

**SEVENTH PROGRESS REPORT
OF THE
JOINT IMPLEMENTATION ACCREDITATION PANEL (JI-AP)**

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I. INTRODUCTION

1. This seventh progress report covers the period from 2 February 2008 to 20 May 2008. During this period the Joint Implementation Accreditation Panel (JI-AP) held two meetings.

II. STATUS OF APPLICATIONS FOR ACCREDITATION

2. Since the end of the previous reporting period, there was no new application from an independent entity (IE) for the joint implementation (JI) accreditation process. Therefore, the number of applications since the opening of the process of receiving submissions of applications on 6 October 2006 by the end of the present reporting period remains the same as in the previous reporting period, i.e. **15 applications in total**. All of these applications are from IEs that also applied for accreditation under the clean development mechanism (CDM). Of these, 13 IEs may act provisionally as accredited independent entities under JI for at least one function (determination regarding a project design document (PDD) or determination of emission reductions or enhancements of removals) in at least one sectoral scope, in accordance with paragraph 3 of decision 10/CMP.1 and Joint Implementation Supervisory Committee (JISC)'s clarification C-JI-ACCR-01.

3. The JI-AP had already selected members of joint implementation assessment teams (JI-ATs) and agreed on the workplans for all 15 applications by the end of the previous reporting period. Of these, the JI-AP had decided to re-visit the workplan for one application and put on hold the start of JI-AT's assessment work until the applicant IE submits additional documents relating to its application for additional sectoral scopes as reported in the sixth progress report. This applicant IE had not submitted the additional documents by the end of the present reporting period. Consequently, the assessment work is underway currently for 14 applications by respective JI-ATs.

4. **Desk reviews have been completed for 14 applications**, of which the site visit of the **on-site assessment has been conducted for 13 applications** by the end of the present reporting period. Of the latter, **a letter indicating successful completion of the desk review and the on-site assessment (indicative letter) was issued to four applications by the JI-AP** in accordance with paragraph 43 of the "Procedure for accrediting independent entities by the Joint Implementation Supervisory Committee" (JI accreditation procedure). For the remaining applications, desk review and/or on-site assessment is expected to be conducted, or the JI-AP's consideration on issuing an indicative letter is expected to take place, in the coming months. The details of the applications and the status of processing them are presented in annex 1.

5. By the end of the present reporting period, **14 witnessing opportunities submitted from eight applicant IEs have been accepted by respective JI-AT leaders** in accordance with paragraphs 44 and 45 of the JI accreditation procedure. Of these, corresponding PDDs have been published on the UNFCCC website subsequently for 12 witnessing opportunities. Further, documentary evidence for the assessment by the respective JI-AT was submitted from an applicant IE for one witnessing opportunity, for which the establishment of a JI-AT is underway.

III. RECOMMENDATIONS FROM THE PANEL

6. With a view to ensuring JI-ATs to appropriately cover the evidence of applicant IE's determination activities under witnessing, the JI-AP considered measures to forward relevant information, which are additional to those submitted by the applicant IE in accordance with paragraph 47 or 48 of the JI accreditation procedure, to JI-ATs for their witnessing activities, and agreed to recommend to the JISC to revise the JISC clarification C-JI-ACCR-02, as contained in annex 2.

IV. STATUS OF ROSTER OF EXPERTS

7. Since the end of the previous reporting period, there was no addition, withdrawal or resignation of experts on the roster. Therefore, **there are currently 39 experts in the roster** selected from three calls for experts (opened from 9 October until 20 November 2006, from 13 December 2006 until 17 January 2007, and from 28 February until 24 September 2007).

8. The JI-AP started implementing the evaluation of JI-AT leaders and members using forms (F-JI-EVatl, F-JI-EVatm) reported in the fifth progress report to identify areas for training of JI-AT experts and to use for leader/member selection and team composition of future JI-ATs.

9. The JI-AP continued the evaluation of methodology experts in the roster with a view to identifying the sectoral scopes where the number of competent experts are insufficient for witnessing activities. If found insufficient for some sectoral scopes, the JI-AP agreed that it would open a new call based on the finding. In this context, the JI-AP developed criteria for selection of methodology experts in the roster for witnessing activities.

V. OTHER OUTPUTS OF THE PANEL

10. The JI-AP revised a guidance document for JI-ATs for their undertaking on-site assessment, to clarify the expected role and function of JI-ATs and their leaders at some stages of the on-site assessment.

VI. ISSUES UNDER CONSIDERATION

11. The Chair of the JI-AP proposed to the Chair of the CDM accreditation panel (CDM-AP) of possible areas of cooperation between the JI and CDM accreditation processes. The JI-AP is currently awaiting a response from the CDM-AP to the proposal.

12. The JI-AP is continuing its consideration of appropriate grouping of sectoral scopes in the context of “phasing of accreditation” in accordance with relevant provisions of the JI accreditation procedure.

13. The JI-AP decided to develop a guidance document for JI-AT experts, which aims at clarifying the difference between “corrections” and “corrective actions” in the context of JI accreditation process.

14. The JI-AP decided to organize the third training session for JI-AT experts on 18-19 June 2008 in Bonn, Germany, focusing on witnessing activities to provide participants with practical knowledge and experience. The JI-AP is also overseeing the development of an on-line training system for JI-AT experts, the process for which is being managed by the secretariat.

15. The JI-AP decided to consider the necessity of further revision of the JI accreditation procedure and/or relating documents with a view to improving their consistency and clarity in totality.

ANNEXES

Annex 1: Status of applications for accreditation

Annex 2: Draft clarification regarding scopes and steps of witnessing activities under the joint implementation accreditation procedure (version 02)

Annex 1: Status of applications for accreditation

UNFCCC Ref. No.	Entity name	Sectoral scopes applied	Accreditation steps								
			Completeness check	Preliminary consideration	Workplan	JI-AT establishment	Desk review	On-site assessment	Indicative letter	Witnessing activity	Phased accreditation
JI-E-0001	Det Norske Veritas Certification AS (DNV)	1-15	✓	✓	✓	✓	✓	Non- conformities closed ¹		2 witnessing opportunities accepted	
JI-E-0002	Japan Quality Assurance Organization (JQA)	1-15	✓	✓	✓	✓	✓	Site visit done ²		1 witnessing opportunity accepted	
JI-E-0003	Deloitte Tohmatsu Evaluation and Certification Organization (Deloitte- TECO) ³	1-10, 12-13, 15	✓	✓	✓	✓	✓	✓			
JI-E-0004	Lloyd's Register Quality Assurance Ltd. (LRQA)	1-13	✓	✓	✓	✓	✓	✓		1 witnessing opportunity accepted	
JI-E-0005	JACO CDM., LTD (JACO)	1-15	✓	✓	✓	✓	✓	Site visit done ²		1 witnessing opportunity accepted	
JI-E-0006	Japan Consulting Institute (JCI)	1-5, 8-11, 13	✓	✓	✓	✓	✓	✓	✓		
JI-E-0007	Bureau Veritas Certification Holding SAS (BVC Holding SAS) ⁴	1-15	✓	✓	✓	✓	✓	✓	✓	2 witnessing opportunities accepted	
JI-E-0008	TÜV SÜD Industrie Service GmbH (TÜV-SÜD)	1-15	✓	✓	✓	✓	✓	Site visit done ²		2 witnessing opportunities accepted	

UNFCCC Ref. No.	Entity name	Sectoral scopes applied	Accreditation steps								
			Completeness check	Preliminary consideration	Workplan	JI-AT establishment	Desk review	On-site assessment	Indicative letter	Witnessing activity	Phased accreditation
JI-E-0009	Spanish Association for Standardisation and Certification (AENOR)	1-15	✓	✓	✓	✓	✓	Site visit done ²			
JI-E-0010	SGS United Kingdom Ltd. (SGS)	1-15	✓	✓	✓	✓	✓	✓	✓	3 witnessing opportunities accepted	
JI-E-0011	TÜV NORD CERT GmbH (TÜV NORD)	1-15	✓	✓	✓	✓	✓	✓	✓		
JI-E-0012	TÜV Rheinland Japan Ltd. (TÜV Rheinland) ⁵	1-15	✓	✓	✓	✓	✓	✓		2 witnessing opportunities accepted	
JI-E-0013	Swiss Association for Quality and Management Systems (SQS)	1-15	✓	✓	✓	✓	✓	Site visit done ²			
JI-E-0014	KPMG Sustainability B.V. (KPMG)	1-4, 13	✓	✓	✓	✓	✓				
JI-E-0015	Germanischer Lloyd Certification GmbH (GLC)	1-3, 7, 10, 13	✓	✓	✓ ⁶	✓					

Legend:

✓ = stage completed

1) JI-AT has cleared all non-conformities and is preparing assessment reports.

2) Corrective actions being identified or underway by applicant IE.

3) Formerly named as “Tohmatsu Evaluation and Certification Organization Co., Ltd”.

4) Formerly named as “Bureau Veritas Certification Holding S.A.”.

5) Formerly applied from “TÜV Industrie Service GmbH, TÜV Rheinland Group”.

6) The JI-AP decided to re-visit the workplan once the applicant IE has submitted documents relating to its application for additional sectoral scopes.

Annex 2: Draft clarification regarding scopes and steps of witnessing activities under the joint implementation accreditation procedure (version 02)



**CLARIFICATION REGARDING SCOPES AND STEPS OF WITNESSING ACTIVITIES
UNDER THE JOINT IMPLEMENTATION ACCREDITATION PROCEDURE**

(Version 02)

1. Witnessing concerning the function “determination regarding a project design document (PDD)” referred to in the “Procedure for accrediting independent entities by the Joint Implementation Supervisory Committee” (JI accreditation procedure) shall cover the steps from making a PDD publicly available through the secretariat in accordance with paragraph 32 of the annex to decision 9/CMP.1 (JI guidelines) up to immediately before making the determination regarding the PDD publicly available through the secretariat in accordance with paragraph 34 of the JI guidelines.
2. Witnessing concerning the function “determination of emission reductions or enhancements of removals” referred to in the JI accreditation procedure shall cover the steps from making a monitoring report publicly available through the secretariat in accordance with paragraph 36 of the JI guidelines up to immediately before making the determination based on the monitoring report publicly available through the secretariat in accordance with paragraph 38 of the JI guidelines.
3. An applicant independent entity (IE) can make a PDD or monitoring report publicly available through the secretariat in the context of a witnessing activity only after the joint implementation assessment team (JI-AT) has accepted the corresponding project as a witnessing opportunity.
4. In the course of a witnessing activity the applicant IE shall submit ~~at~~the determination to the JI-AT through the secretariat.
- 5. The secretariat shall, subject to confidentiality provisions, transfer to the (leader of the) JI-AT any information it has received within the scope of its mandate and with respect to a particular (proposed) witnessing opportunity and/or activity.**
6. The JI-AT shall consider the determination referred to in paragraph 4 above as part of the witnessing evidence ~~which~~that is to be reported to the Joint Implementation Accreditation Panel.
7. An applicant IE has to be accredited for the corresponding sectoral scope(s) and function(s) before making the determination publicly available through the secretariat in accordance with paragraphs 34 or 38 of the JI guidelines.
- ~~7.8.~~ Paragraphs 3-~~6~~7 above apply to designated operational entities under the clean development mechanism acting provisionally as accredited independent entities in accordance with paragraph 3 of decision 10/CMP.1 only for ~~the~~any project chosen as a witnessing opportunity.

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