

**JOINT IMPLEMENTATION SUPERVISORY COMMITTEE****Fourth meeting****Proposed agenda and annotations**

Bonn (Germany)  
13–15 September 2006<sup>1</sup>

**CONTENTS****I. PROPOSED AGENDA****II. ANNOTATIONS TO THE PROPOSED AGENDA**

- Annex 1: Rules of procedure of the Joint Implementation Accreditation Panel (P-JI-ACCR-01)
- Annex 2: Joint Implementation Accreditation Panel work plan 2006–2007 (I-JI-ACCR-01)
- Annex 3: Draft procedure for accrediting independent entities by the Joint Implementation Supervisory Committee (P-JI-ACCR-[02])
- Annex 4: Draft list of sectoral scopes (incl. appendix A on competence criteria for an applicant independent entity and accredited independent entity under joint implementation) (P-JI-ACCR-[03])
- Annex 5: Draft form for application for accreditation by the Joint Implementation Supervisory Committee (F-JI-A)
- Annex 6: Draft terms of reference for joint implementation assessment teams (P-JI-ACCR-[04])
- Annex 7: Draft indicative level of fees to be paid to joint implementation assessment team by applicant independent entity or accredited independent entity (P-JI-ACCR-[05])
- Annex 8: Working paper on guidance on criteria for baseline setting and monitoring
- Annex 9: Draft provisions for joint implementation small-scale projects

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<sup>1</sup> The fourth meeting of the Joint Implementation Supervisory Committee will be preceded by informal consultations on 12–13 September 2006. On 13 September 2006 informal consultations in the morning will be followed by the first part of the meeting of the JISC in the afternoon.



- Annex 10: Draft joint implementation project design document form for small-scale projects
- Annex 11: Draft form for submission of bundled joint implementation small-scale projects
- Annex 12: Options for appraising determinations under the verification procedure under the Joint Implementation Supervisory Committee
- Annex 13: Draft procedures on public availability of documents under the verification procedure under the Joint Implementation Supervisory Committee
- Annex 14: Draft joint implementation determination report form
- Annex 15: Draft joint implementation verification report form
- Annex 16: Draft joint implementation land use, land-use change and forestry project design document form
- Annex 17: Draft guidelines for users of the joint implementation land use, land-use change and forestry project design document form
- Annex 18: Information on fees to cover administrative costs relating to activities of the Joint Implementation Supervisory Committee



## I. PROPOSED AGENDA

1. Membership issues (including disclosure of possible conflict of interest)
2. Adoption of the agenda
3. Work plan:
  - (a) Accreditation of independent entities
  - (b) Guidance on criteria for baseline setting and monitoring
  - (c) Small-scale projects
  - (d) Development of procedures
    - i. Appraisals of determinations
    - ii. Determination/verification report forms
  - (e) Joint implementation project design document form (incl. guidelines for users)
    - i. Land use, land-use change and forestry projects
  - (f) Provisions for the charging of fees
  - (g) Management plan for the biennium 2006-2007
4. Other matters
  - i. Collaboration of the JISC with others
  - ii. Report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
5. Conclusion of the meeting



## II. ANNOTATIONS TO THE PROPOSED AGENDA

### **1. Membership issues (including disclosure of possible conflict of interest)**

1. **Background:** According to paragraph 14 of the annex to decision 9/CMP.1 on guidelines for the implementation of Article 6 of the Kyoto Protocol (hereinafter referred to as JI guidelines) at least two thirds of the members of the Joint Implementation Supervisory Committee (JISC), representing a majority of members from Parties included in Annex I and a majority of members from Parties not included in Annex I, must be present to constitute a quorum.
2. According to paragraph 10 (b) of the JI guidelines members, including alternate members, of the JISC shall have no pecuniary or financial interest in any aspect of a JI project. Paragraph 2 of rule 9 of the draft rules of procedure of the JISC additionally stipulates that members, including alternate members, of the JISC shall have no pecuniary or financial interest in any accredited independent entity (AIE), or any designated operational entity acting provisionally as an accredited independent entity.
3. According to paragraph 11 of the JI guidelines, quoted in paragraph 1 of rule 7 of the draft rules of procedure of the JISC, the JISC may suspend and recommend to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (COP/MOP) the termination of the membership of a particular member, including an alternate member, for cause including, inter alia, breach of the conflict of interest provisions or failure to attend two consecutive meetings of the JISC without proper justification.
4. **Action:** The Chair may wish to ascertain whether (a) the members present constitute a quorum; and (b) the absence of any member or alternate member is “without proper justification”.
5. The Chair may wish to request members and alternate members of the JISC to disclose whether they consider to have any conflict of interest relating to the work of the JISC.
6. The JISC may wish to consider information provided by any member or alternate member, including with respect to any potential conflict of interest, and take action, if appropriate.

### **2. Adoption of the agenda**

7. **Background:** The JISC agreed, at its third meeting, on items to be included in the provisional agenda of its fourth meeting. In accordance with rule 19 of the draft rules of procedure of the JISC, subsequent additions or changes to the provisional agenda of a meeting may be proposed by any member, or alternate member, and incorporated in the proposed agenda. No suggestions for additions or changes were received by the secretariat. The proposed agenda was transmitted to the JISC on 23 August 2006 and thereafter posted on the UNFCCC JI website.
8. **Action:** The members of the JISC may wish to adopt the proposed agenda of the fourth meeting.



### **3. Work plan**

#### **(a) Accreditation of independent entities**

9. **Background:** The COP/MOP, through paragraph 2 (b) of decision 10/CMP.1, requested the JISC to further elaborate, as a priority, standards and procedures for the accreditation of independent entities, consistent with appendix A of the JI guidelines, taking into consideration, as appropriate, the procedures for accrediting operational entities developed by the Executive Board of the clean development mechanism (CDM).

10. At its first meeting, the JISC requested the secretariat to prepare a draft procedure for accrediting independent entities based on the procedure for accrediting operational entities under the CDM.

11. At its second meeting, the JISC decided to establish the Joint Implementation Accreditation Panel (JI-AP) and expressed its intention to request the JI-AP to review the draft procedure for accrediting independent entities prepared by the secretariat and to make recommendations on it to the JISC. At its third meeting, the JISC established the JI-AP selecting six experts as members and electing two JISC members as Chair and Vice-Chair.

12. The first meeting of the JI-AP was held in Bonn, Germany, on 15–16 July 2006. At this meeting the JI-AP, inter alia, reviewed the draft procedure for accrediting independent entities and other procedural documents drafted by the secretariat, which are essential to launch the JI accreditation process, and agreed on them for consideration by the JISC at its fourth meeting.

13. **Action:** The Chair of the JI-AP, Mr. Oleg Pluzhnikov, will make an oral report to the JISC on the first meeting of the JI-AP including a summary of the recommendations and additional information by the JI-AP contained in annexes 1-7 below.

14. The JISC may wish to (a) take note of the oral report of the Chair of the JI-AP; (b) consider the recommendations by the JI-AP and take action, as appropriate; and (c) consider further guidance to the JI-AP, as appropriate.

#### **(b) Guidance on criteria for baseline setting and monitoring**

15. **Background:** In paragraph 2 (f) of decision 10/CMP.1 the COP/MOP requests the JISC to develop, as soon as possible, guidance with regard to appendix B of the JI guidelines, including provisions for small-scale projects as defined in paragraph 6 (c) of decision 17/CP.7, as appropriate.

16. At its first meeting, the JISC requested the secretariat to launch a call for public input on criteria for baseline setting and monitoring. The call was open for inputs from 10 February to 1 March 2006. The JISC considered the inputs received at its second meeting.

17. At its third meeting, the JISC defined a procedure for the development of draft guidance on criteria for baseline setting and monitoring, which provided for the development of a first draft by Mr. Björk and Mr. Henkemans, supported by the secretariat, the consideration of comments by other members and alternate members of the JISC and a plan to launch a call for public input on the draft as a working paper.

18. The call for public input on the working paper on guidance on criteria for baseline setting and monitoring was open for comments from 19 July to 15 August 2006. During this period four inputs, available under <[http://ji.unfccc.int/CallForInputs/CallForInputs/PublicInput/index\\_html](http://ji.unfccc.int/CallForInputs/CallForInputs/PublicInput/index_html)>, were received by the secretariat.



19. **Action:** The JISC may wish to discuss and adopt guidance on criteria for baseline setting and monitoring on the basis of the working paper contained in annex 8 below and the comments received in response to the call for public input on this working paper.

(c) **Small-scale projects**

20. **Background:** In paragraph 2 (f) of decision 10/CMP.1 the COP/MOP requests the JISC to develop, as soon as possible, guidance with regard to appendix B of the JI guidelines, including provisions for small-scale projects as defined in paragraph 6 (c) of decision 17/CP.7, as appropriate.

21. At its third meeting, the JISC requested the secretariat to develop, in consultation with Mr. Castro, Ms. Gaye, Mr. Sokolov and Mr. Trusca, draft provisions for JI small-scale (JI SSC) projects as well as a draft JI PDD form for JI SCC projects.

22. **Action:** The JISC may wish to discuss and adopt provisions for JI SSC projects on the basis of the draft contained in annex 9 below. The JISC may also wish to discuss and agree on a draft JI PDD form for JI SCC projects for consideration by the COP/MOP based on the draft contained in annex 10 below. Moreover, the JISC may wish to discuss and agree on a form for submission of bundled JI SSC projects on the basis of the draft contained in annex 11 below.

(d) **Development of procedures**

(i) **Appraisals of determinations**

23. **Background:** At its third meeting, the JISC considered draft procedures for appraisals of determinations under the verification procedure under the JISC and requested the secretariat to prepare a paper with options for the appraisal of determinations referred to in paragraphs 33 and 37 of the JI guidelines.

24. Moreover, the JISC requested the secretariat to launch a call for experts on the basis of the terms of reference for experts appraising determinations or participating in review teams under the verification procedure under the JISC agreed on at its third meeting. The call was open from 7 July to 18 August 2006.

25. **Action:** The JISC may wish to consider the options paper contained in annex 12 below and take action, as appropriate.

26. Additionally, the JISC may wish to consider the applications received in response to the call for experts referred to in paragraph 24 above.

(ii) **Determination/verification report forms**

27. **Background:** At its second meeting, the JISC agreed on draft procedures on public availability of documents under the verification procedure under the JISC as contained in annex 13 below for adoption at a future meeting. Additionally, it requested the secretariat to prepare forms to be used by AIEs when submitting determination or verification reports for publication.

28. Drafts of the forms referred to in paragraph 27 above were prepared by the secretariat as contained in annexes 14 and 15 below.

29. **Action:** The JISC may wish to consider the draft forms and adopt them, as appropriate. Additionally, the JISC may wish to formally adopt the procedures on public availability of documents under the verification procedure under the JISC.



(e) **Joint implementation project design document form (incl. guidelines for users)**

(i) **Land use, land-use change and forestry projects**

30. **Background:** In accordance with paragraph 3 (e) of the JI guidelines, the JISC shall be responsible for the elaboration of the JI PDD form, for consideration by the COP/MOP, taking into consideration appendix B of the annex on modalities and procedures for a CDM and giving consideration to relevant work of the Executive Board of the CDM, as appropriate. Furthermore, the COP/MOP, through paragraph 2 (d) of decision 10/CMP.1, requested the JISC to elaborate and agree on a JI PDD form pursuant to paragraph 3 (e) of the JI guidelines, with the understanding that it shall be applied provisionally until the COP/MOP has adopted it in accordance with the JI guidelines. Moreover, the COP/MOP, through paragraph 2 (e) of decision 10/CMP.1, requested the JISC to develop, as soon as possible, guidelines for users, inter alia, of the JI PDD form, drawing on guidelines developed by the Executive Board of the CDM, where appropriate.

31. At its third meeting, the JISC agreed on a draft JI PDD form for consideration by the COP/MOP and adopted guidelines for users of this form.

32. As both documents referred to in paragraph 31 above are not applicable to JI land use, land-use change and forestry (LULUCF) projects, the JISC requested the secretariat to prepare, in consultation with Mr. Goetze, a draft PDD form and guidelines for its users for JI LULUCF projects on the basis of modifying the draft JI PDD form and guidelines for its users agreed on at the third meeting.

33. **Action:** The JISC may wish to consider the draft JI LULUCF PDD form prepared by the secretariat in accordance with paragraph 32 above and contained in annex 16 below and agree on it, as appropriate, for consideration by the COP/MOP. Additionally, the JISC may also wish to consider the draft guidelines for users of the JI LULUCF PDD form prepared by the secretariat in accordance with paragraph 32 above and contained in annex 17 below and adopt them, as appropriate.

(f) **Provisions for the charging of fees**

34. **Background:** According to paragraph 7 of decision 9/CMP.1 any administrative costs arising from procedures contained in the JI guidelines relating to the functions of the JISC shall be borne by both the Annex I Parties and the project participants according to specifications set out in a decision by COP/MOP 1. Accordingly, the COP/MOP, through paragraph 2 (h) of decision 10/CMP.1, requested the JISC to develop provisions for the charging of fees.

35. The JISC, at its third meeting, requested Mr. Castro and Mr. Henkemans, supported by the secretariat, to prepare an information paper on fees to cover administrative costs relating to activities of the JISC for consideration by the JISC at its fourth meeting.

36. **Action:** The JISC may wish to consider provisions for the charging of fees based on the information paper prepared in accordance with paragraph 35 above as contained in annex 18 below and agree on provisions, as appropriate.

(g) **Management plan for the biennium 2006–2007**

37. **Background:** According to paragraph 2 (g) of decision 10/CMP.1, the JISC shall develop, as soon as possible, its management plan including a budget plan for the period 2006–2007, and keep it under review, bearing in mind the experience of the Executive Board of the CDM in this area, as appropriate.



38. At its third meeting, the JISC agreed on the main contents of the management plan on the basis of the draft prepared by the secretariat in accordance with the guidance provided by the JISC at its second meeting and requested the secretariat to update the information in the draft management plan reflecting the discussions, the agreements made and the priorities identified at the third meeting of the JISC.

39. **Action:** The JISC may wish to discuss its management plan with a view to adopting it. In this context, the JISC may also wish to consider the work programme for 2007.

#### **4. Other matters**

(i) Collaboration of the JISC with others

40. **Background:** The COP/MOP, through paragraph 5 of decision 10/CMP.1, encouraged the JISC to collaborate with (a) the Executive Board of the CDM; (b) the Compliance Committee under the Kyoto Protocol, in particular with regard to the list of Parties referred to in paragraph 27 of the JI guidelines; (c) the designated focal points for Article 6 under the Kyoto Protocol; and (d) observers to meetings of the JISC, referred to in paragraph 18 of the JI guidelines, through regular question-and-answer sessions held in this context.

41. **Action:** The JISC may wish to discuss forms to collaborate with stakeholders, in particular with those mentioned in paragraph 40 above.

(ii) Report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

42. **Background:** According to paragraph 3 (a) of the JI guidelines, the JISC shall be responsible for reporting on its activities to each session of the COP/MOP.

43. **Action:** The JISC may wish to discuss issues to be included in the addendum to the first report of the JISC to the COP/MOP.

44. In addition to the matters mentioned in paragraphs 40-43 above, the JISC may wish to discuss and agree upon the provisional agenda of the fifth meeting of the JISC.

#### **5. Conclusion of the meeting**

45. The Chair may wish to summarize the meeting and the decisions taken.

46. The JISC may wish to adopt the report of its fourth meeting.

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