



FRAMEWORK CONVENTION ON CLIMATE CHANGE - Secretariat  
CONVENTION - CADRE SUR LES CHANGEMENTS CLIMATIQUES -  
Secrétariat

Date: 28 November 2008  
Ref: JISC 13

JOINT IMPLEMENTATION SUPERVISORY COMMITTEE

THIRTEENTH MEETING

Report

**Date of meeting:** 27–28 November 2008

**Location:** Poznan, Poland

**Attendance:** The names of members and alternate members of the Joint Implementation Supervisory Committee (JISC) present at the thirteenth meeting are in bold print below. Where only the name of an alternate member is in bold print, the alternate member participated as a member.

<b>Members</b>	<b>Alternate Members</b>
Mr. Olle Björk	<i>Mr. Franzjosef Schafhausen</i>
<b>Mr. Maurits Blanson Henkemans</b>	<b>Mr. Hiroki Kudo</b>
<b>Mr. Georg Børsting</b>	<b>Mr. Benoît Leguet</b>
<b>Mr. Carlos Fuller</b>	<i>Mr. Javier Andrés Hubenthal</i>
<b>Ms. Fatou Gaye</b>	<i>(Mr. Vincent Kasulu Seya Makonga)<sup>1</sup></i>
<b>Mr. Muhammed Quamrul Chowdhury</b>	<b>Mr. Maosheng Duan</b>
<b>Mr. Derrick Oderson</b>	<b>Ms. Ngedikes Olai Uludong-Polloi</b>
<b>Mr. Oleg Pluzhnikov</b>	<b>Ms. Agnieszka Gałan</b>
<b>Ms. Daniela Stoycheva</b>	<b>Mr. Georgiy Geletukha</b>
<b>Mr. Vlad Trusca</b>	<b>Mr. Matej Gasperic</b>

**Quorum** (in parenthesis required numbers):

9 (7) members/alternate members acting as members were present of which

5 (4) were from Annex I Parties and

4 (3) were from non-Annex I Parties.

**WWW broadcasting:** <[http://ji.unfccc.int/Sup\\_Committee/Meetings](http://ji.unfccc.int/Sup_Committee/Meetings)>.

<sup>1</sup> Mr. Kasulu Seya Makonga resigned as a JISC alternate member, effective immediately after the eleventh meeting of the JISC (16–17 June 2008).

**Agenda item 1: Membership issues (including disclosure of possible conflict of interest)**

1. The Chair opened the meeting and asserted that the quorum was met. No conflict of interest was identified by any member or alternate member of the JISC present at the meeting.
2. The JISC noted that Mr. Björk, Mr. Hubenthal and Mr. Schafhausen were unable to attend the meeting and that Mr. Björk and Mr. Hubenthal had provided proper justification for their absence.

**Agenda item 2: Adoption of the agenda**

3. With regard to the proposed agenda, the Chair suggested to additionally discuss unsolicited communications that had been received by the JISC under proposed agenda item “Other matters”.
4. The JISC adopted the agenda with the changes suggested and mentioned in paragraph 3 above as proposed.

**Agenda item 3: Work plan****Agenda sub-item 3 (a): Accreditation of independent entities**

5. The JISC took note of the ninth progress report on the work of the joint implementation accreditation panel (JI-AP) presented by its Chair, Mr. Pluzhnikov. The report summarized information relating to the work of the JI-AP, including the status of applications for accreditation, the status of the roster of experts for joint implementation assessment teams (JI-ATs), and other outcomes of the JI-AP.

General guidance and process

6. The JISC considered draft version 04 of the procedure for accrediting independent entities by the JISC and draft version 02 of the list of sectoral scopes, both recommended by the JI-AP responding to the request by the JISC at its last meeting to make a recommendation of possible measures to facilitate the accreditation process with a special emphasis on the witnessing stage.
7. The JISC amended the documents referred to in paragraph 6 above, and adopted them as contained in [annexes 1-2](#). At the same time, the JISC requested the JI-AP to consider measures to strengthen the monitoring of performance of accredited independent entities, including spot checks, and make a recommendation to the JISC for its consideration at the next meeting.
8. In conjunction with this, the JISC also considered the existing conditions for designated operational entities under the clean development mechanism (CDM) acting provisionally as accredited independent entities under joint implementation (JI) on the use of projects for witnessing activities and decided to revise the clarification regarding identification of witnessing opportunities by designated operational entities acting provisionally as accredited independent entities, as contained in [annex 3](#).
9. The JISC took note of other progress made by the JI-AP as well as issues under consideration by the JI-AP.

Further schedule

10. The JISC noted that the fifteenth meeting of the JI-AP is to take place on 17–18 January 2009.

**Agenda sub-item 3 (b): Matters relating to determination reports regarding project design documents**

11. The JISC took note of the report by the secretariat regarding the submission of documents under the verification procedure under the JISC (hereinafter referred to as JI Track 2 procedure). Since the launch of the JI Track 2 procedure on 26 October 2006, 167 project design documents (PDDs) had been submitted and made publicly available on the UNFCCC JI website in accordance with paragraph 32 of the annex to decision 9/CMP.1 (hereinafter referred to as JI guidelines). Seven determinations regarding a PDD had been published on the UNFCCC JI website in accordance with paragraph 34 of the JI guidelines:

- (a) Five determinations had been deemed final in accordance with paragraph 35 of the JI guidelines;
- (b) One determination was open for requests for review;<sup>2</sup>
- (c) One determination had been rejected.

12. Two monitoring reports had been submitted and made publicly available on the UNFCCC JI website in accordance with paragraph 36 of the JI guidelines. One determination of emission reductions or enhancements of removals pursuant to paragraph 37 of the JI guidelines (hereinafter referred to as verification) had been published on the UNFCCC JI website in accordance with paragraph 38 of the JI guidelines and was open for requests for review.<sup>3</sup>

13. The JISC also took note of the report by the secretariat on the status of information from Parties regarding their designated focal points (DFPs) and national guidelines and procedures for approving JI projects, in accordance with paragraph 20 (a) and (b) of the JI guidelines, required for the submission of determination reports regarding PDDs. Thirty-three Annex I Parties had informed the secretariat of their DFPs.<sup>4</sup> From these Parties, twenty-five had also submitted their national guidelines and procedures for approving JI projects.<sup>5</sup>

14. On the basis of first experiences with the publication of monitoring reports and verifications, the Chair of the JISC had proposed to revise the clarification regarding the public availability of documents under the verification procedure under the JISC and had requested the secretariat to prepare a draft revision for consideration by the JISC.

15. The JISC considered the draft revised clarification prepared by the secretariat in accordance with paragraph 14 above and agreed on it, as contained in [annex 4](#).

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<sup>2</sup> According to paragraph 35 of the JI guidelines, a determination regarding a PDD shall be deemed final 45 days after the date on which the determination is made public, unless a Party involved in the project or three of the members of the JISC request a review by the JISC.

<sup>3</sup> According to paragraph 39 of the JI guidelines, a verification shall be deemed final 15 days after the date on which the verification is made public, unless a Party involved in the project or three of the members of the JISC request a review by the JISC.

<sup>4</sup> Austria, Belarus, Belgium, Bulgaria, Canada, Croatia, Czech Republic, Denmark, Estonia, European Community, Finland, France, Germany, Hungary, Ireland, Italy, Japan, Liechtenstein, Lithuania, Luxembourg, Netherlands, New Zealand, Norway, Poland, Portugal, Romania, Russian Federation, Slovenia, Spain, Sweden, Switzerland, Ukraine, United Kingdom.

<sup>5</sup> Austria, Belarus, Belgium, Bulgaria, Czech Republic, Denmark, Finland, France, Germany, Hungary, Ireland, Italy, Japan, Liechtenstein, Lithuania, Netherlands, New Zealand, Poland, Romania, Russian Federation, Spain, Sweden, Switzerland, Ukraine, United Kingdom.

**Agenda sub-item 3 (c): Joint implementation project design document forms (including guidelines for users)**

16. At its eleventh meeting, the JISC had discussed the need to revise the JI PDD forms (including guidelines for users), and had decided to further consider the issue during the UNFCCC technical workshop on JI on 9–10 September 2008 and its subsequent twelfth meeting.

17. To ensure the highest degree of transparency and to identify practical needs for a revision, the JISC, at its eleventh meeting, had also requested the secretariat to launch a call for public input on possible revisions of the JI PDD forms (including guidelines for users), asking to propose possible changes to these documents including reasons for the suggestion. The JISC had also requested the secretariat to address the issue on its behalf to the DOE/AIE coordination forum for its inputs.

18. At its twelfth meeting, the JISC had discussed the inputs received, including relevant inputs by the DOE/AIE coordination forum to its eleventh meeting, and requested Mr. Geletukha, Mr. Hubenthal and Mr. Leguet, supported by the secretariat, to prepare on this basis draft revised guidelines for users of the JI PDD forms, for consideration at its thirteenth meeting.

19. In the course of preparing draft revised guidelines for users of the JI PDD forms, the glossaries of JI terms originally contained in the single guidelines for users<sup>6</sup> were consolidated in a separate draft document for reasons of transparency and comprehensiveness.

20. The secretariat presented the draft revised guidelines for users of the JI PDD forms and the draft glossary of JI terms prepared in accordance with paragraphs 18 and 19 above.

21. The JISC amended the documents referred to in paragraph 20 above and adopted them as contained in [annexes 5-8](#). The JISC also decided that the documents could optionally be used as of the time of adoption, but should be taken into account for all PDDs to be published from 1 January 2009. The JISC further emphasized that the adoption of revised guidelines for users of the JI PDD forms and the glossary of JI terms does not constitute a revision to the corresponding JI PDD forms.

**Agenda sub-item 3 (d): Management plan and resources for the work on joint implementation**

22. In accordance with paragraph 4 (b) of decision 3/CMP.2, the JISC is to adopt appropriate management indicators. The JISC considered this issue at its ninth and tenth meetings and agreed to come back to the issue at a subsequent meeting.

23. The JISC considered draft management indicators prepared by the secretariat, which have taken into account experience with the preliminary list of indicators considered at its tenth meeting and the feasibility and appropriateness of implementing the indicators. The JISC considered this information and requested the secretariat to implement these indicators with a view to considering results obtained at subsequent meetings. In the implementation of the indicators the JISC as well requested the secretariat to take into account the ease of data availability and report to the JISC on any significant resource demands to which this work could lead.

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<sup>6</sup> The glossary of JI terms in the guidelines for users of the JI PDD form, the glossary of JI small-scale (SSC) terms in the guidelines for users of the JI PDD form for SSC projects and the form for submission of bundled JI SSC projects, and the glossary of JI land use, land-use change and forestry (LULUCF) terms in the guidelines for users of the JI LULUCF PDD form



24. The JISC also discussed the current financial situation, including the status of contributions from Parties and the current shortfall of approximately USD 1.6 million in resources budgeted for implementation of the activities expressed in the JI management plan for the biennium 2008–2009. In this regard, the JISC thanked those Parties that had contributed to the funding of the work of the JISC during the current biennium and continued to encourage Parties to make further contributions to ensure that all necessary activities envisaged by the JISC can be carried out in a predictable and sustainable manner. The status of resources and contributions is provided in [annex 9](#).

25. The JISC as well considered the schedule of its meetings for 2009. In this regard the JISC requested the secretariat to provide information to the JISC at its next meeting on the possibilities for, and implications of, having two workshops in 2009, including with a view to one of these being held in a JI host country that is willing to host. The tentative schedule of JISC and JI-AP meetings for 2009 is contained in [annex 10](#).

#### **Agenda item 4: Other matters**

##### **Agenda sub-item 4 (a): Collaboration of the Joint Implementation Supervisory Committee with others**

26. Based on the decision made at its tenth meeting, the JISC had invited the Chair of the DOE/AIE coordination forum on JI issues (Mr. Michael Lehmann) to the meeting for interaction between the JISC and the forum.

27. The Chair of the DOE/AIE coordination forum elaborated on the inputs from the forum sent to the JISC for the present meeting, for consideration by the JISC.

28. The JISC requested the Chair of the DOE/AIE coordination forum to provide clarifications on some of the inputs and opinions raised, and agreed to further consider them in its future meetings.

29. The Chair of the JISC thanked Mr. Lehmann for his attendance and expressed the usefulness of the inputs from and interaction with the forum.

##### **Agenda sub-item 4 (b): Procedures for requests for clarification**

30. At its eleventh meeting, the JISC had discussed the need to revise its guidance on criteria for baseline setting and monitoring, and had decided to further consider the issue during the UNFCCC technical workshop on JI on 9–10 September 2008 and its subsequent twelfth meeting.

31. At its twelfth meeting, the JISC had decided not to revise its guidance on criteria for baseline setting and monitoring at this stage, but to keep it under review based on experience and requests for clarification, as appropriate. In this context, the JISC had also decided to develop procedures for requesting clarifications (e.g. regarding baseline setting and monitoring) from the JISC and had requested the Chair and Vice-Chair of the JISC, supported by the secretariat, to prepare a draft for consideration at its thirteenth meeting.

32. The secretariat presented draft procedures for requests for clarification under the verification procedure under the JISC prepared on this basis.

33. The JISC considered the draft procedures referred to in paragraph 32 above and agreed on them as contained in [annex 11](#).

**Agenda sub-item 4 (c): Means to provide guidance to independent entities and project developers regarding determinations**

34. Taking into account the opinions expressed by stakeholders during the UNFCCC technical workshop on JI on 9–10 September 2008 and the input from the DOE/AIE coordination forum as referred to in paragraph 27 above, the JISC considered the necessity of developing a determination and verification manual (DVM) under JI, and decided to request the secretariat to prepare a note, for its consideration at the next meeting, on how a DVM could be developed by the JISC in a timely and efficient manner.

35. The JISC also decided to request the DOE/AIE coordination forum and interested stakeholders to provide input on what would be the exact nature and purpose of a DVM. For this purpose, the JISC requested the secretariat to launch a call for public input after the present meeting and compile the inputs from the call for consideration by the JISC at its next meeting. The JISC highlighted that in providing the input, the forum and stakeholders may identify the areas of the Validation and Verification Manual adopted by the Executive Board of the CDM, that may be relevant to the development of a DVM, if the JISC agrees to do so.

**Agenda sub-item 4 (d): Other business**Withdrawal procedures

36. Since the launch of the JI Track 2 procedure PDDs, determinations regarding PDDs, monitoring reports and verifications can be submitted and published on the UNFCCC JI website.

37. Based on experiences with the JI Track 2 procedure, the Chair and Vice-Chair of the JISC requested the secretariat to develop, for consideration by the JISC at its thirteenth meeting, draft procedures for the withdrawal of submissions under the JI Track 2 procedure in order to be able to cope with potential practical necessities in the future.

38. The secretariat presented draft procedures for the withdrawal of submissions under the verification procedure under the JISC prepared on this basis.

39. The JISC considered the draft procedures referred to in paragraph 38 above and agreed on them, as contained in [annex 12](#).

Unsolicited communications

40. The JISC took note of the unsolicited communications submitted since its last meeting and agreed to answer, as appropriate.

Overlapping monitoring periods

41. The JISC clarified the conditions under which monitoring periods of a JI project implemented under the Track 2 procedure may overlap, as contained in [annex 13](#).

Provisional agenda

42. The JISC agreed on the provisional agenda of its fourteenth meeting, to be held on 17-18 February 2009, as contained in [annex 14](#).

***Informal interaction with registered observers***

43. The JISC invited registered observers for a webcasted question-and-answer session.

**Agenda item 5: Conclusion of the meeting**

44. The Chair summarized the main conclusions and closed the thirteenth meeting of the JISC.



## Annexes to the report

### Accreditation of independent entities

- Annex 1: Procedure for accrediting independent entities by the Joint Implementation Supervisory Committee (version 04)
- Annex 2: List of sectoral scopes (version 02)
- Annex 3: Clarification regarding identification of witnessing opportunities by designated operational entities acting provisionally as accredited independent entities (version 02)

### Matters relating to determination reports regarding project design documents

- Annex 4: Clarification regarding the public availability of documents under the verification procedure under the Joint Implementation Supervisory Committee (version 03)

### Joint implementation project design document forms (including guidelines for users)

- Annex 5: Guidelines for users of the joint implementation project design document form (version 03)
- Annex 6: Guidelines for users of the joint implementation project design document form for small-scale projects and the form for submission of bundled joint implementation small-scale projects (version 03)
- Annex 7: Guidelines for users of the joint implementation land use, land-use change and forestry project design document form (version 03)
- Annex 8: Glossary of joint implementation terms

### Management plan and resources for the work on joint implementation

- Annex 9: Status of resources and contributions
- Annex 10: Tentative schedule of meetings of the Joint Implementation Supervisory Committee and the Joint Implementation Accreditation Panel in 2009

### Procedures for requests for clarification

- Annex 11: Procedures for requests for clarification under the verification procedure under the Joint Implementation Supervisory Committee

### Other business

- Annex 12: Procedures for the withdrawal of submissions under the verification procedure under the Joint Implementation Supervisory Committee
- Annex 13: Clarification regarding overlapping monitoring periods under the verification procedure under the Joint Implementation Supervisory Committee
- Annex 14: Provisional agenda of the fourteenth meeting of the Joint Implementation Supervisory Committee

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