

**Annex 1****DRAFT FORMS UNDER THE VERIFICATION PROCEDURE
UNDER THE JOINT IMPLEMENTATION SUPERVISORY COMMITTEE
TO INCORPORATE THE PROCEDURES FOR PROGRAMME OF ACTIVITIES****Note by the secretariat**

1. The Joint Implementation Supervisory Committee (JISC), at its fourteenth meeting, decided to develop procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures), in accordance with paragraph 6 of decision 5/CMP.4.
2. At its sixteenth meeting the JISC requested the secretariat to prepare, together with the second draft of the JI PoA procedures, the forms for submitting a JI PoA.
3. The JISC adopted the JI PoA procedures at its eighteenth meeting, together with the JI PoA design document form (JI PoA DD), and the guidelines for users of the JI PoA DD. The JISC also adopted a revised version of the glossary of JI terms considering the modifications required by the approval of the JI PoA procedures.
4. The following draft forms that are currently used in the verification procedure under the JISC reflect revisions to incorporate the JI PoA procedures. Minor additional formatting modifications were also performed in order to simplify the use of the forms. The following draft forms are enclosed below:
 - (a) JI determination report form (F-JI-DRep);
 - (b) JI verification report form (F-JI-VRep);
 - (c) Expert input on JI determination appraisal form (F-JI-ExInpDA);
 - (d) JI determination appraisal form (F-JI-DA);
 - (e) JI verification appraisal form (F-JI-VA);
 - (f) JI determination review form (F-JI-DR);
 - (g) JI verification review form (F-JI-VR);
 - (h) Form for request for clarification and/or further information (F-JI-Clar);
 - (i) Form for report by review team (F-JI-RRRep).



JI DETERMINATION REPORT FORM

(version 02)

(to be completed by an AIE requesting the publication of a determination pursuant to paragraph 33 of the JI guidelines)

Accredited independent entity (AIE) submitting form

Proposed JI activity

Reference number and title of proposed JI activity

Host Party(ies)

Parties involved in the JI activity

Coordinating entity (*applicable to JI PoA only*)

Project participants

Type of JI activity: ☐ large scale ☐ small scale ☐ LULUCF ☐ PoA

Brief description of the JI activity

Determination report

Please confirm that all requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations pursuant to paragraph 33 of the JI guidelines are met:

- ☐ Yes
☐ No

General information on determination

Please describe:

- The scope of the determination process, including all documentation that has been reviewed and list the names of persons interviewed during the determination process, as applicable;
- The AIE's determination team, including a list of all persons involved in the determination process and a description of the functions assumed.

Description of determination process

Please briefly describe and refer to:

- The review of the JI PDD/PoA DD and additional documentation attached to it;
- The assessment against JI requirements, e.g. by using a determination protocol;
- The report of findings by the AIE, including the use of different types of findings (e.g. corrective action requests, clarifications or observations etc.).

Statements or assessments should be included in section "Conclusions, final comments and determination opinion".

Comments received from Parties, stakeholders and UNFCCC accredited observers

Please:

- Summarise the comments received pursuant to paragraph 32 of the JI guidelines; and
- Provide a report of how due account was taken of these.

Conclusions, final comments and determination opinion

Please provide:

- Conclusions describing how each of the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements defined by the CMP or the JISC, in particular those referred to in paragraph 33 of the JI guidelines, have been met, including assessments and findings (e.g. corrective action requests, clarifications or observations) related to each requirement, and statements on whether all issues raised have been addressed to the AIE's satisfaction;
- Final comments and a determination opinion.

List of documents attached to the determination report form

Please attach relevant documents used in the determination process and check mark below accordingly

- ☐ **PDD/PoA DD of the proposed JI activity**
- ☐ **Determination report**
- ☐ **Written approvals by the Parties involved, listed in the JI PDD/PoA DD**
- ☐ **Other relevant documents:**
 - ☐ **Determination protocol**
 - ☐ **List of persons interviewed**
 - ☐ **Any other documents (please list):**

The AIE herewith declares that undertaking the determination for the proposed JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE**Authorized officer signing for the AIE****Date and signature**



JI VERIFICATION REPORT FORM

(version 02)

(to be completed by an AIE requesting the publication of a determination pursuant to paragraph 37 of the JI guidelines)

Accredited independent entity (AIE) submitting form

JI activity

Reference number and title of JI activity

Coordinating entity (*applicable to JI PoA only*)

Parties involved in the JI activity

Type of JI activity: ☐ large scale ☐ small scale ☐ LULUCF ☐ PoA

Emission reductions / Enhancements of removals

Please confirm that all requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to verifications pursuant to paragraph 37 of the JI guidelines are met:

☐ Yes

☐ No

The monitoring plan was revised

☐ Yes

☐ No

If yes, please inform for which technologies and measures under each type of JPA the monitoring plan was revised (applicable to JI PoA only)

The revisions to the monitoring plan improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for the establishment of monitoring plans

☐ Yes

☐ No

☐ N.A.

Reference number of monitoring report(s)

Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)

Reference number of JPAs verified

(applicable to JI PoA only)

A sampling approach was used to verify the JI PoA
(applicable to JI PoA only)

☐ Yes

☐ No

Verification period (DD/MM/YYYY - DD/MM/YYYY)
(applicable to JI PoA only)

Verified reductions in anthropogenic emissions by sources / enhancements of anthropogenic removals by sinks (tonnes of CO₂ equivalent)

The present verification is the first with regard to the JI activity

☐ Yes

☐ No

List of documents attached to the verification report form

Please attach relevant documents used in the verification process and check mark below accordingly

- ☐ **Verification report**
- ☐ **Written approvals by the Parties involved, if applicable**
- ☐ **Other documents:**
 - ☐ **Revised monitoring plan, if applicable**
 - ☐ **Determination that the revisions to the monitoring plan, if applicable, improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for the establishment of monitoring plans**
 - ☐ **Sampling plan, if applicable (*JI PoA only*)**
 - ☐ **Any other documents (please list):**

The AIE herewith declares that undertaking the verification for the JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE

Authorized officer signing for the AIE

Date and signature



EXPERT INPUT ON JI DETERMINATION APPRAISAL FORM

(version 02)

(this form shall be completed by an expert providing input on an appraisal of a determination)

C O N F I D E N T I A L

Expert submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations pursuant to paragraph 33 of the JI guidelines have to be met and appropriately dealt with by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a JI PDD/PoA DD that contains all information needed for the determination of whether the proposed JI activity fulfills the conditions specified in the questions below, as provided in paragraph 31 of JI guidelines.
3. The AIE shall determine whether the proposed JI activity meets the conditions of paragraph 33 of the JI guidelines, as specified in the questions below.
4. The AIE shall make its determination publicly available through the secretariat, together with an explanation of its reasons, including a summary of comments received and a report of how due account was taken of these, in accordance with paragraph 34 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 26-30 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures).

JI activity

Reference number and title of proposed JI activity

Host Party(ies)

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large scale ☐ small scale ☐ LULUCF ☐ PoA

Brief description of JI activity

Length of crediting period

Annual average of estimated emission reductions / enhancements of removals over the crediting period (tonnes of CO₂ equivalent)

Name of AIE that performed the determination

Input on Appraisal		
Please respond to the questions presented below by marking the appropriate check box:		
Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately dealt with by the AIE?
		➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)
Please describe in detail all the issues identified, including the specific requirements in the JI guidelines that are not fulfilled, and suggest means to avoid the issues, if appropriate.		
<div>➤</div> <div>➤</div> <div>➤</div> <div>➤</div> <div>➤</div>		
Date and signature		



JI DETERMINATION APPRAISAL FORM

(version 02)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a determination)

C O N F I D E N T I A L

JISC member/alternate member submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations pursuant to paragraph 33 of the JI guidelines have to be met and appropriately dealt with by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a JI PDD/PoA DD that contains all information needed for the determination of whether the proposed JI activity fulfills the conditions specified in the questions below, as provided in paragraph 31 of the JI guidelines.
3. The AIE shall determine whether the proposed JI activity meets the conditions of paragraph 33 of the JI guidelines, as specified in the questions below.
4. The AIE shall make its determination publicly available through the secretariat, together with an explanation of its reasons, including a summary of comments received and a report of how due account was taken of these, in accordance with paragraph 34 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 26-30 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures).

JI activity

Reference number and title of proposed JI activity

Host Party(ies)

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large scale ☐ small scale ☐ LULUCF ☐ PoA

Brief description of JI activity

Length of crediting period

Annual average of estimated emission reductions / enhancements of removals over the crediting period (tonnes of CO₂ equivalent)

Name of AIE that performed the determination

Appraisal

Please respond to the questions presented below by marking the appropriate check box:

Yes No

☐

☐

➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately dealt with by the AIE?

Yes	No	
		➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved, listed in the JI PDD/PoA DD??
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
		➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
<input type="checkbox"/>	<input type="checkbox"/>	
		➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	
		➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)
Please describe in detail all the issues identified, including the specific requirements in the JI guidelines that are not fulfilled, and suggest means to avoid the issues, if appropriate.		
➤ ➤ ➤ ➤ ➤		
Date and signature		



JI VERIFICATION APPRAISAL FORM

(version 02)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a verification)

C O N F I D E N T I A L

JISC member/alternate member submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations (verifications) pursuant to paragraph 37 of the JI guidelines have to be met and appropriately dealt with by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided in paragraph 36 of JI guidelines. The report shall be made publicly available
3. According to paragraph 37 of the JI guidelines, the AIE shall make a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines.
4. AIE shall make its verification under paragraph 37 of the JI guidelines publicly available through the secretariat, together with an explanation of its reasons, in accordance with paragraph 38 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 41-55 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures).

JI activity

Reference number and title of proposed JI activity

Host Party(ies)

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large scale ☐ small scale ☐ LULUCF ☐ PoA

Length of crediting period

Annual average of estimated emission reductions / enhancements of removals over the crediting period (tonnes of CO₂ equivalent)

Name of AIE that performed the determination of JI PDD/PoA DD

Emission reductions / Enhancements of removals

The monitoring plan was revised

☐ Yes

☐ No

If yes, please inform for which technologies and measures under each type of JPA the monitoring plan was revised (applicable to JI PoA only)

The revisions to the monitoring plan improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for the establishment of monitoring plans

☐ Yes

☐ No

☐ N.A.

Reference number of monitoring report(s)													
Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)													
Reference number of JPAs verified (applicable to JI PoA only)													
A sampling approach was used to verify the JI PoA (applicable to JI PoA only)	<input type="checkbox"/> Yes <input type="checkbox"/> No												
Verification period (DD/MM/YYYY - DD/MM/YYYY) (applicable to JI PoA only)													
Verified reductions in anthropogenic emissions by sources / enhancements of anthropogenic removals by sinks (tonnes of CO ₂ equivalent)													
The present verification is the first with regard to the JI activity	<input type="checkbox"/> Yes <input type="checkbox"/> No												
Name of AIE that performed the verification of emission reductions / enhancements of removals													
Appraisal													
Please respond to the questions presented below by marking the appropriate check box:													
<table border="1"> <thead> <tr> <th>Yes</th> <th>No</th> <th></th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately dealt with by the AIE?</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?</td> </tr> </tbody> </table>	Yes	No		<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately dealt with by the AIE?	<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?	<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?	
Yes	No												
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately dealt with by the AIE?											
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?											
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?											
Please describe in detail all the issues identified, including the specific requirements in the JI guidelines that are not fulfilled, and suggest means to avoid the issues, if appropriate.													
➤ ➤ ➤ ➤ ➤													
Date and signature													



JI DETERMINATION REVIEW FORM

(version 02)

(by submitting this form, a Party involved in a JI activity (through the DFP) or a JISC member request a review)

Party involved (DFP) / **JISC member** submitting the form:

Reference number and **title** of the proposed JI activity:

Type of JI activity: ☐ **large scale** ☐ **small scale** ☐ **LULUCF** ☐ **PoA/JPA**

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations pursuant to paragraph 33 of the JI guidelines have to be met and appropriately dealt with by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a JI PDD/PoA DD that contains all information needed for the determination of whether the proposed JI activity fulfills the conditions specified in the questions below, as provided in paragraph 31 of the JI guidelines.
3. The AIE shall determine whether the proposed JI activity meets the conditions of paragraph 33 of the JI guidelines, as specified in the questions below.
4. The AIE shall make its determination publicly available through the secretariat, together with an explanation of its reasons, including a summary of comments received and a report of how due account was taken of these, in accordance with paragraph 34 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 26-39 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures).

Request for review

Please respond to the questions presented below by marking the appropriate check box:

<u>Yes</u>	<u>No</u>	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the coordinating entity of the JI PoA included only JPA(s) that appear to meet all the eligibility requirements defined in the JI PoA DD? (<i>applicable to JI PoA only</i>)
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)

Please describe in detail the reasons for requesting the review, including the specific requirements in the JI guidelines that are not fulfilled, and attach supporting documentation, if appropriate.

➤
➤
➤



JI VERIFICATION REVIEW FORM

(version 02)

(by submitting this form, a Party involved in a JI activity (through the DFP) or a JISC member request a review)

Party involved (DFP) / **JISC member** submitting the form:

Reference number and **title** of the proposed JI activity:

Type of JI activity: ☐ **large scale** ☐ **small scale** ☐ **LULUCF** ☐ **PoA/JPA**

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations (verifications) pursuant to paragraph 37 of the JI guidelines have to be met and appropriately dealt with by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided in paragraph 36 of JI guidelines. The report shall be made publicly available
3. According to paragraph 37 of the JI guidelines, the AIE shall make a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines.
4. AIE shall make its verification under paragraph 37 of the JI guidelines publicly available through the secretariat, together with an explanation of its reasons, in accordance with paragraph 38 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 41-55 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures).

Request for review

Please respond to the questions presented below by marking the appropriate check box:

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the coordinating entity of the JI PoA included only JPA(s) that appear to meet all the eligibility requirements defined in the JI PoA DD? (<i>applicable to JI PoA only</i>)

Please describe in detail the reasons for requesting the review, including the specific requirements in the JI guidelines that are not fulfilled, and attach supporting documentation, if appropriate.

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-
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-
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FORM FOR REQUEST FOR CLARIFICATION AND/OR FURTHER INFORMATION

(version 02)

(this form shall be completed by the review team)

C O N F I D E N T I A L

Reference number and title of the JI activity

Background

Detailed review of a determination in accordance with paragraph 35 of the JI guidelines:

- Requests for clarification and/or further information, as appropriate, shall be prepared and submitted to the project participants and/or the accredited independent entity (AIE) through their contact persons for the review process by the review team selected by the JISC within one week after the JISC has decided on the composition of the review team and communicated to the team the scope of the review.
- Answers (incl. revised documentation, as appropriate) shall be submitted by the contact persons for the review process to the review team through the secretariat within two weeks after the request for clarification and/or further information was made by the review team. The secretariat shall acknowledge the receipt of the answers and forward them to the review team.
- The lead member of the review team may decide to have further interactions with regard to clarifications/further information if considered necessary.

Detailed review of a verification in accordance with paragraph 39 of the JI guidelines:

- Requests for clarification and/or further information, as appropriate, shall be prepared and submitted to the project participants and/or the AIE through their contact persons for the review process by the review team selected by the JISC within 5 days after the JISC has decided on the composition of the review team and communicated to the team the scope of the review.
- Answers (incl. revised documentation, as appropriate) shall be submitted by the contact persons for the review process to the review team through the secretariat within five days after the request for clarification and/or further information was made by the review team. The secretariat shall acknowledge the receipt of the answers and forward them to the review team.
- If time allows, the lead member of the review team may decide to have further interactions with regard to clarifications/further information if considered necessary.

Detailed review

Review team

(Team leader, JISC member/alternate member)

(JISC member/alternate member)

Outside experts

☐ Yes

☐ No

Scope of the review

Request for clarification and/or further information

Please submit by the relevant deadline, as appropriate, answers and revised documentation (e.g. JI PDD/PoA DD, determination report, etc.)

Addressee of the request

- ☐ Project participant(s)/Coordinating entity
- ☐ AIE

Please insert questions for the addressee based on the scope of the review (for each section of the scope)

Please provide preliminary findings by the review team (for each section of the scope) based on interactions with the project participants / coordinating entity / AIE, if applicable

Date and signature by the lead member of the review team



FORM FOR REPORT BY REVIEW TEAM

(version 02)

(this form shall be completed by the review team leader)

C O N F I D E N T I A L

JI activity

Reference number and title of the JI activity

Host Party(ies)

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large scale ☐ small scale ☐ LULUCF ☐ PoA/JPA

Brief description of the JI activity

Name of accredited independent entity (AIE)
that performed the determination or verification

Background

1. The review team shall consist of two JISC members, including alternate members, who will be responsible for supervising the review, and outside experts, as appropriate.
2. One JISC member/alternate member of the review team shall be identified as lead member of the team and will be responsible for, inter alia, drafting the final recommendation of the team to the JISC, ensuring that any diverging views within the team are reflected.
3. The review team shall provide inputs, prepare requests for clarification and/or further information to the AIE and/or project participants, and analyse information received during the review.
4. The two JISC members, including alternate members, supervising the review shall be responsible for compiling inputs and comments and preparing the final recommendation to the JISC.

Detailed review

General information

Review team

(Team leader, JISC member/alternate member)

(JISC member/alternate member)

Outside experts

☐ Yes

☐ No

Scope of the review

Review process	
General description of the review process	
Description of findings based on the scope of review, including diverging views within the review team	
Final recommendation (including reasons)	
<i>Please mark the right check box and provide reasons for any recommendation, including references to the scope of the review and relevant CMP and/or JISC decisions and JI guidelines, as appropriate.</i>	
<input type="checkbox"/> Acceptance of determination/verification without conditions	
<input type="checkbox"/> Acceptance of determination/verification subject to certain conditions¹ <i>Please indicate the conditions for the acceptance</i>	
<input type="checkbox"/> Rejection of determination/verification	
Diverging views within the review team regarding the final recommendation	
List of documents attached to the final recommendation, as appropriate	
<i>Please attach relevant documents used in the detailed review process and check mark below accordingly</i>	
Detailed review of a determination: <input type="checkbox"/> Revised JI PDD/PoA DD <input type="checkbox"/> Revised determination report <input type="checkbox"/> Any other documents (please list)	Detailed review of a verification: <input type="checkbox"/> Revised monitoring report <input type="checkbox"/> Revised verification report <input type="checkbox"/> Any other documents (please list)
Date and signature by the lead member of the review team	

¹

- 1) The JISC may request the AIE and the project participants to make corrections based on findings of the review team;
- 2) The revised documentation shall be submitted to the secretariat within 12 weeks in two versions, a version tracking the changes and a clean version;
- 3) The secretariat shall make the revised documentation available to the JISC and the public;
- 4) The revised documentation shall be checked by the Chair and the Vice-Chair of the JISC, assisted by the secretariat;
- 5) If the Chair and the Vice-Chair consider the corrections satisfactory, the determination/verification is accepted, otherwise the Chair may, bearing in mind the proximity of the documentation deadline of the next JISC meeting, submit the revised documentation for the consideration of the JISC at its next meeting or for electronic decision-making by the JISC. If the JISC considers the corrections satisfactory, the determination/verification is accepted, otherwise it is rejected.