

Joint Implementation Supervisory Committee



Nineteenth meeting Report – Annex 1

Annex 1

FORMS UNDER THE VERIFICATION PROCEDURE UNDER THE JOINT IMPLEMENTATION SUPERVISORY COMMITTEE TO INCORPORATE THE PROCEDURES FOR PROGRAMME OF ACTIVITIES



JI DETERMINATION REPORT FORM

(to be	(verse completed by an AIE requesting the publication of a dete	sion 02) ermination	pursuant to paragraph 3	3 of the JI guidelines)					
Accred	dited independent entity (AIE) submitting form								
	Proposed JI	activity	/						
Refere	ence number and title of proposed JI activity								
Host P	Party(ies)								
Parties	s involved in the JI activity								
Coord	inating entity (applicable to JI PoA only)								
Projec	et participants								
Type o	of JI activity: □ large scale □ small s	scale	□ LULUCF	□ РоА					
	description JI activity								
	Determination	n repoi	rt						
furthe	e confirm that all requirements of Article 6 of the relevant requirements defined by the CMP or ant to paragraph 33 of the JI guidelines are me	r the JISC							
	Yes								
	No								
Genera	al information on determination								
Please	Please describe: The scope of the determination process, including all documentation that has been reviewed and list the names of persons interviewed during the determination process, as applicable;								
Descri	iption of determination process								
	e briefly describe and refer to:								
>	The review of the JI PDD/PoA DD and additional	al docume	entation attached to it	;					
>	The assessment against JI requirements, e.g. b								
>	The report of findings by the AIE, including the user action requests, clarifications or observations et	use of diff	•						
Stateme	atements or assessments should be included in section "Conclusions, final comments and determination opinion".								

Comments received from Parties, stakeholders and UNFCCC accredited observers
Please:
Summarise the comments received pursuant to paragraph 32 of the JI guidelines; and
Provide a report of how due account was taken of these.
Conclusions, final comments and determination opinion
Please provide:
Conclusions describing how each of the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements defined by the CMP or the JISC, in particular those referred to in paragraph 33 of the JI guidelines, have been met, including assessments and findings (e.g. corrective action requests, clarifications or observations) related to each requirement, and statements on whether all issues raised have been addressed to the AIE's satisfaction;
Final comments and a determination opinion.
List of documents attached to the determination report form
Please attach relevant documents used in the determination process and check mark below accordingly
□ PDD/PoA DD of the proposed JI activity
□ Determination report
□ Written approvals by the Parties involved, listed in the JI PDD/PoA DD
□ Other relevant documents:
□ Determination protocol
□ List of persons interviewed
□ Any other documents (please list):
The AIE herewith declares that undertaking the determination for the proposed JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE
Authorized officer signing for the AIE
Date and signature



JI VERIFICATION REPORT FORM (version 02)

(to be completed by an AIE requesting the publication of a determination pursuant to paragraph 37 of the JI guidelines)								
Accredited independent entity (AIE) submitting form								
JI activity								
Reference number and title of JI activity	,							
Coordinating entity (applicable to Jl PoA only)								
Parties involved in the JI activity								
Type of JI activity: □ large scale □ small :	scale 🗆 LULUC	F □ PoA						
Emission reductions / Enha	ancements of rem	novals						
Please confirm that all requirements of Article 6 of t further relevant requirements defined by the CMP o pursuant to paragraph 37 of the JI guidelines are m Yes No	r the JISC with regar							
The monitoring plan was revised If yes, please inform for which technologies and measures under each type of JPA the monitoring plan was revised (applicable to JI PoA only)	□ Yes	□ No						
The revisions to the monitoring plan improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for the establishment of monitoring plans	□ Yes	□ No □ N.A.						
Reference number of monitoring report(s)								
Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)								
Reference number of JPAs verified (applicable to JI PoA only)								
A sampling approach was used to verify the JI PoA (applicable to JI PoA only)	□ Yes	□ No						
Verification period (DD/MM/YYYY - DD/MM/YYYY) (applicable to JI PoA only)								
Verified reductions in anthropogenic emissions by sources / enhancements of anthropogenic removals by sinks (tonnes of CO ₂ equivalent)								
The present verification is the first with regard to the JI activity	□ Yes	□ No						

List of documents attached to the verification report form Please attach relevant documents used in the verification process and check mark below accordingly									
	□ Verification report								
	Wri	tten approvals by the Parties involved, if applicable							
	Other documents:								
		Revised monitoring plan, if applicable							
		Determination that the revisions to the monitoring plan, if applicable, improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for the establishment of monitoring plans							
		Sampling plan, if applicable (JI PoA only)							
		Any other documents (please list):							
		rewith declares that undertaking the verification for the JI activity referred to above onstitute a conflict of interest which is incompatible with the role of an AIE							
Author	Authorized officer signing for the AIE								
Date a	Date and signature								



EXPERT INPUT ON JI DETERMINATION

	UNFOOC			XI II	AP	PRA	ISAI version		DRM	.IVIII \		
		(t	this form st	nall be con	npleted	by an expe	rt providin E	g input o	n an appra	isal of a	determina	tion)
E>	cpert subr	mitting th	ne form:			<i>D</i>		11		1	7.1	
	•	•	•	•		Backg	round					
1.	by the CN	/IP or the	JISC with	regard to	detern	otocol, the	JI guideli ursuant t	o paragra	aph 33 of		-	nts defined have to be
2.	Project participants shall submit to an AIE a JI PDD/PoA DD that contains all information needed for the determination of whether the proposed JI activity fulfills the conditions specified in the questions below, as provided in paragraph 31 of JI guidelines.											
3.			rmine whe	-	-	ed JI activit w.	y meets t	he condi	tions of p	aragraph	n 33 of th	e JI
4.	its reason	ns, includi		mary of co	ommen	nts received	_				•	lanation of of these, in
5.	•	-				sideration der the JIS			-	ocedures	s for prog	grammes of
						JI act	ivity					
Re	eference i	number	and title	of prop	osed .	JI activity	•					
Н	ost Party((ies)										
Pr	oject par	ticipants	S									
Co	oordinatii	ng entity	(applica	able to J	II PoA	only)						
Ту	pe of JI a	activity:	□ laı	ge scale	9	□ smal	l scale		LULUCI	=	□ Po	ρA
Br	ief descr	iption o	f JI activ	ity								
Le	ength of c	rediting	period									
en	nnual ave hanceme eriod (toni	ents of r	emovals	over the			1					
Na	ame of Al	E that p	erformed	the det	ermin	ation					·	

		Input on Appraisal
Pleas	e res	spond to the questions presented below by marking the appropriate check box:
<u>Yes</u>	<u>No</u>	
		➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately dealt with by the AIE?
		> Has the AIE determined appropriately that the proposed JI activity:
		has been approved by the Parties involved listed in the JI PDD/PoA DD?
		would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
		 has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
		➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
		> Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
		➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (applicable to JI PoA only)
		describe in detail all the issues identified, including the specific requirements in the elines that are not fulfilled, and suggest means to avoid the issues, if appropriate.
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Date a	and s	signature



II DETERMINATION APPRAISAL FORM

ı	LNFCCC					(ver	sion 02)				
	C	0	N	F	I	D	E	N	T	I	A	L
JIS	SC mer	nber/alter	nate me	mber sul	bmitting	the form:		·				
						Backgr	ound					
1.	by the	quirements of CMP or the dappropria	JISC witl	n regard to	determ	ninations pu	rsuant to	o paragra	ph 33 of			
2.	Project participants shall submit to an AIE a JI PDD/PoA DD that contains all information needed for the determination of whether the proposed JI activity fulfills the conditions specified in the questions below, as provided in paragraph 31 of the JI guidelines.											
3.		E shall detenes, as spec					meets t	he condit	ions of pa	aragraph	33 of the	e JI
4.	its reas	E shall mak sons, includi ance with pa	ing a sum	mary of c	commen	ts received						lanation of of these, in
5.		applicable, es under the								ocedures	for prog	rammes of
						JI acti	vity					
Re	eferenc	e number	and title	of prop	osed .	JI activity						
Н	st Part	ty(ies)										
Pr	oject p	articipant	s									
Ö	ordina	iting entity	y (applio	able to	JI PoA	only)						
Ту	pe of J	II activity:	□ la	rge scal	е	□ small	scale		LULUCI	=	□ Po	Α
Br	ief des	cription o	f JI activ	/ity								
Le	ngth o	f crediting	period									
en	hancer	verage of ments of r onnes of C	emovals	s over th								
Na	me of	AIE that p	erforme	d the de	termin	ation						
						Appra	isal					
Ρl	ease re	spond to	the que	stions p	resente	ed below	oy marl	king the	approp	riate cl	neck bo	x:
Ye □	s No		all the re	equireme	ents of 4	Article 6 of	the Kw	nto Proto	ncol and	the II o	uideline	s and

further relevant requirements been fulfilled and appropriately dealt with by the AIE?

<u>Yes</u>	<u>No</u>	
		➤ Has the AIE determined appropriately that the proposed JI activity:
		has been approved by the Parties involved, listed in the JI PDD/PoA DD??
		would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
		has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
		➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
		> Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
		➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (applicable to JI PoA only)
		describe in detail all the issues identified, including the specific requirements in the lines that are not fulfilled, and suggest means to avoid the issues, if appropriate.
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Date a	and s	signature



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JI VERIFICATION APPRAISAL FORM

	UNFLUE					(ver	sion 0	•		· ·		
	C	(this form	shall be co	empleted by	y a JISO	C member/al		nember pre			I of a ver	ification)
JI	SC memb	er/alterr	nate men	nber subr	mittino	g the form:						
						Backgr	ound					
1.	The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations (verifications) pursuant to paragraph 37 of the JI guidelines have to be met and appropriately dealt with by the accredited independent entity (AIE).											
2.	Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided in paragraph 36 of JI guidelines. The report shall be made publicly available											
3.	According to paragraph 37 of the JI guidelines, the AIE shall make a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines.											
4.						ph 37 of the						secretariat,
5.	Where ap	plicable, under the	the AIE sh verification	nall take in on procedu	to con	sideration p	aragrar (JI Po	ohs 41-55 A procedur	of the pres).	ocedures	for prog	rammes of
						JI acti	vity					
Re	eference r	number	and title	of propo	sed .	JI activity						
Н	ost Party(ies)										
Pr	oject par	ticipants	;									
C	oordinatir	ng entity	(applica	able to JI	l PoA	only)						
Ту	pe of JI a	activity:	□ lar	ge scale		□ small	scale	_ l	LULUC	F	□ Po	PΑ
Le	ength of c	rediting	period									
en	nnual ave nhanceme eriod (tonr	ents of re	emovals	over the		ductions / iting						
	ame of All PDD/PoA		erformed	the dete	rmina	ation of						
			Emissi	on redu	uctio	ns / Enh	ance	ments c	of rem	ovals		
Tr	ne monito	ring pla	n was re	vised					Yes		□ N	0
un		type of JF	PA the mo			nd measure as revised	es					
ac cc wi	thout cha	nd/or ap compare anging c	plicabilit d to the conformi	ty of info original ty with th	rmati monit ne rel		s	□ Y €	es	□ No		□ N.A .

Reference number of monitoring report(s)		
Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)		
Reference number of JPAs verified		
(applicable to JI PoA only)		
A sampling approach was used to verify the JI PoA (applicable to JI PoA only)	□ Yes	□ No
Verification period (DD/MM/YYYY - DD/MM/YYYY) (applicable to JI PoA only)		
Verified reductions in anthropogenic emissions by sources / enhancements of anthropogenic removals by sinks (tonnes of CO ₂ equivalent)		
The present verification is the first with regard to the JI activity	□ Yes	□ No
Name of AIE that performed the verification of emission reductions / enhancements of removals		
Apprais	al	
Please respond to the questions presented below by	marking the appropriate chec	k box:
Yes No □ □	ne Kvoto Protocol and the II quid	elines and
further relevant requirements been fulfilled		
□ □ ► Has the AIE verified appropriately the reducenhancements of anthropogenic removals accordance with appendix B of the JI guid calculated in accordance with paragraph 3	s by sinks reported by project part lelines, and the fact that they were	ticipants in
☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐		
Please describe in detail all the issues identified, i JI guidelines that are not fulfilled, and suggest me		
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>		
>		
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>		
Date and signature		



JI DETERMINATION REVIEW FORM

			. H.J. Comm Banks broads	(versio	•	the DED) are 1100 march	
Pa			/ JISC member subr		(through	the DFP) or a JISC memb	er request a review)
for	_	(211)					
Re	ference	number ar	nd title of the propos	ed JI activity:			
Ту	pe of JI	activity:	□ large scale	□ small sc	ale	□ LULUCF	□ PoA/JPA
				Backgrou	nd		
1.	by the C	MP or the JI		rminations pursu	ant to pa	and further relevant re tragraph 33 of the JI gu / (AIE).	
2.	determin	nation of whe		ctivity fulfills the		ntains all information n is specified in the ques	
3.			ine whether the proposed in the questions be		eets the o	conditions of paragraph	33 of the JI
4.	its reaso	ons, including		ents received and		ecretariat, together wit t of how due account w	
5.			e AIE shall take into co erification procedure u			26-39 of the procedures ocedures).	for programmes of
			R	equest for r	eview		
Ple	ease res	spond to th	e questions preser	ted below by	markinç	g the appropriate cl	neck box:
Yes	s <u>No</u>						
		> Has the	AIE determined app	ropriately that	the prop	osed JI activity:	
		■ has	been approved by the	ne Parties invol	ved liste	ed in the JI PDD/PoA	DD?
			nthropogenic remova			issions by sources o tional to any that wo	
			an appropriate base ppendix B of the JI g		ring pla	n in accordance with	the criteria set out
		analysis impacts impacts underta	s of the environments, in accordance with are considered sign	al impacts of the procedures as ificant by the p	e propos determi roject pa	have submitted docused JI activity, including ned by the host Party articipants or the host naccordance with presented the second of the second	g transboundary v, and, if those : Party, have
		an expla		s, including a s		ole through the secre of the comments red	
						only JPA(s) that appe applicable to JI PoA	
		> Has the and the	AIE confirmed that to operational and mar	the eligibility cr nagement arrar	iteria for gement	inclusion of JPAs has for the implemental applicable to JI PoA	ave been fulfilled tion of the JI PoA
ı		ments in th				view, including the attach supporting	
	· · · ·						
7	>						



JI VERIFICATION REVIEW FORM

(version 02)

		(by submittin	g this form, a Party invol	ved in a JI activity (throu	igh the DFP) or a JISC mer	nber request a review)			
Part form	•	lved (DFP)	/ JISC member subr	nitting the					
Refe	erence	number a	nd title of the propos	ed JI activity:					
Тур	e of JI	activity:	□ large scale	□ small scale	□ LULUCF	□ PoA/JPA			
				Background					
b	y the C	MP or the J	ISC with regard to deter	minations (verification	nes and further relevant r is) pursuant to paragrapl redited independent entit	n 37 of the JI			
a	2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided in paragraph 36 of JI guidelines. The report shall be made publicly available								
a p									
			ne AIE shall take into coverification procedure un		s 41-55 of the procedure procedures).	es for programmes of			
			R	equest for revie	w				
Plea	se res	spond to th	ne questions presen	ted below by mark	ing the appropriate o	heck box:			
Yes	No								
		enhand accorda	ements of anthropogo	enic removals by sir of the JI guidelines,	in anthropogenic eminks reported by project and the fact that they be JI guidelines?	participants in			
		through		her with an explanat	oh 37 of the JI guidelin ion of its reasons (incl				
			•		d only JPA(s) that app ? <i>(applicable to JI PoA</i>				
re		ments in th			review, including the nd attach supporting				
>									
>									
>									
>									
>									



FORM FOR REQUEST FOR CLARIFICATION AND/OR FURTHER INFORMATION

(version 02) (this form shall be completed by the review team) F Reference number and title of the JI activity **Background** Detailed review of a determination in accordance with paragraph 35 of the JI guidelines: Requests for clarification and/or further information, as appropriate, shall be prepared and submitted to the project participants and/or the accredited independent entity (AIE) through their contact persons for the review process by the review team selected by the JISC within one week after the JISC has decided on the composition of the review team and communicated to the team the scope of the review. Answers (incl. revised documentation, as appropriate) shall be submitted by the contact persons for the review process to the review team through the secretariat within two weeks after the request for clarification and/or further information was made by the review team. The secretariat shall acknowledge the receipt of the answers and forward them to the review team. The lead member of the review team may decide to have further interactions with regard to clarifications/further information if considered necessary. Detailed review of a verification in accordance with paragraph 39 of the JI guidelines: Requests for clarification and/or further information, as appropriate, shall be prepared and submitted to the project participants and/or the AIE through their contact persons for the review process by the review team selected by the JISC within 5 days after the JISC has decided on the composition of the review team and communicated to the team the scope of the review. Answers (incl. revised documentation, as appropriate) shall be submitted by the contact persons for the review process to the review team through the secretariat within five days after the request for clarification and/or further information was made by the review team. The secretariat shall acknowledge the receipt of the answers and forward them to the review team. If time allows, the lead member of the review team may decide to have further interactions with regard to clarifications/further information if considered necessary. **Detailed review** (Team leader, JISC member/alternate member) Review team (JISC member/alternate member) □ Yes □ No Outside experts Scope of the review

Request for clarification and/or further information						
Please submit by the relevant deadline, as appropriate, answers and revised documentation (e.g. JI PDD/PoA DD, determination report, etc.)						
Addressee of the request	Project participant(s)/Coordinating entityAIE					
Please insert questions for the addressee based on the scope of the review (for each section of the scope)						
Please provide preliminary findings by the review team (for each section of the scope) based on interactions with the project participants / coordinating entity / AIE, if applicable						
Date and signature by the lead member of the review team						

FORM FOR REPORT BY REVIEW TEAM (version 02) (this form shall be completed by the review team leader)					
C O N F I D	E	N T	I	A	L
JI a	activity				
Reference number and title of the JI activity					
Host Party(ies)					
Project participants					
Coordinating entity (applicable to JI PoA only)					
Type of JI activity: □ large scale □ s	mall scale		F	□ PoA	/JPA
Brief description of the JI activity					
Name of accredited independent entity (AIE) that performed the determination or verification					
Bac	kground				
The review team shall consist of two JISC members, including alternate members, who will be responsible for supervising the review, and outside experts, as appropriate.					
 One JISC member/alternate member of the review team shall be identified as lead member of the team and will be responsible for, inter alia, drafting the final recommendation of the team to the JISC, ensuring that any diverging views within the team are reflected. 					
3. The review team shall provide inputs, prepare requests for clarification and/or further information to the AIE and/or project participants, and analyse information received during the review.					e AIE
 The two JISC members, including alternate members, supervising the review shall be responsible for compiling inputs and comments and preparing the final recommendation to the JISC. 					compiling
Detailed review					
General information					
Review team	(Team leader, JISC member/alternate member) (JISC member/alternate member)				
Outside experts	□ Y	'es	□ N	0	
Scope of the review					

Review process				
General description of the review process				
Description of findings based on the scope of review, including diverging views within the review team				
Final recommendation (including reasons) Please mark the right check box and provide reasons for any recommendation, including references to the scope of the review and relevant CMP and/or JISC decisions and JI guidelines, as appropriate.				
 Acceptance of determination/verification without conditions 				
□ Acceptance of determination/verification subject to certain conditions¹ Please indicate the conditions for the acceptance				
□ Rejection of determination/verification				
Diverging views within the review team regarding the final recommendation				
List of documents attached to the final recommendation, as appropriate				
Please attach relevant documents used in the detailed review process and check mark below accordingly				
Detailed review of a determination:	Detailed review of a verification:			
□ Revised JI PDD/PoA DD	□ Revised monitoring report			
□ Revised determination report	□ Revised verification report			
 Any other documents (please list) 	□ Any other documents (please list)			
Date and signature by the lead member of the review team				

¹⁾ The JISC may request the AIE and the project participants to make corrections based on findings of the review team;

²⁾ The revised documentation shall be submitted to the secretariat within 12 weeks in two versions, a version tracking the changes and a clean version:

⁴⁾ The revised documentation shall be checked by the Chair and the Vice-Chair of the JISC, assisted by the secretariat;

⁵⁾ If the Chair and the Vice-Chair consider the corrections satisfactory, the determination/verification is accepted, otherwise the Chair may, bearing in mind the proximity of the documentation deadline of the next JISC meeting, submit the revised documentation for the consideration of the JISC at its next meeting or for electronic decision-making by the JISC. If the JISC considers the corrections satisfactory, the determination/verification is accepted, otherwise it is rejected.