

**JOINT IMPLEMENTATION SUPERVISORY COMMITTEE****Thirteenth meeting****Proposed agenda and annotations**

Poznań (Poland)  
27–28 November 2008<sup>1</sup>

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<sup>1</sup> The thirteenth meeting of the Joint Implementation Supervisory Committee will be preceded by informal consultations on 26 November 2008.



## I. PROPOSED AGENDA

1. Membership issues (including disclosure of possible conflict of interest)
2. Adoption of the agenda
3. Work plan:
  - (a) Accreditation of independent entities
  - (b) Matters relating to determination reports regarding project design documents
  - (c) Joint implementation project design document forms (including guidelines for users)
  - (d) Management plan and resources for the work on joint implementation
4. Other matters:
  - (a) Collaboration of the Joint Implementation Supervisory Committee with others
  - (b) Procedures for requests for clarification
  - (c) Means to provide guidance to independent entities and project developers regarding determinations
  - (d) Other business
5. Conclusion of the meeting

## II. ANNOTATIONS TO THE PROPOSED AGENDA

### 1. Membership issues (including disclosure of possible conflict of interest)

1. **Background:** According to paragraph 14 of the annex to decision 9/CMP.1 on guidelines for the implementation of Article 6 of the Kyoto Protocol (hereinafter referred to as JI guidelines) at least two thirds of the members of the Joint Implementation Supervisory Committee (JISC), representing a majority of members from Parties included in Annex I and a majority of members from Parties not included in Annex I, must be present to constitute a quorum.
2. According to paragraph 10 (b) of the JI guidelines members, including alternate members, of the JISC shall have no pecuniary or financial interest in any aspect of a JI project. Paragraph 2 of rule 9 of the rules of procedure of the JISC additionally stipulates that members, including alternate members, of the JISC shall have no pecuniary or financial interest in any accredited independent entity (AIE), or any designated operational entity (DOE) acting provisionally as an AIE.
3. According to paragraph 11 of the JI guidelines, quoted in paragraph 1 of rule 7 of the rules of procedure of the JISC, the JISC may suspend and recommend to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) the termination of the membership of a particular member, including an alternate member, for cause including, inter alia, breach of the conflict of interest provisions or failure to attend two consecutive meetings of the JISC without proper justification.
4. **Action:** The Chair may wish to ascertain whether (a) the members present constitute a quorum and (b) the absence of any member or alternate member is “without proper justification”.
5. The Chair may wish to request the members and alternate members of the JISC to disclose whether they consider to have any conflict of interest relating to the work of the JISC.
6. The JISC may wish to consider information provided by any member or alternate member, including with respect to any potential conflict of interest, and take action, if appropriate.

### 2. Adoption of the agenda

7. **Background:** The JISC agreed, at its twelfth meeting, on items to be included in the provisional agenda of its thirteenth meeting. In accordance with rule 19 of the rules of procedure of the JISC, subsequent additions or changes to the provisional agenda of a meeting may be proposed to the secretariat by any member, or alternate member, and incorporated in the proposed agenda. No suggestions for additions or changes were received by the secretariat before the relevant deadline. The proposed agenda was transmitted to the JISC on 6 November 2008 and thereafter posted on the UNFCCC JI website.
8. **Action:** The members of the JISC may wish to adopt the proposed agenda of the thirteenth meeting.

### 3. Work plan

#### (a) Accreditation of independent entities

9. **Background:** On the basis of the accreditation procedure adopted by the JISC at its fourth meeting, on 6 October 2006, independent entities (IEs), including DOEs under the clean development mechanism (CDM), could start submitting applications for accreditation under JI to the secretariat.

10. Based on the terms of reference for JI assessment teams (JI-ATs), agreed on by the JISC also at its fourth meeting, the secretariat, as mandated, launched four public calls for experts to be included in the roster of experts for JI-ATs, open for applications from 9 October until 20 November 2006, from 13 December 2006 until 17 January 2007, from 28 February until 24 September 2007, and from 2 October until 1 November 2008, respectively.

11. The Joint Implementation Accreditation Panel (JI-AP) held its thirteenth and fourteenth meetings on 29–30 September 2008 and 9–10 November 2008, respectively. At these meetings, the JI-AP maintained the roster of experts for JI-ATs, considered the applications for accreditation from IEs, and selected members of JI-ATs with regard to these applications.

12. Responding to the request by the JISC at its twelfth meeting, the JI-AP considered possible measures to facilitate the accreditation process with a special emphasis on the witnessing stage, and in this regard prepared a draft revised JI accreditation procedure and a draft revised list of sectoral scopes, as contained in the ninth progress report on the work of the JI-AP, as recommendations to the JISC for its consideration at the present meeting.

13. **Action:** The JISC may wish to (a) take note of the ninth progress report on the work of the JI-AP and an oral report by its Chair, Mr. Pluzhnikov, on the work of the panel, (b) consider the recommendations by the JI-AP and take action, as appropriate, and (c) consider further guidance to the JI-AP, as appropriate.

**(b) Matters relating to determination reports regarding project design documents**

14. **Background:** At its fifth meeting, the JISC officially launched the verification procedure under the JISC (hereinafter referred to as JI Track 2 procedure) on 26 October 2006 and noted that the information from Parties regarding their designated focal points (DFPs) and national guidelines and procedures for approving JI projects, in accordance with paragraph 20 (a) and (b) of the JI guidelines, required for the submission of determination reports regarding project design documents (PDDs), had not been provided to the secretariat by a number of Parties.

15. **Action:** The JISC may wish to consider and discuss the status of (a) projects submitted under the JI Track 2 procedure as well as (b) information provided by Parties in accordance with paragraph 20 of the JI guidelines, and take action, as appropriate.

**(c) Joint implementation project design document forms (including guidelines for users)**

16. **Background:** At its eleventh meeting, the JISC had discussed the need to revise the JI PDD forms (including guidelines for users), and had decided to further consider the issue during the UNFCCC technical workshop on JI on 9–10 September 2008 and its subsequent twelfth meeting.

17. To ensure the highest degree of transparency and to identify practical needs for a revision, the JISC, at its eleventh meeting, had also requested the secretariat to launch a call for public input on possible revisions of the JI PDD forms (including guidelines for users), asking to propose possible changes to these documents including reasons for the suggestion. The JISC also requested the secretariat to address the issue on its behalf to the DOE/AIE coordination forum for its inputs.

18. At its twelfth meeting, the JISC discussed the inputs received, including relevant inputs by the DOE/AIE coordination forum to its eleventh meeting, and requested Mr. Geletukha, Mr. Hubenthal and Mr. Leguet, supported by the secretariat, to prepare on this basis draft revised guidelines for users of the JI PDD forms, for consideration at its thirteenth meeting.

19. In the course of preparing draft revised guidelines for users of the JI PDD forms, the glossaries of JI terms originally contained in the single guidelines for users<sup>2</sup> were consolidated in a separate draft document for reasons of transparency and comprehensiveness.

20. The draft revised guidelines for users of the JI PDD forms and the draft glossary of JI terms, prepared in accordance with paragraphs 18 and 19 above, are attached as annexes 1-4.

21. **Action:** The JISC may wish to discuss the draft revised guidelines for users of the JI PDD forms and the draft glossary of JI terms, mentioned in paragraph 20 above, and adopt them on the basis of these considerations, as appropriate.

**(d) Management plan and resources for the work on joint implementation**

22. **Background:** According to paragraph 4 of decision 3/CMP.2, the JISC shall keep the joint implementation management plan (JI-MAP) under review and make adjustments as necessary to continue ensuring the efficient, cost-effective and transparent functioning of the JISC and related activities on JI.

23. At its twelfth meeting, the JISC agreed on a revision to JI-MAP for the biennium 2008–2009 and included it in the annual report of the JISC to the CMP. In its report, the JISC indicated it would continually keep the JI-MAP under review to ensure the assumptions and arrangements included therein are adequate.

24. In accordance with paragraph 4 (b) of decision 3/CMP.2, the JISC is to adopt appropriate management indicators. The JISC has considered this issue at its ninth and tenth meetings, and most recently noted at its twelfth meeting its intention to consider this issue in its thirteenth meeting.

25. **Action:** The JISC may wish to consider the JI-MAP as necessary. In this context, the JISC as well may wish to take note of the status of resources and contributions.

26. The JISC may wish to consider the information to be provided by the secretariat with regard to possible management indicators and their development.

**4. Other matters**

**(a) Collaboration of the Joint Implementation Supervisory Committee with others**

27. **Background:** The CMP, through paragraph 5 of decision 10/CMP.1, encouraged the JISC to collaborate with (a) the Executive Board of the CDM, (b) the Compliance Committee under the Kyoto Protocol, in particular with regard to the list of Parties referred to in paragraph 27 of the JI guidelines, (c) the DFPs for Article 6 under the Kyoto Protocol and (d) observers to meetings of the JISC, referred to in paragraph 18 of the JI guidelines, through regular question-and-answer sessions held in this context.

28. The JISC, at its tenth meeting, decided to recognize the DOE/AIE coordination forum as a communication channel between the JISC and applicant IEs and AIEs, to invite written inputs from the forum to be submitted 14 days prior to each JISC meeting at the latest for discussion under an agenda item dedicated to interactions between the JISC and the forum on issues relevant to applicant IEs and AIEs at the corresponding JISC meeting, and to request the secretariat to facilitate the organization of meetings of the forum.

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<sup>2</sup> The glossary of JI terms in the guidelines for users of the JI PDD form, the glossary of JI small-scale (SSC) terms in the guidelines for users of the JI PDD form for SSC projects and the form for submission of bundled JI SSC projects, and the glossary of JI land use, land-use change and forestry (LULUCF) terms in the guidelines for users of the JI LULUCF PDD form

29. **Action:** The JISC may wish to consider written inputs from the DOE/AIE coordination forum if any, invite the Chair of the forum to the meeting and interact with the Chair, as appropriate.

30. The JISC may also wish to discuss means by which to collaborate with stakeholders, in particular with those mentioned in paragraph 27 above, on the basis of experience gained in this respect since its establishment.

**(b) Procedures for requests for clarification**

31. **Background:** At its eleventh meeting, the JISC had discussed the need to revise its guidance on criteria for baseline setting and monitoring, and had decided to further consider the issue during the UNFCCC technical workshop on JI on 9–10 September 2008 and its subsequent twelfth meeting.

32. At its twelfth meeting, the JISC decided not to revise its guidance on criteria for baseline setting and monitoring at this stage, but to keep it under review based on experience and requests for clarification, as appropriate.

33. In this context, the JISC also decided to develop procedures for requesting clarifications (e.g. regarding baseline setting and monitoring) from the JISC and requested the Chair and Vice-Chair of the JISC, supported by the secretariat, to prepare a draft for consideration at its thirteenth meeting.

34. Draft procedures for requests for clarification under the verification procedure under the JISC were developed in accordance with paragraph 33 above, as attached in annex 5.

35. **Action:** The JISC may wish to discuss the draft procedures for requests for clarification, mentioned in paragraph 34 above, and adopt them on the basis of these considerations, as appropriate.

**(c) Means to provide guidance to independent entities and project developers regarding determinations**

36. **Background:** At its twelfth meeting, the JISC discussed possible means to provide guidance to IEs and project developers regarding determinations (inter alia, also the proposal to develop a “validation and verification manual” for JI) and decided to consider the issue further at its thirteenth meeting.

37. **Action:** The JISC may wish to consider means to provide guidance to IEs and project developers regarding determinations and adopt decisions in this regard, as appropriate.

**(d) Other business**

**Withdrawal procedures**

38. **Background:** Since the launch of the JI Track 2 procedure PDDs, determinations regarding PDDs, monitoring reports and determinations of emission reductions or enhancements of removals can be submitted and published on the UNFCCC JI website.

39. Based on experiences with the JI Track 2 procedure, the Chair and Vice-Chair of the JISC requested the secretariat to develop, for consideration by the JISC at its thirteenth meeting, draft procedures for the withdrawal of submissions under the JI Track 2 procedure in order to be able to cope with potential practical necessities in the future.

40. The secretariat has prepared procedures in accordance with paragraph 39 above, as attached in annex 6.

41. **Action:** The JISC may wish to discuss the draft procedures for the withdrawal of submissions, mentioned in paragraph 40 above, and adopt them on the basis of these considerations, as appropriate.

Provisional agenda

42. **Background:** According to paragraph 1 of rule 19 of the rules of procedure of the JISC, the JISC shall, at each meeting, agree upon the provisional agenda of its next meeting.

43. **Action:** The JISC may wish to discuss and agree on the provisional agenda of its fourteenth meeting.

Other items

44. As necessary, the JISC may wish to consider other issues.

***Informal interaction with registered observers***

45. **Background:** The CMP, through paragraph 5 (d) of decision 10/CMP.1, encouraged the JISC to collaborate with observers to its meetings through regular question-and-answer sessions held in this context. Since its establishment, the JISC met with observers for a webcasted question-and-answer session at each meeting.

46. **Action:** The JISC may wish to continue the practice of informal interaction with observers, referred to in paragraph 45 above, at the second day of its thirteenth meeting.

**5. Conclusion of the meeting**

47. **Action:** The Chair may wish to summarize the meeting and the decisions taken.

48. The JISC may wish to adopt the report of its thirteenth meeting.

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