



FRAMEWORK CONVENTION ON CLIMATE CHANGE - Secretariat
CONVENTION - CADRE SUR LES CHANGEMENTS CLIMATIQUES -
Secrétariat

Date: 12 September 2008
Ref: JISC 12

JOINT IMPLEMENTATION SUPERVISORY COMMITTEE

TWELFTH MEETING

Report

Date of meeting: 11–12 September 2008

Location: Bonn, Germany

Attendance: The names of members and alternate members of the Joint Implementation Supervisory Committee (JISC) present at the twelfth meeting are in bold print below. Where only the name of an alternate member is in bold print, the alternate member participated as a member.

Members	Alternate Members
Mr. Olle Björk	<i>Mr. Franzjosef Schafhausen</i>
Mr. Maurits Blanson Henkemans	Mr. Hiroki Kudo
Mr. Georg Børsting	<i>Mr. Benoît Leguet</i>
Mr. Carlos Fuller	<i>Mr. Javier Andrés Hubenthal</i>
Ms. Fatou Gaye	<i>(Mr. Vincent Kasulu Seya Makonga)¹</i>
Mr. Muhammed Quamrul Chowdhury	<i>Mr. Maosheng Duan</i>
Mr. Derrick Oderson	<i>Ms. Ngedikes Olai Uludong-Polloi</i>
Mr. Oleg Pluzhnikov	Ms. Agnieszka Gałan
Ms. Daniela Stoycheva	Mr. Georgiy Geletukha
Mr. Vlad Trusca	<i>Mr. Matej Gasperic</i>

Quorum (in parenthesis required numbers):

9 (7) members/alternate members acting as members were present of which

6 (4) were from Annex I Parties and

3 (3) were from non-Annex I Parties.

WWW broadcasting: <http://ji.unfccc.int/Sup_Committee/Meetings>.

¹ Mr. Kasulu Seya Makonga resigned as a JISC alternate member, effective immediately after the eleventh meeting of the JISC (16–17 June 2008).

**Agenda item 1: Membership issues (including disclosure of possible conflict of interest)**

1. The Chair opened the meeting and asserted that the quorum was met. No conflict of interest was identified by any member or alternate member of the JISC present at the meeting.
2. The JISC noted that Mr. Muhammed Quamrul Chowdhury, Mr. Maosheng Duan, Mr. Franzjosef Schafhausen and Ms. Ngedikes Olai Uludong-Polloi were unable to attend the meeting and that Mr. Duan, Mr. Schafhausen and Ms. Uludong-Polloi had provided proper justification for their absence.
3. The JISC took note of the status of nomination of a replacement for Mr. Vincent Kasulu Seya Makonga by the relevant constituency.

Agenda item 2: Adoption of the agenda

4. With regard to the proposed agenda, the Chair suggested to additionally discuss the securing of confidential information and safeguarding against conflicts of interest under proposed agenda item "Other Matters".
5. The JISC adopted the agenda with the changes suggested and mentioned in paragraph 4 above as proposed.

Agenda item 3: Work plan**Agenda sub-item 3 (a): Accreditation of independent entities**

6. The JISC took note of the eighth progress report on the work of the joint implementation accreditation panel (JI-AP) presented by its Chair, Mr. Pluzhnikov. The report summarized information relating to the work of the JI-AP, including the status of applications for accreditation, the status of the roster of experts for joint implementation assessment teams (JI-ATs), and other outcomes of the JI-AP.

General guidance and process

7. The JISC took note of other progress made by the JI-AP, including the organization of the third training session for JI-AT experts, as well as issues under consideration by the JI-AP.
8. Taking into account the opinions expressed by stakeholders during the UNFCCC technical workshop on joint implementation (JI) referred to in paragraph 26 below, the JISC discussed the need of facilitating the accreditation process and decided to request the JI-AP to consider possible measures to realize it with a special emphasis on the witnessing stage and to make a recommendation to the JISC in this regard at its next meeting for its consideration.

Further schedule

9. The JISC noted that the thirteenth meeting of the JI-AP is to take place on 29-30 September 2008.

**Agenda sub-item 3 (b): Matters relating to determination reports regarding project design documents**

10. The JISC took note of the report by the secretariat regarding the submission of documents under the verification procedure under the JISC (hereinafter referred to as JI Track 2 procedure). Since the launch of the JI Track 2 procedure on 26 October 2006, 155 project design documents (PDDs) had been submitted and made publicly available on the UNFCCC JI website in accordance with paragraph 32 of the annex to decision 9/CMP.1 (hereinafter referred to as JI guidelines). Six determinations regarding a PDD had been published on the UNFCCC JI website in accordance with paragraph 34 of the JI guidelines:

- (a) Five determinations had been deemed final in accordance with paragraph 35 of the JI guidelines;
- (b) One determination had been rejected.

11. The JISC also took note of the report by the secretariat on the status of information from Parties regarding their designated focal points (DFPs) and national guidelines and procedures for approving JI projects, in accordance with paragraph 20 (a) and (b) of the JI guidelines, required for the submission of determination reports regarding PDDs. Thirty-three Annex I Parties had informed the secretariat of their DFPs.² From these Parties, twenty-four had also submitted their national guidelines and procedures for approving JI projects.³ The JISC encouraged Parties wishing to be involved in JI projects to provide to the secretariat information in accordance with paragraph 20 of the JI guidelines, if this information had not been previously submitted.

Agenda sub-item 3 (c): Joint implementation project design document forms (incl. guidelines for users)

12. At its eleventh meeting, the JISC had discussed the need to revise the JI PDD forms (including guidelines for users), and had decided to further consider the issue during the UNFCCC technical workshop on JI on 9–10 September 2008, referred to in paragraph 26 below, and its subsequent twelfth meeting.

13. To ensure the highest degree of transparency and to identify practical needs for a revision, the JISC, at its eleventh meeting, had also requested the secretariat to launch a call for public input on possible revisions of the JI PDD forms (including guidelines for users), asking to propose possible changes to these documents including reasons for the suggestion. The JISC also requested the secretariat to address the issue on its behalf to the DOE/AIE coordination forum for its inputs.

14. The JISC discussed the inputs received, including relevant inputs by the DOE/AIE coordination forum to its eleventh meeting, and requested Mr. Geletukha, Mr. Hubenthal and Mr. Leguet, supported by the secretariat, to prepare on this basis draft revised guidelines for users of the JI PDD forms, for consideration at its thirteenth meeting.

² Austria, Belarus, Belgium, Bulgaria, Canada, Croatia, Czech Republic, Denmark, Estonia, European Community, Finland, France, Germany, Hungary, Ireland, Italy, Japan, Liechtenstein, Lithuania, Luxembourg, Netherlands, New Zealand, Norway, Poland, Portugal, Romania, Russian Federation, Slovenia, Spain, Sweden, Switzerland, Ukraine, United Kingdom.

³ Austria, Belarus, Belgium, Bulgaria, Czech Republic, Denmark, Finland, Germany, Hungary, Ireland, Italy, Japan, Liechtenstein, Lithuania, Netherlands, New Zealand, Poland, Romania, Russian Federation, Spain, Sweden, Switzerland, Ukraine, United Kingdom.

**Agenda sub-item 3 (d): Guidance on criteria for baseline setting and monitoring**

15. At its eleventh meeting, the JISC had discussed the need to revise its guidance on criteria for baseline setting and monitoring, and had decided to further consider the issue during the UNFCCC technical workshop on JI on 9–10 September 2008, referred to in paragraph 26 below, and its subsequent twelfth meeting.

16. The JISC decided not to revise its guidance on criteria for baseline setting and monitoring at this stage, but to keep it under review based on experience and requests for clarification, referred to in paragraph 32 below, as appropriate.

17. In this context, the JISC also emphasised that all the approaches mentioned in its guidance on criteria for baseline setting and monitoring, including regarding the demonstration of additionality, are equally valid.

Agenda sub-item 3 (e): Management plan and resources for the work on joint implementation

18. The JISC considered the draft revised JI management plan (JI MAP) for the biennium 2008–2009 prepared by the secretariat as requested by the JISC at its eleventh meeting. The JISC reflected on the evolution of its activities through mid-2008, and discussed the key objectives and activities to be included in this version of the JI MAP and the challenges faced by the JISC in the remainder of this biennium. Following modifications to the draft prepared by the secretariat, with a view to reporting to the Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol (CMP) at its fourth session, the JISC agreed on the revised JI MAP for the biennium 2008–2009, as contained in [annex 1](#).

19. The JISC also discussed the current financial situation, including the status of contributions from Parties and the current shortfall of approximately USD 1.8 million in resources budgeted for implementation of the activities expressed in the JI MAP. In this regard, the JISC thanked those Parties that had contributed to the funding of the work of the JISC during the current biennium and encouraged Parties to make contributions to ensure that all necessary activities envisaged by the JISC can be carried out in a predictable and sustainable manner. The status of resources and contributions is provided in [annex 2](#).

20. The JISC as well considered the schedule of its meetings for the remainder of 2008. The JISC, noting the need to be efficient in its work and the use of resources, decided not to schedule a meeting in October 2008 as had been considered as a possibility earlier. The schedule of meetings for 2008 is contained in [annex 3](#).

21. The JISC, recalling its earlier work at its tenth meeting, noted that it intends to consider further the development of appropriate management indicators at its thirteenth meeting.

Agenda item 4: Other matters**Agenda sub-item 4 (a): Collaboration of the Joint Implementation Supervisory Committee with others**

22. Based on the decision made at its tenth meeting, the JISC had invited the Chair of the DOE/AIE coordination forum on JI issues (Mr. Michael Lehmann) to the meeting for interaction between the JISC and the forum.

23. The Chair of the DOE/AIE coordination forum reiterated some of the inputs from the forum sent to the JISC at its last meeting, as well as summarized the main opinions expressed by independent



entities (IEs) during the UNFCCC technical workshop on JI referred to in paragraph 26 below, for consideration by the JISC.

24. The JISC requested the Chair of the DOE/AIE coordination forum to provide clarifications on some of the inputs and opinions raised, and agreed to further consider them in its future meetings.

25. The Chair of the JISC thanked Mr. Lehmann for his attendance and expressed the usefulness of the inputs from and the interaction with the forum.

Agenda sub-item 4 (b): Results of the UNFCCC technical workshop on joint implementation

26. The fifth UNFCCC workshop on JI was held in Bonn on 9–10 September 2008, immediately prior to the twelfth meeting of the JISC. Participants included members and alternate members of the JISC and experts on project-based mechanisms, including designated focal points, applicant IEs, and other stakeholders.

27. Among the general topics discussed, on the basis of experience, were:

- (a) Issues regarding the accreditation of IEs;
- (b) The need for further guidance by the JISC regarding:
 - (i) The application of baseline and monitoring methodologies approved by the Executive Board of the clean development mechanism (CDM EB), including deviations, or of JI specific approaches regarding baseline setting and monitoring;
 - (ii) The demonstration of additionality under JI;
- (c) The need for revisions to the JI PDD forms (including guidelines for users).

28. The following issues, inter alia, were raised by the participants:

- Costs and timelines of accreditation, including facilitation of witnessing activities;
- Collaboration between the JI and CDM accreditation processes;
- Grouping of sectoral scopes under the accreditation process;
- Roles of/relationship between the JISC, IEs, host Parties and project participants regarding project assessment;
- Stability and consistency of JI rules;
- Equal validity of all approaches mentioned in the JISC's guidance on criteria for baseline setting and monitoring, including regarding the demonstration of additionality (JI specific approaches and approved CDM approaches);
- Version of approved CDM baseline and monitoring methodologies/tools to be used (if applicable);
- Treatment of further guidance by the CDM EB regarding an approved CDM baseline and monitoring methodology/tool (if applicable);
- Treatment and transparent presentation of deviations from an approved CDM baseline and monitoring methodology (if applicable);

- Treatment and transparent presentation of JI specific approaches regarding baseline setting and monitoring (including demonstration of additionality);
- Overlapping monitoring periods;
- Consideration of the project start date (e.g. in the assessment of additionality);
- Assessment of additionality of emission reductions or enhancements of removals from projects involving multiple activities;
- Adjustments at the verification stage;
- Site visits by IEs during determinations/verifications;
- Treatment of minor issues raised with regard to a determination/verification;
- “Validation and verification manual” for JI;
- Programme of activities under JI;
- Role of JI post-2012.

29. The JISC took note of the diverging views regarding the issues discussed during the workshop and will consider them further, as appropriate.

Agenda sub-item 4 (c): Report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

30. The JISC considered the draft report of the JISC to the CMP prepared by the secretariat and agreed on the report after revising the draft.

31. The JISC requested the secretariat to finalise the report, in consultation with the Chair of the JISC, taking into account the results of the twelfth meeting of the JISC.

Agenda sub-item 4 (d): Other business

Procedures for requests for clarification

32. The JISC decided to develop procedures for requesting clarifications (e.g. regarding baseline setting and monitoring) from the JISC and requested the Chair and Vice-Chair of the JISC, supported by the secretariat, to prepare a draft for consideration at its thirteenth meeting.

“Validation and verification manual” for joint implementation

33. The JISC discussed the proposal to develop a “validation and verification manual” for JI and decided to consider the issue further at its thirteenth meeting.

Securing confidential information and safeguarding against conflicts of interest

34. The JISC formalized internal conduct guidelines for members and alternate members to implement their written oath of service to declare any conflict of interest (rule 10 of the rules of procedure of the JISC) and to maintain confidentiality (rule 11 of the rules of procedure of the JISC).

Documentation approved by the Joint Implementation Supervisory Committee

35. The JISC stated explicitly that all documents (forms, procedures, etc.) approved by the JISC and published on the UNFCCC JI website are intended for use in connection with the verification procedure under the JISC, as defined in paragraphs 30–45 of the JI guidelines. If the documents are used for any other purpose, they shall not be modified or changed in any way, and anyone making such use of the



documents shall hold harmless and defend the JISC and the UNFCCC secretariat against any action that may be brought against them as a result of such use.

Other items

36. The JISC agreed on the provisional agenda of its thirteenth meeting, to be held on 27–28 November 2008, as contained in [annex 4](#).

Informal interaction with registered observers

37. The JISC invited registered observers for a webcasted question-and-answer session.

Agenda item 5: Conclusion of the meeting

38. The Chair summarized the main conclusions and closed the twelfth meeting of the JISC.



Annexes to the report

Management plan and resources for the work on joint implementation

- Annex 1: Joint Implementation Management Plan
- Annex 2: Status of resources and contributions
- Annex 3: Schedule of meetings of the Joint Implementation Supervisory Committee in 2008

Other matters

- Annex 4: Provisional agenda of the thirteenth meeting of the Joint Implementation Supervisory Committee