as part of the first review of the joint implementation guidelines.<sup>2</sup>

- Steps:**
- (i) The JISC to establish a small group from its members and alternate members to closely support the work on this subject;
  - (ii) The secretariat, in consultation with the small-group, to prepare the first draft of recommendations;
  - (iii) The JISC to consider the first draft recommendations;
  - (iv) Stakeholder consultations (roundtable discussion, workshop and call for public inputs);
  - (v) The secretariat to prepare second draft recommendations in consultation with the small group;
  - (vi) The JISC to consider the second draft and adopt them with modifications as appropriate.

**Time frame:** Starting at JISC 25, concluding at JISC 26 or JISC 27, to be in time for the deadline for submission of recommendations to the CMP 7.

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<sup>2</sup> The CMP, at its sixth session, decided to initiate the first review of the joint implementation guidelines (annex to decision 9/CMP.1) in accordance with decision 9/CMP.1, paragraph 8, at its seventh session.



**Appendix 1**

**TIME FRAME FOR ACTIONS**



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	Brief description	2011	2012	2013
Action 1	Processing of JI project cycle submissions		Continuous	
Action 2	Processing accreditation applications and assessments		Continuous	
Action 3	Developing a contingency plan for JI-MAP 2010–2011	● JISC 24		
Action 4	Revising fee provisions	● JISC 24		
Action 5	Preparing recommendations to CMP on fee structure	● → JISC 25 JISC 26		
Action 6	Revising policy guidance documents	● → JISC 25 JISC 26	→	
Action 7	Setting time limit for each phase of JI project cycle	● → JISC 25 JISC 26		
Action 8	Making use of electronic decision making	● JISC 25	Implementation	
Action 9	Revising guidance to support simple and innovative methodological approach	● JISC 26	→ tbd	
Action 10	Revising JI accreditation procedure	● → JI-AP 24 JISC 26		
Action 11	Developing a communication strategy	● → JISC 25 JISC 26		
Action 12	Establishing DFP Forum	● JISC 25		
Action 13	Enhancing outreach activities		→ tbd	
Action 14	Involving other stakeholders, exploring cooperation with international institutions		→ tbd	
Action 15	JISC members' outreach activities, engagement with media		Continuous	
Action 16	Preparing recommendations to CMP on review of JI guidelines	● → JISC 25 JISC 26 JISC 27		
CMP 7 mandates			● → tbd ?	
CMP 8 mandates				● → tbd ?