



FRAMEWORK CONVENTION ON CLIMATE CHANGE - Secretariat  
CONVENTION - CADRE SUR LES CHANGEMENTS CLIMATIQUES -  
Secrétariat

Date: 15 September 2006  
Ref: JISC 04

JOINT IMPLEMENTATION SUPERVISORY COMMITTEE

FOURTH MEETING

Report

**Date of meeting:** 13–15 September 2006

**Location:** Bonn, Germany

**Attendance:** The names of members and alternate members present at the fourth meeting are in bold print below. Where only the name of an alternate member is in bold print, the alternate member participated as a member.

<b>Members</b>	<b>Alternate Members</b>
<b>Mr. Olle Björk</b>	<b>Mr. Franzjosef Schafhausen</b>
<b>Mr. Georg Børsting</b>	<b>Mr. Darren Goetze</b>
<b>Mr. Jaime Bravo</b>	<b>Mr. Marcos Castro Rodriguez</b>
<b>Ms. Fatou Gaye</b>	<b>Mr. Vincent Kasulu Seya Makonga</b>
<b>Mr. Maurits Blanson Henkemans</b>	<b>Mr. Hiroki Kudo</b>
<b>Mr. Shailendra Kumar Joshi</b>	<b>Mr. Maosheng Duan</b>
Mr. Derrick Oderson	Ms. Yumiko Crisostomo
<b>Mr. Oleg Pluzhnikov</b>	<b>Mr. Evgeny Sokolov</b>
<b>Ms. Daniela Stoycheva</b>	<b>Ms. Astrida Celmina</b>
<b>Mr. Vlad Trusca</b>	<b>Mr. Matej Gasperic</b>

**Quorum** (in parenthesis required numbers):

**9** (7) members/alternate members acting as members were present of which

**6** (4) were from Annex I Parties and

**3** (3) were from non-Annex I Parties.

**WWW broadcasting:** <[http://ji.unfccc.int/Sup\\_Committee/Meetings](http://ji.unfccc.int/Sup_Committee/Meetings)>.

**Agenda item 1: Membership issues (including disclosure of possible conflict of interest)**

1. The Chair opened the meeting and asserted that the quorum was met. No conflict of interest was identified by any member or alternate member of the Joint Implementation Supervisory Committee (JISC) present at the meeting.
2. The JISC noted that Mr. Oderson and Ms. Crisostomo were unable to attend the meeting and had provided proper justification for their absence.

**Agenda item 2: Adoption of the agenda**

3. The JISC adopted the agenda as proposed and decided to have a closed session for the selection of experts to be included in the roster of experts for appraisals or reviews under the verification procedure under the JISC.

**Agenda item 3: Work plan****Agenda sub-item 3 (a): Accreditation of independent entities**

4. At its third meeting, the JISC established the Joint Implementation Accreditation Panel (JI-AP). The JI-AP held its first meeting on 15–16 July 2006 in Bonn, Germany.
5. The JISC took note of the oral report from Mr. Pluzhnikov and Ms. Gaye, the Chair and the Vice-Chair of the JI-AP respectively, on the outcome of the first JI-AP meeting including five documents on accreditation procedure recommended by the JI-AP for adoption by the JISC. After amending the appendix A to the draft list of sectoral scopes, the JISC agreed on all of these documents as contained in annexes 1-5 to this report.
6. The JISC considered the option of creating a “fast track” accreditation procedure for designated operational entities (DOEs) under the clean development mechanism (CDM), taking into account the opinions of JI-AP members on the issue reported by Mr. Pluzhnikov, and agreed not to create such a procedure, but to seek measures to reduce cost and time needed for the accreditation of DOEs by, e.g. selecting the same assessment team members used when accrediting that DOE.
7. Taking into account paragraphs 3 (a) - (c) of decision 10/CMP.1, the JISC decided to start the joint implementation (JI) accreditation process on 15 November 2006 with the understanding that its procedures for accreditation will be effective as of that day, to allow sufficient time for DOEs acting provisionally as accredited independent entities (AIEs) under Article 6, to prepare their applications for the JI accreditation process, so that they can continue to act provisionally as AIEs in the mean time. In this context, the JISC clarified that DOEs may act provisionally as AIEs only for the same sectoral scope(s) and corresponding function(s) for which they are designated. The JISC further clarified that DOEs designated for the sectoral scope of afforestation and reforestation may act as AIEs for the sectoral scope of land use, land-use change and forestry for the designated function(s).
8. The JISC requested the secretariat to launch a public call for experts to establish a roster of experts for assessment team members under the JI accreditation procedure. The JISC encourages experts having a positive experience and record as assessment team members under the CDM accreditation procedure to apply.
9. The JISC agreed that, while performing their functions as Chair and Vice-Chair of a JI-AP meeting, the Chair and Vice-Chair of the JI-AP shall be eligible to receive a lump-sum assessed at the same level as the fee for panel members.

**Agenda sub-item 3 (b): Guidance on criteria for baseline setting and monitoring**

10. At its third meeting, the JISC defined a procedure for the development of draft guidance on criteria for baseline setting and monitoring, which provided for the development of a first draft by Mr. Björk and Mr. Henkemans, supported by the secretariat, the consideration of comments by other members and alternate members of the JISC and a plan to launch a call for public input on the draft as a working paper.

11. The call for public input on the working paper on guidance on criteria for baseline setting and monitoring was open for comments from 19 July to 15 August 2006.

12. The JISC discussed the working paper on the basis of the comments received in response to the call for public input and established a drafting group to revise the paper. The JISC discussed the revised draft guidance on criteria for baseline setting and monitoring, and amended and agreed on it as contained in [annex 6](#).

**Agenda sub-item 3 (c): Small-scale projects**

13. At its third meeting, the JISC requested the secretariat to develop, in consultation with Mr. Castro, Ms. Gaye, Mr. Sokolov and Mr. Trusca, draft provisions for JI small-scale (JI SSC) projects as well as a draft JI project design document (PDD) form for JI SCC projects (JI SSC PDD form).

14. The secretariat presented the draft provisions for JI SSC projects, the draft JI SSC PDD form and a draft form for submission of bundled JI SSC projects prepared by the secretariat in consultation with the selected JISC members (including alternate members). The JISC discussed the documents and adopted provisions for JI SSC projects as contained in [annex 7](#). It also agreed on a draft JI SSC PDD form as contained in [annex 8](#). The draft JI SSC PDD form shall be applied provisionally until the COP/MOP has adopted it in accordance with the annex to decision 9/CMP.1 (hereinafter referred to as JI guidelines). Moreover, the JISC agreed on the form for submission of bundled JI SSC projects as contained in [annex 9](#).

15. On this basis the JISC requested the secretariat to prepare, in consultation with Mr. Castro, Ms. Gaye, Mr. Sokolov and Mr. Trusca, draft guidelines for users of the JI SSC PDD form for consideration at its next meeting.

16. Recognising the discussions on a possible revision of the definitions for small-scale project activities under the clean development mechanism (CDM), the JISC recommends to the COP/MOP to consider an accordant revision of the definitions for JI SSC projects, as appropriate.

17. The JISC noted that possible work on land use, land-use change and forestry (LULUCF) JI SSC projects is subject to guidance by the COP/MOP, in particular on the issue of thresholds for what constitutes a small-scale LULUCF project.

**Agenda sub-item 3 (d): Development of procedures****Agenda sub-item 3 (d) (i): Appraisals of determinations**

18. At its third meeting, the JISC considered draft procedures for appraisals of determinations under the verification procedure under the JISC and requested the secretariat to prepare a paper with options for the appraisal of determinations referred to in paragraphs 33 and 37 of the JI guidelines.

19. The JISC considered the options paper prepared and agreed on procedures for appraisals of determinations as contained in [annex 10](#).



20. The JISC, at its third meeting, requested the secretariat to launch a call for experts on the basis of the terms of reference for experts appraising determinations or participating in review teams under the verification procedure under the JISC agreed on at the same meeting. The call was open from 7 July to 18 August 2006.

21. The JISC took note of the applications received in response to the call for experts and agreed, in a closed session, on 25 experts to be included in the roster of experts.

22. In order to increase the number of experts in the roster the JISC requested the secretariat to launch a new call for experts. To strengthen the diversity of expertise the JISC explicitly encourages experts in LULUCF as well as in agriculture to apply. The results of this call for experts will be considered by the JISC at its sixth meeting.

### **Agenda sub-item 3 (d) (ii): Determination/verification report forms**

23. At its second meeting, the JISC agreed on draft procedures on public availability of documents under the verification procedure under the JISC for adoption at a future meeting. Additionally, it requested the secretariat to prepare forms to be used by AIEs when submitting determination or verification reports for publication.

24. The JISC considered the drafts of the forms prepared by the secretariat in accordance with paragraph 23 above and agreed on them as contained in [annexes 11](#) and [12](#).

25. Additionally, the JISC formally adopted the procedures on public availability of documents under the verification procedure under the JISC as contained in [annex 13](#).

26. With regard to paragraph 16 of the procedures on public availability of documents the JISC also provided practical clarifications. Regarding the fulfilment of the participation requirement defined in paragraph 20 of the JI guidelines the submission of relevant information to the secretariat will be checked before the determination report is made publicly available. The contents of the information submitted is not part of this check. In this regard the JISC also requested the secretariat to remind Parties to inform the secretariat on their designated focal points and their national guidelines and procedures for approving JI projects in accordance with paragraph 20 of the JI guidelines. Regarding the fulfilment of the participation requirement defined in paragraph 28 of the JI guidelines the JISC clarified that it will be acted on the assumption that the requirement is fulfilled as long as a written project approval by the host Party is submitted together with the determination report, which will be checked before the determination report is made publicly available. With regard to the fulfilment of the participation requirement defined in paragraph 29 of the JI guidelines it will be checked whether all legal entities that intend to participate in the proposed JI project are authorised by a Party in writing. These checks do not impede further checks by the JISC.

### **Agenda sub-item 3 (e): Joint implementation project design document form (incl. guidelines for users)**

#### **Agenda sub-item 3 (e) (i): Land use, land-use change and forestry projects**

27. At its third meeting the JISC requested the secretariat to prepare a draft PDD form and guidelines for its users for JI LULUCF projects in consultation with Mr. Goetze on the basis of modifying the draft JI PDD form and guidelines for its users agreed on by the JISC at its third meeting.

28. The secretariat presented the draft JI LULUCF PDD form and guidelines for its users prepared on this basis.



29. The JISC amended the draft JI LULUCF PDD form and agreed on it as contained in [annex 14](#). The draft JI LULUCF PDD form shall be applied provisionally until the COP/MOP has adopted it in accordance with the JI guidelines.

30. Having amended the draft guidelines the JISC agreed on them as contained in [annex 15](#).

#### **Agenda sub-item 3 (f): Provisions for the charging of fees**

31. The JISC, at its third meeting, requested Mr. Castro and Mr. Henkemans, supported by the secretariat, to prepare an information paper on fees to cover administrative costs relating to the activities of the JISC for consideration by the JISC at its fourth meeting.

32. The secretariat presented the information paper prepared on this basis.

33. The JISC agreed on the principles, structure and level of fees as proposed in the information paper, with a particular emphasis on the necessity of reviews of these in the future. On this basis the JISC adopted provisions for the charging of fees to cover administrative costs relating to the activities of the JISC as contained in [annex 16](#), and agreed to submit it to the COP/MOP at its second session for its endorsement. In this context, the JISC agreed that these provisions shall apply from the opening dates for submission of determination reports regarding project design documents and for submission of application for accreditations to the secretariat.

#### **Agenda sub-item 3 (g): Management plan for the biennium 2006-2007**

34. At its third meeting, the JISC agreed on the main contents of the management plan prepared by the secretariat in accordance with the guidance provided by the JISC at its second meeting, and requested the secretariat to update the information in the draft management plan reflecting the discussions, the agreements made and the priorities identified at the third meeting of the JISC.

35. The secretariat presented the revised draft management plan prepared on this basis.

36. The JISC amended the draft management plan and agreed on it as contained in [annex 17](#). In this context, the JISC also agreed on a tentative work programme of the JISC for 2007, which is included in the management plan.

37. The JISC noted the contributions made by Parties. However, recognising the currently foreseen shortfall of more than USD 2 million in the supplementary funding for the biennium 2006-2007, the JISC urges Parties to make contributions to meet the financial needs of the operation of the activities under the JISC.

38. Given the possibility of a shortfall in the supplementary funding identified in the management plan, the JISC identified a priority of activities in the management plan and noted that some activities may not be implemented without sufficient resources, in particular technical workshops.

#### **Agenda item 4: Other matters**

39. The JISC decided to schedule its fifth meeting on 26–27 October 2006 in Bonn, Germany, including half-day informal consultations on 26 October 2006.

40. The JISC decided the formal launch of the verification procedure under the JISC to be on 26 October 2006.

41. The JISC agreed on the provisional agenda of its fifth meeting as contained in [annex 18](#).

**Agenda sub-item 4 (i): Collaboration of the JISC with others**

42. The JISC discussed the need to make arrangements for interaction with designated focal points of Parties and accredited independent entities.

**Agenda sub-item 4 (ii): Report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

43. The JISC agreed on items to be included in the addendum to its report to the COP/MOP at its second session.

44. The JISC met with registered observers for a webcasted question and answer session.

**Agenda item 5: Conclusion of the meeting**

45. The Chair concluded the fourth meeting of the JISC.



## Annexes to the report

### Accreditation of independent entities

- Annex 1: Procedure for accrediting independent entities by the Joint Implementation Supervisory Committee
- Annex 2: List of sectoral scopes
- Annex 3: Form for application for accreditation by the Joint Implementation Supervisory Committee
- Annex 4: Terms of reference for joint implementation assessment teams
- Annex 5: Indicative level of fees to be paid to joint implementation assessment team by applicant independent entity or accredited independent entity

### Guidance on criteria for baseline setting and monitoring

- Annex 6: Guidance on criteria for baseline setting and monitoring

### Small-scale projects

- Annex 7: Provisions for joint implementation small-scale projects
- Annex 8: Draft joint implementation project design document form for small-scale projects
- Annex 9: Form for submission of bundled joint implementation small-scale projects

### Appraisals of determinations

- Annex 10: Procedures for appraisals of determinations under the verification procedure under the Joint Implementation Supervisory Committee

### Determination/verification report forms

- Annex 11: Joint implementation determination report form
- Annex 12: Joint implementation verification report form

### Procedures on public availability of documents

- Annex 13: Procedures on public availability of documents under the verification procedure under the Joint Implementation Supervisory Committee

### Joint implementation project design document form (incl. guidelines for users)

- Annex 14: Draft joint implementation land use, land-use change and forestry project design document form
- Annex 15: Guidelines for users of the joint implementation land use, land-use change and forestry project design document form

### Provisions for the charging of fees

- Annex 16: Provisions for the charging of fees to cover administrative costs relating to the activities of the Joint Implementation Supervisory Committee

### Management plan for the biennium 2006-2007

- Annex 17: Joint implementation management plan 2006-2007

### Other business

- Annex 18: Provisional agenda of the fifth meeting of the Joint Implementation Supervisory Committee