



Annex 4

SCOPE OF DETERMINATION AND VERIFICATION MANUAL

A. Background

1. The Joint Implementation Supervisory Committee (JISC), at its fourteenth meeting, taking into account the inputs provided by stakeholders through the call for input on the development of a determination and verification manual (DVM) conducted from 5 December 2008 until 5 February 2009, decided to develop a DVM. Concerning the drafting of a DVM, taking into account diverse views expressed by JISC members/alternate members on the nature and contents of a DVM, the JISC requested the secretariat to prepare a scoping document first under the guidance of five JISC members/alternate members appointed at the same meeting, for consideration by the JISC at its fifteenth meeting before the drafting of a DVM.
2. The JISC, at its fifteenth meeting, considered the scoping document and agreed on the nature and the structure of a DVM as presented in the following sections.

B. Nature of DVM

3. A DVM is to guide accredited independent entities (AIEs) to undertake determinations¹ and verifications² in a systematic manner, which would then ensure the consistency of determination and verification works among AIEs, hence would contribute to the improvement of the integrity and transparency of the verification procedure under the JISC (hereinafter referred to as JI Track 2) as a whole.
4. A DVM provides indicative modalities of determinations and verifications to AIEs, from which AIEs may deviate as appropriate.
5. A DVM covers all areas/issues that are required to be determined or verified by AIEs with regard to a JI Track 2 project in accordance with relevant decisions by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and the JISC.
6. A DVM by no means introduces new requirements for JI Track 2 projects. Instead, it refers to the requirements or guidance for JI Track 2 projects adopted by the CMP or the JISC, through which it maintains the flexibility of joint implementation (JI). A DVM may, as appropriate, elaborate or provide examples with respect to existing requirements.
7. A DVM does not intend to be exhaustive in its contents with regard to the areas and modalities of determinations and verifications.
8. A DVM is a living document and is expected to be revised by the JISC at appropriate intervals to accommodate new requirements/guidance regarding JI Track 2 projects by the CMP and/or the JISC, or to improve the contents based on the experience gained by the JISC.

¹ Determination regarding project design document in accordance with paragraph 33 of the annex to decision 9/CMP.1 (hereinafter referred to as JI guidelines).

² Determination regarding emission reductions or enhancements of removals in accordance with paragraph 37 of the JI guidelines.



C. Structure of DVM

9. A DVM is to be structured containing the elements of:
- (a) Background (incl. the history of consideration and justification for the development of a DVM by the JISC);
 - (b) Objectives (incl. the nature of the document);
 - (c) Definitions;
 - (d) Principles of determination and verification;
 - (e) Determination steps:
 - (i) Project approval by Parties involved;
 - (ii) Project participants authorization by Parties involved;
 - (iii) Project boundary;
 - (iv) Leakage;
 - (v) Baseline setting:
 - Project specific basis:
 - Projects establishing JI “new” methodologies/approaches;
 - Description of the “new” methodology
 - Application of the “new” methodology
 - Projects using approved clean development mechanism (CDM) methodologies:
 - Applicability of the methodology
 - Compliance with the methodology
 - Deviation from approved CDM methodologies
 - Projects using multi-project emission factor;
 - (vi) Monitoring:
 - Projects establishing “new” JI methodologies/approaches;
 - Description of the “new” methodology
 - Application of the “new” methodology
 - Projects using approved CDM methodologies;
 - Other cases:
 - Identification of indicators, constants and variables
 - Collection and archiving of data;
 - Quality assurance and control procedures;



- Responsibilities and authorities of monitoring activities.
- (vii) Additionality:
 - Projects using approved CDM methodologies;
 - Application of the most recent version of the CDM “Tool for the demonstration and assessment of additionality”;
 - Application of any other method approved by the CDM Executive Board;
 - Application of any other method:
 - Provision of information demonstrating additionality;
 - Provision of information of positive determination of a comparable project.
- (viii) Environmental impact;
- (ix) Stakeholder consultation;
- (x) Determination regarding small-scale projects (highlighting only the areas different from regular projects);
- (xi) Determination regarding land use, land-use change and forestry (LULUCF) projects (highlighting only the areas different from regular projects);
- (xii) Determination regarding projects under programme of activities;
- (xiii) Determination report (incl. elements to be included, suggested structure).
- (f) Verification steps:
 - (i) Project in accordance with the final determination
 - (ii) Compliance with monitoring plan;
 - (iii) Revision of monitoring plan;
 - (iv) Data management;
 - (v) Verification report (incl. elements to be included, suggested structure).
- (g) Appendix: Determination and verification checklist
