Annex 5

PROCEDURES FOR INCLUDING ADDITIONAL PROJECT PARTICIPANTS OR PARTIES INVOLVED IN JOINT IMPLEMENTATION PROJECTS AFTER FINAL DETERMINATION UNDER THE VERIFICATION PROCEDURE UNDER THE JOINT IMPLEMENTATION SUPERVISORY COMMITTEE
PROCEDURES FOR INCLUDING ADDITIONAL PROJECT PARTICIPANTS OR PARTIES INVOLVED IN JOINT IMPLEMENTATION PROJECTS AFTER FINAL DETERMINATION UNDER THE VERIFICATION PROCEDURE UNDER THE JOINT IMPLEMENTATION SUPERVISORY COMMITTEE

Version 01

A. Background

1. Paragraphs 30–45 of the annex to decision 9/CMP.1 on guidelines for the implementation of Article 6 of the Kyoto Protocol (hereinafter referred to as JI guidelines) define the verification procedure under the Joint Implementation Supervisory Committee (JISC) (hereinafter referred to as the Track 2 procedure).

2. A Party involved in a JI project implemented under the Track 2 procedure is a Party that provides a written project approval.

3. A project participant may be:
   (a) A Party involved in the JI project; or
   (b) A legal entity authorized by a Party involved to participate in the JI project.

4. The present document regulates how additional project participants and/or Parties involved can be included in a JI project implemented under the Track 2 procedure after final determination in accordance with paragraph 35 of the JI guidelines.

B. Procedures for including additional project participants or Parties involved

5. If, after final determination in accordance with paragraph 35 of the JI guidelines, additional Parties involved and/or project participants shall be included in a JI project, this information shall be submitted to the secretariat in accordance with the relevant modalities of communication, via email (ji-info@unfccc.int or secretariat@unfccc.int) or fax (+49 228 815 1999), together with (a) corresponding written project approval(s) and/or authorization(s) of the legal entity(ies).\(^1\)

6. If additional Parties involved and/or project participants shall be included at the time a verification report is submitted to the secretariat by an accredited independent entity (AIE) in accordance with paragraph 38 of the JI guidelines, the information referred to in paragraph 5 above may alternatively be channelled through the AIE.

7. If additional project participants shall be included in a JI project, the information submitted in accordance with paragraphs 5 or 6 above shall also contain revised modalities of communication, including contact details\(^2\), signed by all previous and additional project participants.

---

\(^1\) If additional Parties involved shall be included in a JI project, the information shall also indicate whether these Parties are to be considered as project participants.

\(^2\) The tabular format for providing contact information on project participants included in the JI project design document forms as an annex should be used in modalities of communication. The contact details shall include an email address.
8. Additional Parties involved and/or project participants cannot be included in a JI project in accordance with paragraphs 5-7 above from the time of publication of a verification in accordance with paragraph 38 of the JI guidelines until finalization of the process referred to in paragraph 39 of the JI guidelines.

9. The secretariat shall make the information on additional Parties involved and/or project participants publicly available on the UNFCCC JI website and inform the JISC accordingly.