Annex 7

JOINT IMPLEMENTATION MANAGEMENT PLAN
2008–2009

JOINT IMPLEMENTATION MANAGEMENT PLAN
2008–2009
(Version 01)

CONTENTS

I. Executive summary

A. Experience in 2006–2007 and purpose of the
Joint Implementation Management Plan 2008–2009

1.   The first year of operation of the Joint Implementation Supervisory Committee (JISC) was 2006, and by mid 2007 significant progress had been made. In October 2006 the JISC officially launched the verification procedure under the JISC (Track 2 procedure). Having thus operationalized the procedure, it opened up the process to the receipt of project design documents (PDDs) by IEs. To date, 86 PDDs and two determination reports have been submitted. Although the caseload over the initial year has been lower than initial estimates indicated, this has not been due to the degree of preparedness of the JISC, but to factors out of the direct control of the JISC.

2.   Over the last year and a half, the JISC has developed various procedures and guidance required, and issued clarifications on several matters. Overall, experience has shown that the process, although developed in a short period of time, is functioning well and based on feedback received after workshops and roundtables, stakeholders are pleased with the performance to date.

B. Key objectives of the JI MAP 2008–2009

3.   Based on the expected developments in terms of project caseload and ongoing work on accreditation of applicant IEs, the Joint Implementation Management Plan
2008–2009 (JI MAP) foresees:

>   (a)   An increase in the processing of caseload as the number of projects increase;

>   (b)   Continued review of various procedures and means of operations of the
>   Track 2 procedure and accreditation process;

>   (c)   Increased interaction between the JISC and the applicant/accredited IEs (IEs), to
>   ensure that the IEs can provide adequate and timely service;

>   (d)   Continued interaction between the JISC and designated focal points (DFPs);

>   (e)   Enhanced public information and awareness about joint implementation;

>   (f)   Continued review of resources needed to ensure that the JISC and the secretariat
>   sustain the level of support to the JI process and implementation of decisions by the
>   conference of the parties serving as the meeting of the Parties to the Kyoto Protocol
>   (CMP).

C. Key assumptions regarding project caseload and
accreditation work over the next biennium

4.   In version 2 of the Joint Implementation Management Plan 2006–2007 (JI MAP 2006–07) a caseload assumption of 125 projects by end 2007 was made. For various reasons, including the delay in finalization of national guidelines and procedures for JI from some Parties included into Annex B of the Kyoto Protocol, the number of projects submitted were less than expected in the first year of the existence of the Track 2 procedure (as at 26 October 2006 IEs have been able to submit PDDs). However, with the start of the first commitment period under the Kyoto Protocol and announcement of national guidelines and procedures for JI, the caseload assumption for 2008–2009 is for submission of an average of 50–75 projects per year for determination. It should be noted, however, that this

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caseload assumption is only an estimate and these estimates are subject to change owing to the underlying uncertainty with regard to the number and timing of JI projects to be submitted to the Track 2 procedure. As regards accreditation, the assumptions in the previous management plan were slightly higher than realized (25 applications instead of 15), and thus the assumption for the upcoming biennium is for up to an additional five applications for accreditation under JI.

5.   The JISC, with a view to ensuring the efficient and cost-effective functioning of the Track 2 procedure, and taking into account the uncertainty at present as regards the level of activities to be undertaken, has made an effort to limit the resources required for its related activities. In this regard, taking into account the issues indicated in paragraph 4, and the current UNFCCC budget proposed for the biennium 2008–2009, this version of the JI MAP reflects a one per cent increase in 2008 compared with 2007 (from USD 3,064,873 to USD 3,091,044) due to the following factors:

(a)   A decrease in costs associated with the accreditation process;

(b)   A decrease in costs associated with JISC meetings;

(c)   Introduction of secretariat-wide support service costs (USD 136,000 per annum);

(d)   Recruitment of one general service staff (covered by core budget) and increase of costs for the provision of information technology support by the secretariat;

(e)   A decrease in costs associated with general temporary service and consultancy;

(f)   An increase in costs for travel, in particular relating to accreditation assessment activities, and overtime;

(g)   An increase in costs paid towards legal, record management and managerial support provided by the sustainable development programme (SDM);

(h)   An increase of 28 per cent in core budget allocation for JI related work (USD 569,803 to USD 728,394 per annum);

6.   This version of the JI MAP does not take into account potential budget implications of a change in the key assumptions, notably the increase in project caseload, and does not estimate the implications of the increased caseload related to verification expected by 2009. However, the potential implications would, as at 1 January 2009, most likely require a future revision of the JI MAP to reflect:

(a)   An increase in costs associated with increases in the project caseload (determination/verification) as indicated above:

(i)   An increase in the number of JISC meetings;

(ii)   An increase in staff (by one to two professional and one to two general service staff).

Table 1: Key assumptions regarding JI Management Plan 2008–2009

	2007	2008	2009
Total annual budget (supplementary/core) in United States dollars	3 064 873	3 091 044	3 091 044
Professional staff	7	7	7
General service staff	2	3	3

No. of meetings (JISC /AP / DFP & AIE Roundtable and/or Workshop)	5 / 6 / 2	5 / 7 / 1	5 / 7 / 1

II. Introduction

7.   This version of the JI MAP reflects changes made since version 2 of the JI MAP 2006–2007 with regard to 2007[1] and the evolution of the JI process as the start of the first commitment period approaches. The JI MAP reflects the needs and support the JISC has identified as well as the changes in provision in the core budget of the UNFCCC secretariat with regard to JI related work and contributions to secretariat-wide shared costs.

8.   The JI MAP is designed to strengthen the capacity of the JISC and its support structure, including the Joint Implementation Accreditation Panel (JI-AP), accredited IEs and the secretariat, to ensure that the requirements arising from CMP decisions and the challenges before the JI process are fully addressed.

9.   The JI MAP is based on the JI guidelines (annex to decision 9/CMP.1) as contained in the Marrakesh Accords as well as on subsequent decisions of the CMP concerning JI and the experience of the JISC to date. This version of the JI MAP – for the biennium 2008–2009 – has been elaborated to provide the JISC, and all other actors working on JI, clear, direct and full awareness of what is required to deliver JI both in terms of roles/functions/caseload and budget needs. In accordance with paragraph 4 of decision 3/CMP.2, paragraph 4, the JISC will review and assess the JI MAP and adjust it accordingly, as needed.

10.   This version of the JI MAP is based on a proposal by the Executive Secretary to the JISC. Further to this version, revised versions of the JI MAP will be developed and adopted. In accordance with the process and guidance adopted by the CMP at its second session, each version of the JI MAP will be published as an annex to the report of that JISC meeting at which it is adopted.

11.   The JISC is aware of the responsibility transferred to it by the provisions included in decision 3/CMP.2 on budgetary matters through the management plan, and would like to invite the CMP to take note of the JI MAP and provide guidance or clarifications to the JISC and to the secretariat, particularly on the implementation of paragraphs 9 and 10 (a) of decision 3/CMP.2[2], with a view to ensuring that the arrangements are satisfactory and provide the necessary accountability.

III. Key lessons learned in 2006 and 2007

12.   A highlight of the reporting period was the submission of the first final determination under the Track 2 procedure (in accordance with paragraph 35 of the JI guidelines). Thus, with the submission of several PDDs and the first final determination in 2007, the JISC has begun to gain experience with regard to the operation of the Track 2 procedure, and with further experience over the course of the next biennium, will consider ways in which to improve the functioning of the mechanism.

13.   The JISC has noted, over the last year of operation, the need to work towards good communication with the various stakeholders so as to ensure that the quality standards expected of it

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[1] See table 2 in section V.A. for information with regard to the revisions for the year 2007 since version 02 of the JI MAP 2006–07.

[2] The CMP has requested the JISC to emphasize its executive and supervisory role, inter alia, through establishing or strengthening its support structure as necessary, and the general management and organization of its work.

in its work are upheld. In this regard, the JISC has and will continue to develop and revise its procedures and issue clarifications as appropriate.

14.   The JISC has also considered the degree of interaction with DFPs and IEs, understanding the importance of clear communications and understanding of each others' roles in the process. The JISC looks forward to furthering this interaction as necessary to ensure smooth functioning of the Track 2 procedure.

15.   The existence of a well-established and operational support structure is crucial to the functioning of the Track 2 procedure. The required support structure must provide institutional memory and impartial substantive support and process work, elements which are fundamental to the success of the work of the JISC. The support structure has taken shape over the first biennium and is more or less in place, but needs to be strengthened, not only through interaction with IEs and DFPs, but also through secured funding and adequate human resources. The JI MAP presents a plan and budget that would allow for the delivery of all aspects contained in the plan and of requests emanating from CMP decisions, in particular as requested in paragraphs 10 and 11 of decision 3/CMP.2.

16.   The level of human resources, previously determined in the JI MAP 2006–2007, while presently adequate for operation of the process under current assumptions of caseload and activities, will need to be kept under consideration by the JISC in view of possible growth in caseload and experience with case handling over time, as was experienced by the CDM in its earlier years of operation.

17.   Adequate consideration and implementation of required decisions by the Conference of the Parties (COP) and the CMP to ensure legal protection to the JISC is essential for members and alternates to assume their executive and supervisory roles within the context set by the JI guidelines. The JI MAP may need to take into account relevant decisions of the COP and the CMP in this regard.

IV. Plan 2008–2009 by focal area

18.   This section of the plan provides an overview of activities and caseload assumptions for 2008–2009 for the three focal areas of work defined (project cycle; accreditation; and management, info system, and communication), and indicates differences from those for 2007, based on the status of key assumptions to the end of third quarter 2007 as a benchmark. Chapter IV is structured accordingly. The present operational assumption is that the nature of the activities will remain the same over 2008–2009.

19.   Under this operational assumption, the main changes in the focal areas can be characterized as follows:

(a)   More AP meetings organized;

(b)   More accreditation assessment activities;

(c)   Fewer accreditation trainings;

(d)   Enhancing interaction, communication and knowledge sharing and management;

(e)   Provision of dedicated legal and management support, as needed;

(f)   Increased information system support and services.

20.   Shifting of any analytical preparatory work from the JISC and JI-AP to the secretariat is not envisaged at this time, as has been done by the Executive Board of the clean development mechanism (CDM).

A. Project cycle

21.   The project cycle unit will continue to provide support to the JISC in 2008 and 2009 as regards the full project cycle of the Track 2 procedure for example management of the submission processes and associated communication, public calls for input on substantive matters and for expertise, preparation of documentation for JISC consideration, and management of experts. Estimated caseload is 50 to 75 projects (PDDs / determinations) per year (at this time estimates are preliminary, as it is not possible to base estimates on past experience). This area of work is characterized by short process turnover times (requiring coordination of external experts and/or JISC members or alternates) and continuous, uninterrupted workflow throughout the year. Not provided for here is a higher caseload than presently anticipated by this plan, noting that future revisions would be necessary to address an increased caseload, including that related to verifications over the course of the biennium. Both these elements would require reconsideration of the financial and human resources necessary for their implementation

22.   This unit also provides support to the JISC in its project cycle related work by maintaining communication and supporting the interaction of the JISC with DFPs. In this capacity, in conjunction with the management and communication focal areas, support is provided to the JISC in its interaction with DFPs and the unit ensures that DFPs are provided with the necessary information and means to fulfil their relevant stakeholder roles.

23.   In particular, it should be noted that as the number of projects increases, the JISC may wish to consider how the secretariat can further support them in the various steps of the workflow, and although additional functions at present are not foreseen, the JISC may wish to revise the plan in the future as appropriate.

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Actor (Project Cycle)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–2009
JISC	• Conduct appraisals/reviews of determinations by AIEs, as required • Make decisions with regard to determinations under review • Provide explanations for its decisions regarding determinations • Provide guidance and clarifications with respect to the Track 2 procedure • Report to the CMP on outcomes of the Track 2 procedure • Ensure transparency of the process and adequacy of information available to stakeholders • Implement CMP decisions with regard to the Track 2 procedure	• 125 cases 2006–2007 (PDDs/ determinations)	• 80 PDD submissions • 2 determination submissions	• 50–75 cases / year (PDDs/ determinations)	Same as 2007, plus: • Conduct appraisals/reviews of verifications by AIEs, as required • Make decisions with regard to verifications under review • Provide explanations for its decisions regarding verifications
Experts	• Prepare inputs to appraisals of determinations submitted • Participate in review teams as appropriate	• 2 experts per case for appraisal inputs (determinations) • No decision on number of experts/use of experts for review teams	• 2 appraisal input cases (determinations)	Same as 2007	Same as 2007
Secretariat	• Conduct completeness checks of PDDs submitted • Conduct completeness checks of determinations submitted • Prepare draft procedures and clarifications for JISC, as requested • Provide support to the JISC related to baseline setting and monitoring issues, as appropriate • Manage the operation of the project cycle workflow (including appraisals/reviews of determinations) • Manage public calls for inputs on substantive matters and for specific expertise	• Support activities above • 3 P • 1 G	• Support activities above • 3 P • 1 G	Same as 2007[3]	Same as 2007, plus: • Conduct completeness checks of monitoring reports submitted • Conduct completeness checks of verifications submitted

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[3] Assumptions and resources required will need to be revised if an increase in caseload is experienced and for the increase expected due to verifications by 2009.

Actor (Project Cycle)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–2009
	• Maintain a publicly available list of JI projects (including their status) • Make publicly available relevant information with regard to JI projects • Manage communication with stakeholders related to the project cycle workflow • Manage the collection of the fees to cover administrative expenses of the JISC • Ensure accuracy and adequacy of information in the JI information system and the UNFCCC JI website				• Manage the operation of the project cycle workflow including verifications • Manage communication of project information from the JI information system to the ITL

B. Accreditation

24.   Experience from the first year of work on accreditation has shown that the resources and time required were actually more than assumed earlier. The assumptions in terms of the amount of work both by the secretariat and by the JI-AP were underestimated when the original JI MAP was developed. Furthermore, the continued pressure to move the accreditation process ahead as fast as possible, given the approaching start of the first commitment period at the beginning of 2008 and thus the need for accreditation of IEs that are expected to verify emission reductions or enhancements of removals from JI projects, will require the need to continue to maintain, if not increase, the support and resources for this work.

25.   In addition, to ensure the credibility of the work of AIEs, which is vital for successful operation of the Track 2 procedure, the JISC will need to consider the establishment of an effective system to monitor the quality of performance of AIEs and to develop and implement an effective feedback/learning system. Another element the JISC will need to keep under careful review is the difficulties with regard to availability of expertise for, as well as quality and consistency of, assessment work for accrediting IEs, which may prompt the need to consider modifications to the JI MAP in the future to ensure appropriate resources and implementation of assessment work.

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Actor (Accreditation)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–2009
JISC	• Decide on accreditation of entities • Review accreditation procedure • Review accreditation standards and make recommendations to the CMP for consideration as appropriate • Report to CMP information on the accreditation of IEs • Determine whether AIEs are in compliance with accreditation standard • Implement CMP decision with regard to the accreditation • Provide guidance and clarifications with respect to the accreditation process	• Up to 25 decisions on accreditation • <5 decisions related to clarifications and/or guidance	• No accreditation decisions • 7 decisions related to clarifications and/or guidance	• Up to 25 decisions on accreditation • 10 decisions related to clarifications and/or guidance	Same as 2007
JI-AP	• Recommend accreditation decisions to the JISC • Drafting prior to and at meetings • participation in panel meetings and JISC workshops and/or roundtables, as appropriate • Provide training and further guidance to assessment team experts • Prepare draft recommendations	• 2006: 2 meetings • 2007: 4 meetings • Up to 50 recommendations/ decisions relating to initial application screening, on-site assessments, and witnessing activities • Evaluation and selection of up to 50 assessment team experts • <5 clarifications	• 2006: 3 meetings • 2007: 4 meetings • 14 recommendations/ decisions relating to initial application screening evaluation and selection of 49 assessment team experts	• 2008: 7 meetings • 2009: 7 meetings	Same as 2007, plus 2 additional meetings
Assessment team (JI-AT) experts	• Conduct desk reviews • On-site assessments and witnessing activities • Verification of implementation of corrective actions • Preparation of desk review, on-site assessment and witnessing reports • Preliminary and final assessment reports as the recommendations to the JI-AP	• Desk reviews 2007: 20 • On-site assessments 2007: 20 • Witnessing 2007: <20 • Verification of	• Desk reviews 2007: 11 • On-site assessments 2007: 5 • Witnessing 2007: 0 • Verification of	• Desk reviews 2008/9: 6 • On-site assessments 2008/9: 6 • Witnessing 2008/9: 75 • Verification of corrective actions	Same as 2007, plus increase in number of activities/ assessments

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Actor (Accreditation)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–2009
		corrective actions 2007: 80 • Reports 2007: 50	corrective actions 2007: 0 • Reports 2007: 14	2008/9: 295 • Reports 2008/9: 92	
Secretariat	• Procedural and process support to panel and JISC • Undertake completeness check of the application documentation • Undertake administrative steps to establish JI-ATs • Provide support and facilitate other accreditation related procedural steps • Prepare draft procedures and clarifications for JISC or JI-AP • Provide support and facilitate the meetings and communications of JISC with AIEs, and as appropriate any forum associated with the work of AIEs • Manage public calls for specific accreditation related expertise • Maintain publicly available information with regard to the accreditation process and status • Collect the application fees and oversee the implementation of the agreed fee structure for assessment activities • Provide information to the JI information system and the UNFCCC JI website • Provide inputs and options, as required, on procedural steps • Provide draft recommendations on cases for phased accreditation to be considered by the JI-AP and recommended to the JISC • Provide support to improve quality of feedback JISC to AIEs	• Support activities above • 2 P • 1 G	• Support activities above • 2 P • 1 G	Same as 2007	Same as 2007

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C. Management, secretary to the JISC, JI information system (including website), communication and special activities

26.   In addition to leading the substantive focal areas referred to above, this focal area prepares and organizes the JISC meetings (see chapter VII containing the schedule of meetings in 2008); drafts and finalizes the JISC report to the CMP and the JI MAP; identifies and manages the human and financial resources; identifies and coordinates special activities such as legal support, record/knowledge management, overall responsibility for interactions of the JISC and the UNFCCC secretariat with relevant stakeholders (e.g. DFPs and AIEs), representation to and relations with other organizations.

27.   Together with team leaders for the other focal areas, the section provides or coordinates the support to the CMP and the UNFCCC process on SDM/JI and relevant carbon market related issues. It ensures cooperation with units working on financial mechanisms, transfer of technology and capacity-building. The Manager of the JI subprogramme is the Secretary to the JISC and assists in the coordination and management of the SDM programme.

28.   The UNFCCC JI information system is a based on open source software which provides for sophisticated electronic workflows relating to the project cycle and procedures, integrating users with different access rights and roles within and outside the secretariat. The system stores documentation relating to the JI project cycle and displays information to users, including the public, according to their role. The development, programming and maintenance of the system is undertaken by a dedicated staff member within the JI subprogramme to ensure full control over priority setting, workload and quality. However, arrangements are still made as necessary to secure services from other parts of the secretariat while charging associated costs of such services to the JI subprogramme. Because the JI processes and procedures are evolving, frequent redesign and reprogramming are likely to be required. The management of change, quality and the forecasted continuous demand of new or modified features of the JI info system will require continuous attention. The system, as modelled to a large extent on the CDM, should help to contribute to a cost-effective and timely implementation of the various elements of the JI process. This area of work also covers the technical support and administration of the various extranets and listservs supporting the JI process, and handles the connectivity and ensures the technical transmission of information to the international transaction log as necessary.

29.   The secretariat maintains and initiates purchase of equipment for the JI information system and services. The cost of equipment, previously covered to a large extent from the secretariat's core budget, is reflected in the JI MAP. In 2008, services provided by other parts of the secretariat will also be reflected in the JI MAP, in order to reveal the true cost of the mechanism. As in the past, the JI subprogramme will continue to explore ways to reduce costs through cost-effective sharing of costs and resources with the CDM subprogramme.

30.   With the assistance of team leaders from the other focal areas, this unit plans and organizes outreach related activities such as workshops, side events at sessions of the UNFCCC, question-and-answer sessions of the JISC, and events in conjunction with other relevant external activities. Furthermore, this focal area will work to proactively engage the media and to improve communication with stakeholders, by improving the quality and timeliness of responses to queries and by improving the JI website. Over time, the unit will further reach out to other target groups and stakeholders, and provide additional outreach support.

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Actor (Info system)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–2009
JISC	• Identify its process and information needs				Same as 2007
Secretariat	• Identify process and information needs • Design, develop, programme and maintain UNFCCC JI Information System • Manage procurement of equipment • Provide for electronic interaction between JI information system and international transaction log • Manage interaction with the main UNFCCC website • Provide technical support to internal and external users • Maintain servers and specific hardware • design and develop electronic workflows as needed • Manage systems risk analysis and business continuity planning • Software re-development and improvement as needed • Analysis and input to secretariat records management process and system implementation • System maintenance and technical support • Implement and oversee data/system quality assurance and quality control	• Support activity above • 1 P • Supported by 1 G included in project cycle focal area (see above) • Use of external contractors • Use of other secretariat staff and charged against JI resources	• Support activity above • 1 P • Supported by 1 G included in project cycle focal area (see above) • Use of external contractors • Use of other secretariat staff and charged against JI resources	• Support activity above • 1 P • Supported by 1 G included in management (see below) • Use of external contractors • Use of other secretariat staff and charged against JI resources	Same as 2007

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Actor (Communication and outreach)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–09
JISC	• Provide guidance regarding issues relating to public information				Same as 2007
Secretariat	• Review and improve UNFCCC JI website, including design and content management • Develop and implement media/communication strategy for JI • Respond to public and media queries • Organization of JISC Q&A sessions, side events and other outreach activities • Develop and document communication procedures	• Support activity above • Staffing included in management (see below) and supported by other focal areas	• Support activity above • Staffing included in management (see below) and supported by other focal areas	Same as 2007	Same as 2007, plus: • Continual review of JISC documentation for readability and communicative impact • Design information and outreach materials

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Actor (Management)	Activity in 2007	MAP 2006–2007 assumptions	2007 level (30 September)	MAP 2008–2009 assumptions	Activity in 2008–09
Secretariat	• Manager serves as Secretary to the JISC • JI section human and financial resource management • Substantive management and coordination of JI related work • Organization of JISC meetings • Organization of workshops and management of roundtable interactions • Support (analytical/process) to relevant COP/CMP and UNFCCC cross-cutting issues • Managerial support to SDM programme	• Support activity above • 9 JISC meetings • 4 workshops • 1 P	• Support activity above • 7 JISC meetings • 2 workshops • 1 P	• Support activity above • 10 JISC meetings • 2 workshops and/or roundtables • 1 P • 1 G	Same as 2007, plus • Overall JI knowledge/record management responsibility • Increased support (analytical/process) to relevant COP/CMP and UNFCCC cross cutting issues • Increased managerial support to SDM programme

V. Budget 2007–2009

A. Summary of budget for 2007–2009

31.   Chapter IV has defined the areas of work and nature of services to be provided by the secretariat and indicated changes as compared to 2007. The assumptions underlying the budgetary requirements will require continued review and consideration over the course of the biennium in accordance with the decision of the CMP. The summary table below indicates the budgetary needs by focal area by year and split between supplementary and core funding[4].

Table 2: Budget summary, 2007–2009
(United States dollars)

Focal area	2007 budget[a]		2008 budget		2009 budget	
	Core	**Supplementary**	**Core**	**Supplementary**	**Core**	**Supplementary**
Project Cycle	215 417	425 167	215 907	349 593	215 907	349 593
Accreditation	417	873 000	40 307	910 868	40 307	910 868
Management, info sys, communication	288 417	909 860	388 383	830 379	388 383	830 379
subtotal	504 250	2 208 027	644 597	2 090 841	644 597	2 090 841
13 per cent overhead	65 553	287 043	83 798	271 809	83 798	271 809
Total by budget	**569 803**	**2 495 070**	**728 394**	**2 362 650**	**728 394**	**2 362 650**
Total of core and supplementary budget	**3 064 873**		**3 091 044**		**3 091 044**	
per cent of supplementary in total	**19 per cent**	**81 per cent**	**24 per cent**	**76 per cent**	**24 per cent**	**76 per cent**

[a] The revisions to the 2007 supplementary budget figure from that included in version 02 of the JI MAP 2006–07 are due to increased costs associated with training of JI-AT experts, assessments, unit costs increase for meetings, addition of UNFCCC shared costs for information technology/telecommunications and correction to travel costs.

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[4] In accordance with the UNFCCC programme budget, a portion of the core funding for the JI subprogramme is envisaged to cover costs associated with broader JI related activities (e.g. Track 1 and future negations support) and work of the Sustainable Development Mechanisms programme of the UNFCCC, in particular managerial support.

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32.   The two tables below provide the budget summary by activity type as presented in the JI MAP 2006–07 to indicate changes from 2007 to 2008/2009 and a breakdown of changes related to the increases noted.

Table 3: Budget summary by activity area, 2007–2009
(United States dollars)

Activity area	2007	2008–2009 / year	change in per cent 2007–2008/2009
1. Meetings and activities of the Joint Implication Supervisory Committee	192 500	187 500	-3[a]
2. Activities relating to accreditation of IEs and review of determinations	649 000	528 900	-19[b]
3. Technical workshops and roundtables (AIEs, DFPs)	230 000	115 000	-50
4. Activities by the secretariat in support of activity areas 1–3	1 136 527	1 259 441	11[c]
Sub-total (1–4)	2 208 027	2 090 841	-5
Overhead	287 044	271 809	-5
Supplementary funding total	2 495 071	2 362 650	-5
UNFCCC programme budget total	569 803	728 394	28
Total	3 064 874	3 091 044	1

[a] Decrease due to reduction in unit cost for JISC meetings.
[b] Overall decrease due to difference from increase due to number of accreditation meetings and decreases from costs for specialized expertise, reduction in number of JI-AT trainings and coverage of two AP meetings by UNFCCC programme budget.
[c] Overall increase due to increases related to secretariat costs and decreases from temporary assistance/consultancy costs (see Table 4 in chapter V.A. for details).

Table 4: Breakdown of changes from 2007–2008 for activity area secretariat support
(United States dollars)

Breakdown of increase 2007–2008/09	
UNFCCC secretariat wide shared costs not included in 2007 and included in 2008–09	101 971
Additional costs in 2008 as some posts in 2007 not based on 12 month budgeting	110 788
Increase in staff travel costs due to accreditation visits and additional staff	52 500
Hardware / software for JI information system (e.g. server procurement and service)	30 000
Overtime (not included in 2007 budget)	3 905
Breakdown of decrease 2007–2008/09	
Decrease in costs for temporary assistance/consultancies (costs shifted to posts)	- 176 250
Overall increase	122 914

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33.   The tables below provides an overview of the activities by budget activity group and source of funding for the biennium 2008–2009.

Table 5: Budget allocation by activity (2008–2009 total)

Activity area	Activity	Core budget	Supplementary funding	Total
I. Meetings and activities of the Joint Implementation Supervisory Committee	JISC meetings	4 meetings	6 meetings	10 meetings
II. Activities relating to accreditation of IEs and review of determinations	JI-AP meetings	2 meetings	12 meetings	14 meetings
	Accreditation case processing		5 new applications	5 new applications
	caseload processing		100–150 cases	100–150 cases
III. Technical workshops			2 workshops	2 workshops
IV. Activities by the secretariat in support of I-III	Staffing	4 officials [a] plus GTA	6 officials plus GTA	10 officials plus GTA

[a] Level of staffing at start of 2008.

Note: GTA = General Temporary Assistance; JISC = Joint Implementation Supervisory Committee;
        JI-AP = Joint Implementation Accreditation Panel.

B. Detail of budget 2008–2009

34.   This section provides details for the JI budget for the year 2008–2009 (on an annul basis) by focal area. In accordance with the UNFCCC programme budget, those expenditures covered by core budget have been identified (columns with grey shading).

1. Project cycle

Table 6: Project cycle budget 2008–2009)
(United States dollars)

Project Cycle	Supplementary 2008	Supplementary 2009	Core 2008–2009 (2 years)
Appraisals/review expertise	72 000	72 000	-
Further specialized expertise	10 000	10 000	-
Staff	267 000	267 000	430 000
Overtime	593	593	1 813
Total	349 593	349 593	431 813

2. Accreditation

Table 7: Accreditation budget (2008–2009)
(United States dollars)

Accreditation	Supplementary 2008	Supplementary 2009	Core 2008–2009 (2 years)
2-day meetings of the accreditation panel	236 400	236 400	78 800
Specialized expertise relating accreditation	48 000	48 000	-

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Accreditation	Supplementary 2008	Supplementary 2009	Core 2008–2009 (2 years)
Travel related to on-site assessments and witnessing activities	90 000	90 000	-
Assessment Team expert training (30 participants, 3 over 2 years)	157 500	157 500	-
Staff	373 000	373 000	-
Overtime	968	968	1 813
Total	910 868	910 868	80 613

3. Management, information system, communication

Table 8: Management, info system, communication budget (2008–2009)
(United States dollars)

Management, info system, communication	Supplementary 2008	Supplementary 2009	Core 2008–2009 (2 years)
JISC meetings (14 members, web cast, venue)	187 500	187 500	250 000
Technical workshops (30 funded participants, 1/yr)	115 000	115 000	-
Hardware / software for web interface	40 000	40 000	-
UNFCCC shared cost and services			
IT Support (software/Hardware) and telecomm.	67 396	67 396	-
Staff Training	6 640	6 640	-
Office space for non-core PBM-CDM staff	28 220	28 220	-
Services provided by ICA	23 450	23 450	-
Mobile phones	10 440	10 440	-
Consultancy/temp assistance	47 750	47 750	18 953
SDM knowledge management (staff in SBM M&C - 16 per cent funded by JI)	25 280	25 280	-
SDM legal matters (legal officer in ILA - 16 per cent funded by JI)	25 280	25 280	-
SDM administrative officer (16 per cent funded by JI)	25 280	25 280	-
SDM administrative support (16 per cent funded by JI)	12 800	12 800	-
Travel	77 500	77 500	30 000
Staff	135 000	135 000	476 000
Overtime	2 843	2 843	1 813
Total	830 379	830 379	776 766

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VI. Resources in support of Joint Implementation in 2007–2009

A. Sources of funding

35.   The sources in support of JI for the remaining of 2007 and for the period 2008–2009 are:

(a)   The UNFCCC programme budget (core) - assessed contributions by Parties (growth of 28 per cent from 2006–2007 to 2008–2009 biennium):

Table 9: Assessed contribution of UNFCCC programme budget, 2006–2009
(United States dollars)

	2006–2007	**2008–2009**
UNFCCC programme budget	1 139 605	1 456 788

(b)   Supplementary resources, to be generated by:

Table 10: Sources of supplementary funding, 2006-2010

Source of supplementary funding	2006–2007	2008–2009	As of beginning of 2010
Party contributions	X	X	-
Accreditation fees	X	X	X
Fees for administrative expenses	-	collected, but only for use as of 2010	X

B. Status of resources

36.   The table below gives a status of the sources of funding as of 10 October 2007. It shows a shortfall at this stage of USD 1 248 598.

Table 11: Shortfall in supplementary funding as of 10 October 2007
(United States dollars)

	2006	**2007**	**2006–2007**
Project budget	1 049 596	2 495 071	3 544 666
Carry-over from 2005	84 144	-	84 144
Voluntary contributions by Parties (income available)[a]	1 105 567	881 548	1 987 115
Fees from accreditation applications	-	224 809	224 809
Shortfall	**-140 115**	**1 388 714**	1 248 598

[a] Includes only those received (i.e. funds pledged but not transferred are not included).

37.   Decision 3/CMP.2 stressed the need for contributions by Annex I Parties to the Trust Fund for Supplementary Activities to fund administrative expenses for implementing Article 6 o the Kyoto Protocol. Although contributions have been forthcoming in 2007, the existing shortfall would not be covered even with the receipt of the outstanding pledges (USD 500 000) made by Parties at CMP1 in Montreal in 2005. JI related work continues to need to be funded from supplementary funding through 2009, as indicated in the JI MAP 2006–07 and report of the JISC to the CMP, and in the process of the UNFCCC programme budget development. As of 2010, with a build up of resources from administrative fees over the biennium 2008–2009, it is expected that JI related work could be covered from this source of funding.

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VII. Schedule of JISC meetings for 2008

Tentative schedule of meetings for 2008[a]

	Mo	Tue	Wed	Thur	Fri	Sat	Sun	Mo	Tue	Wed	Thur	Fri	Sat	Sun	Mo	Tue	Wed	Thur	Fri	Sat	Sun	Mo	Tue	Wed	Thur	Fri	Sat	Sun	Mo	Tue	Wed	Thur	Fri	Sat	Sun
January		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31			
February					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29		
March						1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
April	31	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30				
May				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	1
June	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30						
July		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31			
August					1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
September	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30					
October			1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	1	2
November	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30							
December	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31				

Scheduled meetings (highlighted bars):
- JISC 10 — February 20–22
- JISC 11 — April 7–9
- SB 28 — June 2–14
- JISC 12 — June 15–17
- JISC 13 — September 8–10
- JISC 14 — November 26–28
- COP/MOP 4 — December 1–12

[a] Dates include informal consultations.