



Annex 2

JOINT IMPLEMENTATION MANAGEMENT PLAN 2011

(Version 02)



JOINT IMPLEMENTATION MANAGEMENT PLAN 2011

(Version 02)

I. INTRODUCTION

1. This Joint Implementation management plan (JI-MAP) is intended to maintain and strengthen the capacity of the Joint Implementation Supervisory Committee (JISC) and its support structure, including the Joint Implementation Accreditation Panel (JI-AP), the UNFCCC secretariat, as well as key actors such as accredited independent entities (AIEs) and designated focal points (DFPs), to address the requirements arising from decisions taken by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and the challenges associated with the running JI mechanism.

2. The JI-MAP for 2011 reflects changes that have occurred since the previous JI-MAP was adopted¹. Notably, at its twenty-third meeting, the JISC requested the secretariat to prepare a revised management plan that could strictly prioritise activities and take into account the latest financial situation. In response, a work plan was prepared which prioritizes the activities of the JISC for 2011–2013. The current JI-MAP should be considered in the context of that document.

3. The JI-MAP is based on a proposal made to the JISC by the Executive Secretary of the UNFCCC and draws on the annex to decision 9/CMP.1,² as well as on subsequent decisions of the CMP concerning JI and the experience of the JISC to date. It has been elaborated to provide the JISC, and all other stakeholders working on JI, with complete information on what is required to deliver JI, in terms of roles, functions, caseload and resource requirements.

A. Vision and objectives

4. The overarching vision for the work of the JISC in the period 2011–2013 is to firmly establish JI as an effective tool of international collaboration for developed country Parties in mitigating their emissions of greenhouse gases and to provide a robust basis for building upon JI as a means of mitigating greenhouse gas emissions in the period beyond 2012.

5. In pursuit of this vision, the JISC has identified three major objectives to be achieved:

- (a) **Greater efficiency in the continued operation of JI.** The JISC shall secure resources required for the continued operation of the mechanism, including the processing of new submissions relating to projects and accreditation, and strengthen its policy guidance to ensure its clarity and improve its usability in both the short and long term;
- (b) **Enhanced promotion of the mechanism.** The JISC shall strengthen its outreach to ensure an enhanced understanding among stakeholders and policy makers of the benefits and contributions of JI to addressing climate change;
- (c) **Effective contribution to the future development of JI.** The JISC shall contribute to the consideration of Parties and other experts of how JI can be further developed and used in the period beyond 2012, including through contributing to the intergovernmental process in this matter.

6. The JISC foresees, and has planned for in 2011:

¹ This version of the JI MAP replaces the previously approved document for 2010/2011 with regard to estimates for 2011.

² Decision 9/CMP.1, annex.



Joint Implementation Supervisory Committee

- (a) Flexible approaches to address the continued uncertainty in the project caseload and a possible expected increase in submissions of determination and verifications under the Track 2 procedure;
- (b) Continued need for review of standards, procedures, guidance and clarifications of the Track 2 procedure and the accreditation process;
- (c) Continued interaction between the JISC and independent entities (IEs);
- (d) Continued interaction between the JISC and designated focal points (DFPs) of Parties involved in JI projects as well as project participants; and
- (e) Continued activities to provide information and raise awareness about JI.

B. Key assumptions regarding project caseload and accreditation work in 2011

7. Based on past experience, the JISC expects around 75 submissions under the Track 2 procedure in 2011, including project design documents (PDDs), determinations, monitoring reports and verifications. The JISC notes the need to closely monitor the status of submissions and continue to work with IEs and DFPS to improve the reliability of information available for estimating its project related workload.

8. As in its previous management plan, the JISC has planned for two applications for accreditation from IEs during the reporting period. With the proposed revision to the accreditation process, the estimation of specific activities within the accreditation workflows (e.g. number, timing and nature of witnessing activities and performance assessments), the estimation of associated resources and work effort remains unchanged.

9. The JISC is dedicated to the efficient and cost-effective functioning of the JI Track 2 (JISC supervised) procedure. Despite uncertainty with regard to the timing of determinations and verifications, and further growth in project submissions in general, the present resource requirements need to be maintained to ensure adequate operation of the JI Track 2 procedure. To increase efficiency, the JI-MAP includes increased cost sharing of cross-cutting activities and support within the secretariat's Sustainable Development Mechanisms (SDM) programme.

10. This JI-MAP attempts to take into account potential budget implications of changes in key assumptions, notably possible growth in submissions of determinations and verifications. This is one of the areas of greatest uncertainty for the JISC, and thus a challenge with respect to planning and allocating associated resource. Many activities detailed in this JI-MAP are of a collaborative and cross cutting nature. This requires maximum flexibility from the secretariat in its deployment of human resources. The numbers of staff associated with each activity in the tables that follow should therefore be viewed as planned contingency, not fixed. As the year progresses the secretariat will redeploy the SDM human resources as needed to meet shifting short- and medium-term demands across the activities.

11. On the basis of current assumptions and associated estimates, the budget for JI related work for 2011 is USD 2,517,035. This figure is lower than in the previous year (by USD 906,562) due in part to lower estimations of associated costs for some activities. However, as mentioned, the actual resources required to deliver on JI Track 2 related work will depend on the actual level of submissions and related activities.

II. LESSONS LEARNED

12. In accordance with decisions 3/CMP.2, 3/CMP.3 and 5/CMP.4, the JISC continually reviews and assesses the adequacy of its management plans. In preparing its JI-MAP for 2011, the JISC applied lessons learned from previous plans. In line with decision 3/CMP.2, each version of the JI-MAP is



Joint Implementation Supervisory Committee

published as an annex to the report of the JISC meeting at which it was adopted. The JISC will continue to ensure that any further versions of the JI-MAP are made available in accordance with this decision.

13. Decision 4/CMP.6 (taken in December 2010) noted that self-financing may not be possible before 2012. For the JISC to be self-financing, the number of project submissions would have to be significantly higher than estimated in this current management plan and the existing projects would have to continue to be processed under the Track 2 procedure.

14. The JISC has noted, over the five years of its operation, the need to continuously engage and develop strong communication channels with various stakeholders in JI, so as to collect diverse views on the operation of the JI Track 2 procedure and then reflect them as appropriate in the further improvement of the Track 2 procedure.

15. The JISC has increased its interaction with IEs, through regular interactions with representatives of the forum of designated operational entities and accredited independent entities (DOE/AIE coordination forum) at JISC meetings, and through dedicated round-table consultations. In addition, the JISC has encouraged IEs to provide views through submissions to the various calls for public input on developments of JISC documents or on issues of concern. The JISC has also attempted to engage DFPs more actively, but has had less success than hoped for. The JISC plans to increase its efforts to interact with DFPs in the biennium 2010–2011 through round-table consultations and dedicated DFP events. Since mid-2009, the JISC has also been interacting with project participants on a regular basis, by inviting identified project developer groups to JISC meetings, soliciting their inputs for consideration, and holding dedicated round-table consultations. Clear communication and understanding of each other's roles in the process remain a high priority for the JISC. Hence, the JISC will continue to look for means to enhance interaction with various stakeholders, to ensure efficient and transparent operation of the JI mechanism.

III. JOINT IMPLEMENTATION MANAGEMENT PLAN 2011 BY OBJECTIVE

16. This chapter provides an overview of planned activities and caseload assumptions for each of the three objectives in 2011. It should also be noted that important and ongoing supportive activities that must be maintained irrespective of the changing priorities of the JISC are contained in a table in appendix 1.

17. The planned main changes for 2011 from the biennium 2008–2009 are as follows:

- (a) Fewer JISC meetings;
- (b) Increase in the number of project submissions processed;
- (c) More accreditation assessment activities;
- (d) Fewer training activities for external experts conducting accreditation assessments;
- (e) Increased activities to enhance interaction, communication and knowledge sharing and management;
- (f) Enhanced provision of dedicated legal, communication, knowledge management and management support services;
- (g) Increased information system support and services.

A. Greater efficiency in the continued operation of joint implementation



Joint Implementation Supervisory Committee

18. The JISC shall secure resources required for the continued operation of the mechanism, including the processing of new submissions relating to projects and entity accreditation, and strengthen its policy guidance to ensure clarity and improve usability in both the short and long term.

19. The secretariat will continue to provide support to the JISC in 2011 throughout the full project cycle of the Track 2 procedure. This will include, for example, managing the JI Track 2 project submission process and associated communications, handling public calls for input on substantive matters relating to the project cycle and public calls for experts for appraisals of determinations/verifications, preparing documentation for consideration by the JISC, and coordinating external experts for appraisals of determinations/verifications. In addition, the secretariat will continue to assist the JISC in developing and revising standards, procedures, guidance and clarifications relating to the project cycle. The JISC expects around 75 submissions (PDDs, determinations, monitoring reports and verifications), distributed equally throughout the year. This area of work is characterized by short turn-around times (requiring coordination of external experts and/or JISC members/alternate members) and continuous, uninterrupted workflow throughout the year. The maintenance (continuous operation) of the project cycle process is the top priority.

20. If the caseload during the course of the year increases or decreases beyond the estimate in the previous paragraph, further revision of the JI-MAP may be necessary, including reconsideration of the required associated financial and human resources. The budget for 2011 takes this uncertainty into account, maintaining the capacity to deploy resources at short notice if needed.

21. It should be noted that as the number of projects in the system increases, the JISC will consider how the secretariat could further support them in the various steps of the workflow, and may revise this JI-MAP accordingly in the future.

22. The secretariat will continue to provide support to accreditation-related activities under the JISC in 2011. This will include, for example, handling applications for accreditation from IEs, supporting activities of assessment teams (JI-ATs) during their assessments of IEs, providing technical support to the JISC and the JI-AP on their consideration of accreditation-related policy issues, preparing documentation for consideration by the JISC and the JI-AP, providing administrative support to organizing JI-AP meetings, handling public calls for input on substantive matters relating to accreditation and public calls for experts for the roster of JI-ATs and replacement of JI-AP members. The estimate of caseload for 2011 presented in Table 1 is based on an assumption that there will be two new applications for accreditation during the year. Within this area of work, the maintenance (continuous operation) of handling applications for accreditation and assessments of IEs is the top priority.

23. The secretariat will also continue to support the JISC in its interactions with applicant IEs and AIEs. As part of this work, the secretariat will continue to provide secretarial support to facilitate the organization of meetings of the DOE/AIE coordination forum.

24. To ensure the credibility of the work of AIEs, which is vital for successful operation of the Track 2 procedure, the JISC will need to closely monitor and consider measures to further strengthen the performance of AIEs. The JISC will also need to keep under careful review the possible limited number of competent experts for the assessment of IEs and ensure appropriate resources and support for assessment work are available.

25. The secretariat will also continue to support the JISC in its interactions with DFPs. The JISC, working with secretariat staff will ensure that DFPs are provided with the information and the means necessary to fulfil their roles.



Joint Implementation Supervisory Committee

26. A well established support structure providing impartial substantive support is crucial to the operation of the JI mechanism. Such a support structure is in place, having taken shape during the first five years of the JISC. However, the support structure must be maintained and adjusted, to be able to respond to the submissions of PDDs, determinations and verifications, or applications for accreditation. This requires predictable funding and timely deployment of human resources. The JI-MAP presents a budget that allows for the delivery of all tasks contained in the plan, including those mandated by the CMP in relevant decisions, in particular decision 3/CMP.2, paragraphs 10 and 11; decision 3/CMP.3, paragraph 6 (c); decision 3/CMP.5, paragraphs 14, 16 (b)-(c) and 17, and decision 4/CMP.6, paragraphs 13, 16 and 19.

Joint Implementation Supervisory Committee
Table 1: Greater efficiency in the continued operation of JI

Action	Related activities	Planned start	Planned finish	Person/months	Status
1. Processing submissions of project design documents (PDDs), determinations, monitoring reports and verifications, including possible JISC reviews of determinations/verifications in accordance with the relevant JI project cycle procedures	Conduct completeness checks of submissions ³	01/11	12/11	16 (P) 6 (G)	Ongoing
	Prepare request for review assessments	01/11	12/11		
	Process support and management	01/11	12/11		
	Support the JISC during consideration of cases	01/11	12/11		Ongoing
2. Processing accreditation applications and assessments	Initial accreditation	01/11	12/11	9.5 (P) 2 (G)	Ongoing
	Performance assessments	N/A			
	Surveillance of Central Offices	N/A			
	Process support and management	01/11	12/11		
	Support the JI AP during consideration of cases	01/11	12/11		
3. Monitoring MAP implementation and considering revisions as appropriate	Prioritize activities of the JISC in line with the JI-MAP			1.75 (P) 1.0 (G)	
	Draft a revised JI-MAP for 2011		JISC 24		
	Consider the draft and adopt it with modifications as appropriate				
	Provide ongoing updates on financial status and projections of future fee income				4.5 (P) 6.25 (G)
4. Revising the provisions for the charging of fees to cover costs relating to the activities of the JISC	Establish provisions for the charging of fees for Track 1	01/11	JISC 24	1.75 (P) 0.25 (G)	
	Draft revised provisions for the charging of fees	03/11	03/11		
	Consider the draft and adopt it with modifications as appropriate	JISC 24	JISC 24		
5. Preparing recommendations to the CMP for its seventh session on amendments to the fee structure		11/11	11/11	0.5 (P) 0.25 (G)	

³ Includes processing of JI Track 1 submissions.



Joint Implementation Supervisory Committee

Action	Related activities	Planned start	Planned finish	Person/months	Status
6. Revise relevant policy guidance documents issued by the JISC	Decide on the priority areas/scope of clarifications/revisions	04/11	04/11	9.0 (P) 3.0 (G)	
	Draft revisions of relevant guidance documents and forms including a PDD Manual to consolidate and ensure the coherence of all requirements and instructions for JI project development	04/11	09/11		
	Consider the drafts and adopt them with modifications as appropriate	09/11	09/11		
7. Setting of time limits for each phase of the JI project cycle	Revise the review process to create more independence from the JISC meeting cycle	JISC 24	JISC 25	2.5 (P) 0.25 (G)	
	Identify suitable timelines	JISC 24	JISC 25		
	Prepare report for consideration by JISC	JISC 24	JISC 25		
	Draft revisions of the relevant procedural documents	JISC 24	JISC 25		
	Consider the drafts and adopt them with modifications as appropriate	JISC 25	JISC 25		
8. Use electronic decision-making, in particular in relation to reviews	Draft revisions of relevant procedural documents	JISC 24	JISC 25	1 (P) 0.5 (G)	
	Consider the drafts and adopt them with modifications as appropriate	JISC 25	JISC 25		
9. Revise guidance to encourage and support simple and innovative methodological approaches	Identify priority areas and report to the JISC	04/11	09/11	6 (P) 3 (G)	
	Conduct stakeholder consultations				
	Draft revisions or develop relevant guidance documents				
	Consider the drafts and adopt them with modifications as appropriate				
	Identify other areas for possible changes for consideration by the CMP in its review of the JI guidelines				

**Joint Implementation Supervisory Committee**

Action	Related activities	Planned start	Planned finish	Person/months	Status
10. Revise the JI accreditation procedure	Prepare a proposal for streamlining the JI accreditation process	01/11	JISC 24	3 (P) 0.25 (G)	
	Decide on which processes to be changed	JISC 24	JISC 24		
	Prepare a revised JI accreditation procedure	03/11	04/11		
	JI AP to consider the draft revised JI accreditation procedure	JI-AP 25 JISC 26	JI-AP 26 JISC 26		
	Submit revised procedure to JISC				

Note: CMP=Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol, IE=independent entity, JISC=Joint Implementation Supervisory Committee.

Joint Implementation Supervisory Committee
B. Enhanced promotion of the mechanism

27. The JISC shall strengthen its communication and outreach to ensure enhanced understanding among stakeholders and policy makers about the benefits of JI.

28. The secretariat works proactively to engage the media and to improve communication with stakeholders; among other ways, by responding to queries, facilitating the work of the JISC in responding to unsolicited communication, supporting the JISC in its implementation of calls for public input and by managing and improving the UNFCCC JI website. In 2011, the secretariat will provide additional support for communication and outreach (table 2).

29. The JISC has noted over the five years of its operation the need to continuously engage with, and develop strong communication channels to, the various stakeholders in JI. Not least, this is required in order to collect the views of stakeholders regarding the operation of the Track 2 procedure and reflect these views in the further improvement of the mechanism.

30. In 2011, the JISC, with support from the secretariat, will work to enhance interaction with DFPs and project participants.

Table 2: Enhanced promotion of the mechanism

Action	Related activities	Planned start	Planned finish	Person/months	Status
11. Develop a comprehensive communication strategy to reach out to various JI stakeholders	Support the work of the JISC Communication and Outreach Working Group	01/11	12/11	2 (P) 0.25 (G)	
	Enhance JI prominence at carbon market events (e.g. with speakers, booth, side events)	01/11	12/11		
12. Explore establishing a DFP forum.	Prepare a concept note on the establishment of a DFP forum	08/11		0.5 (P) 0.25 (G)	
13. Enhance outreach activities on JI	Enhance/prepare communication tools (e.g. enhance JI website), services (e.g. continue JI query service), and products (e.g. factsheets, project sheets, audio files) as described in the JI communication and outreach work plan	04/11	12/11	2.5 (P) 1.5 (G)	
	Assess the communication and outreach activities of DFPs (e.g. through survey)	03/11	04/11		
	Help DFPs share communication and outreach materials (e.g. photos, brochures) and experiences.	05/11	12/11		

**Joint Implementation Supervisory Committee**

Action	Related activities	Planned start	Planned finish	Person/months	Status
14. Involve stakeholders in activities to raise awareness and understanding of JI among policy-makers	Explore cooperative activities with international institutions /organizations to promote JI	04/11	12/11	0.5 (P)	
	Support capacity-building activities and training by external organizations	01/11	12/11	1.0 (P) 0.5 (G)	
	Hold a JI Technical workshop	05/11		1.5 (P) 1 (G)	
15. JISC Chair and Vice-Chair actively engaged in JI outreach activities	Seek opportunities to engage the media on issues relating to JI	01/11	12/11	0.75 (P)	
	Provide press support to the JISC Chair or his designate	01/11	12/11		

Note: CMP=Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol, IE=independent entity, JISC=Joint Implementation Supervisory Committee.

**Joint Implementation Supervisory Committee****C. Effective contribution to the future development of joint implementation**

31. The JISC shall contribute to the consideration by Parties, and experts, of how JI can be further developed and used in the period beyond 2012, including by contributing to the intergovernmental process in this matter. Following on from the JISC's work in 2010 to assess its experience with JI, the JISC will develop a response to the CMP's request that the JISC recommend options for building on the approach embodied in JI. The CMP would then be invited to consider these recommendations as part of the first review of the JI guidelines (table 3).

32. The JISC also will make further recommendations to the CMP based on its experiences in operating the JI Track 2 procedure and on interactions with stakeholders. With regard to the latter, the JISC will utilize the various activities mentioned in section B above to elicit inputs and feedback on the future development of JI, and report to the CMP as appropriate.

Table 3: Effective contribution to the future development of JI

Action	Related activities	Planned start	Planned finish	Person/months	Status
16. Prepare recommendations on options for building on the approach embodied in joint implementation with a view to the CMP considering the recommendations of the JISC as part of the first review of the joint implementation guidelines	Decide on the structure and key messages of the recommendations	JISC 25		5 (P) 2.5 (G)	
	Hold stakeholder consultations				
	Prepare draft recommendations to the CMP				
	Consider the drafts and adopt them with modifications as appropriate		JISC 26		

Note: CMP=Conference of the Parties serving as meeting of the Parties to the Kyoto Protocol, IE=independent entity, JISC=Joint Implementation Supervisory Committee.

IV. AVAILABLE RESOURCES IN SUPPORT OF JOINT IMPLEMENTATION IN 2011

A. 2011 Programme budget

33. This chapter presents the status of contributions, income from fees, a budget for the JI mechanism in 2011.

B. Income

34. The work on JI in 2011 will initially be funded from the carry-over from 2010 and previous years amounting to USD 1,440,258, projected Track 1 fees and voluntary contributions from Parties.

35. The contributions received from Parties for the period 2007 to 2010 is shown in table 4. Details of contributions from various parties in 2010 are shown in table 5.

36. Income from Track II fees held in reserve as at January 2011 is shown in table 6.

Table 4. Contributions from Parties, 2007–2010 (USD)

Year	2007	2008	2009	2010
USD	1,012,999	2,095,569	278,385	2,457,026

Table 5. Contributions from Parties in 2010 (USD)

Party	USD
Finland (EUR 15,000)	20,188
Denmark	59,970
Japan	82,964
Netherlands ¹	100,000
Germany ¹	500,000
Sweden (SEK 400,000)	54,911
Norway ¹	1,200,000
Romania (EUR 15,000)	20,833
United Kingdom ¹ (GBP 270,968)	418,160
Total	2,457,026

¹ Reallocation from CDM Prompt Start funds as agreed by Parties

Table 6. Income from fees held in reserve (USD)

Year	2007	2008	2009	2010	2011 ⁴	Total ⁵
USD	158,842	320,754	750,256	433,402	578,630	2,241,884

37. In terms of the decision at CMP 6 the JISC has agreed to implement the application of a fee system regarding Track 1 projects. JI large scale projects under Track 1 will be charged a USD 20,000 fee. Small scale projects and PoA's will be charged a USD 3,000 fee. A forecast based on 27 large scale projects and 33 small scale projects for the period April to December and an amount of USD 239,000 pertaining to projects submitted in March results in a figure of USD 878,000.

⁴ As at 28 February 2011.

⁵ USD 393,700 represents potential refundable amount.

Joint Implementation Supervisory Committee**C. 2011 budget**

38. The budget for 2011, as presented in table 7, identifies the human resource and financial needs for the operation of the JI mechanism by United Nations object of expenditure required to implement the 2011 work plan.

39. The proposed budget amounts to USD 2,194,670 which reflects a decrease of USD 1,228,927 from 2010 due to a reduction in activities and meetings.

40. The 2011 proposed budget is detailed below by standard United Nations (UN) cost elements. It should be noted that provision is provided for four meetings of the JISC.⁶

Table 7. Budget 2011 by UN object of expenditure (USD)

Object of Expenditure	Budget 2011	% of total
Staff	967,201	49.8
General Temporary Assistance	9,672	0.5
Consultants and Expert Fees	69,200	3.6
Expert Travel	282,000	14.5
Staff-related costs	2,748	0.1
Travel of Representatives	196,000	10.1
Travel of Staff	68,729	3.5
Training and Skills Development	10,000	0.5
Operating Expenses	328,635	16.9
Communications	3,000	0.2
Supplies	5,000	0.3
Total	1,942,185	100.0
Programme Support Costs 13%	252,484	
Grand Total	2,194,670	

⁶ In the event that voluntary contributions and fees are not received in a timely manner, funds from the reserve will be advanced temporarily and replenished later.

Joint Implementation Supervisory Committee

D. Expenditure

41. The trend in programme expenditure for the years 2007 to 2010 is shown in table 8.

Table 8. Programme expenditure relating to the JI year (USD)

Year	2007	2008	2009	2010 ⁷
Expenditure	699,719	1,326,289	1,516,291	1,818,211

42. Table 9 details JI expenditure by year and by standard UN cost element. The percentage columns reflect the percentage of each line item against total expenditure for the years 2008, 2009 and 2010. An additional column indicates the increase above the 2009 level of expenditure for the year 2010.

Table 9. Expenditure, by UN object of expenditure, 2008, 2009 and 2010 (USD)

Object of Expenditure	Expenditure 2008	% vs total 2008	Expenditure 2009	% vs total 2009	Expenditure 2010	% vs total 2010	% 2009 vs 2010
Staff	561,902	47.9%	776,019	57.8%	1,035,676	64.4%	133.5%
General Temporary Assistance	73,827	6.3%	58,208	4.3%	0		
Consultants/Experts fees	169,547	14.4%	37,017	2.8%	40,400	2.5%	109.1%
Expert travel	166,754	14.2%	65,543	4.9%	94,853	5.9%	144.7%
Staff-related costs	481	0.0%	2,832	0.2%	6,678	0.4%	235.8%
Travel of Representatives	86,048	7.3%	130,884	9.8%	112,909	7.0%	86.3%
Travel of Staff	8,265	0.7%	48,694	3.6%	10,867	0.7%	22.3%
Training & Skills Development	0	0.0%	0	0.0%	89,200	5.5%	
Operating Expenses	95,632	8.1%	211,154	15.7%	212,961	13.2%	100.9%
Communications	7,042	0.6%	2,012	0.1%	5,303	0.3%	263.6%
Supplies	4,210	0.4%	9,487	0.7%	191	0.0%	2.0%
Total	1,173,708	100.0%	1,341,850	100.0%	1,609,037	100.0%	119.9%
Programme Support 13% Overhead	152,582		174,441		209,175		
Grand Total	1,326,290		1,516,291		1,818,212		

⁷ This data is subject to change until the financial closure of the 2010-2011 biennium.



Joint Implementation Supervisory Committee

43. Table 10 details the carry-over from 2009 and the contributions received in 2010 as indicated in table 5. The subtraction of expenditure for 2010 (see table 9) results in a carryover of USD 1,440,258. The projected income from Track 1 fees as indicated in para 37 is USD 878,000. The 2011 budget amounting to USD 2,194,670 (table 7) is then subtracted which yields a projected surplus of USD 123,588.

Table 10. Summary of Operating Income (USD)

Carry over from 2009	801,443
Plus: Contributions from Parties	2,457,026
Sub-total	3,258,469
Less expenditure in 2010	(1,818,211)
Subtotal: Carry over from 2010	1,440,258
Plus: Projected Track 1 fees ⁸	878,000
Less: proposed budget 2011	(2,194,670)
Surplus/(Deficit)	123,588

44. In summary, the total projected income for JI in 2011 from carry over (USD 1,440,258) and income from Track 1 projects (USD 878,000) and Track II projects (USD 600,000) is USD 2,918,258. In line with the JISC's standing decision that fees accruing from Track II projects be kept in reserve (table 6), the available income for 2011 is USD 2,318,258. The budgeted expenditure for 2011, based on four meetings of the JISC, is USD 2,194,670 (table 7).

45. Resources would need to be adjusted expeditiously should assumptions regarding workload change significantly. The JISC intends to keep this under careful review to take account of the evolution of the process, as the work shifts from the PDD submission phase to the determination and verification phases, to ensure it is prepared to handle any spikes in activity or sustained increase in determinations and verifications.

⁸ Para 37 details how this fee is calculated.

Joint Implementation Supervisory Committee**Appendix 1**

1. The table below lists important and ongoing supportive activities that must be maintained irrespective of the changing priorities of the JISC.

Deliverables/Activities	Person months	
	Professional staff	General service staff
Continued support to ensure that JISC meetings are held in an efficient manner, including continuous quality support to members, in particular the Chair and Vice-Chair including the coordinating functions of the Secretary of the JISC	11	17.5
Supporting efficient operation of JI Accreditation Panel	3	1
JISC meeting documentation, including the meeting documentation from established panels, working groups and committees, are classified, indexed, managed and made publicly available on the UNFCCC JI website.	4	3
JISC meeting documentation and other official documentation are classified, indexed, managed and made publicly available on the UNFCCC JI website	2	3
All records relating to SDM operations are managed and safeguarded	1	3
Hold orientation course for new members and training for all JISC (0.5 day per year)	0.5	
Responding to unsolicited submissions and requests for clarification	1	1



Appendix 2

JI-CMP 6 DECISIONS IN WORK PLAN AND JI MAP



Joint Implementation Supervisory Committee

CMP para ref	CMP 6	JI work plan reference	JI MAP reference	JI MAP Related Actions
Para 5	Encourages the JISC to make further efforts to facilitate the process of accrediting independent entities.	Page 3 & 5, action 2 & 10	Table 1, action 2 & 10, Page 6 & 8	2. Processing accreditation applications and assessments; 10. Revise the JI accreditation procedure.
Para 6	Also encourages the JISC to continue enhancing the implementation of the verification procedure under the Committee, taking into account the distinct characteristics of joint implementation under Article 6 of the Kyoto Protocol, to continue to promote transparency and to emphasize in its interaction with designated focal points, independent entities, project participants and stakeholders that approaches specific to joint implementation are available.	Page 2, 3 & 4, action 1, 6, 7, 8 & 9	Table 1, actions 1, 6, 7, 8 & 9 Page 6, 7 & 8	1. Processing submissions of project design documents (PDDs), determinations, monitoring reports and verifications, including possible JISC reviews of determinations/verifications in accordance with the relevant JI project cycle procedures; 6. Revise relevant policy guidance documents issued by the JISC. 7. Setting of time limits for each phase of the JI project cycle; 8. Use electronic decision-making, in particular in relation to reviews; 9. Revise guidance to encourage and support simple and innovative methodological approaches.
Para 13	Requests the JISC to implement the action areas in section VI of the report referred to in paragraph 12 above with appropriate prioritization, taking into account the latest financial situation as well as the financial projections, with a view to accelerating the joint implementation process without undermining its credibility and environmental integrity, in particular with respect to: (a) Further improving the verification procedure under the Committee, by enhancing the clarity of its documents, setting time limits in the joint implementation project	Page 3, action 3	Table 1, action 3, 6, 7, 8, 9 & 10 Page 6, 7 & 8	3. Monitoring MAP implementation and considering revisions as appropriate; 6. Revise relevant policy guidance documents issued by the JISC; 7. Setting of time limits for each phase of the JI project cycle; 8. Use electronic decision-making, in particular in relation to reviews; 9. Revise guidance to encourage and support simple and innovative methodological approaches;



Joint Implementation Supervisory Committee

CMP para ref	CMP 6	JI work plan reference	JI MAP reference	JI MAP Related Actions
	cycle, making use of electronic decision making, in particular in relation to reviews, and encouraging and supporting project-based innovative methodological approaches; (b) Further streamlining the accreditation process, by building on synergies with and lessons learned from other accreditation processes.			10. Revise the JI accreditation procedure.
Para 15	Decides to initiate the first review of the joint implementation guidelines in accordance with decision 9/CMP.1, paragraph 8, at its seventh session.	Page 3, action 6	Table 1, action 6, Page 7	6. Revise relevant policy guidance documents issued by the JISC.
Para 16	Requests the JISC to make to the CMP at its seventh session recommendations on options for building on the approach embodied in joint implementation, taking into account, inter alia, the report referred to in paragraph 12 above and ongoing work being carried out by the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol, with a view to considering the recommendations of the Committee as part of the first review of the joint implementation guidelines.	Page 7, action 16	Table 3, action 16, Page 12	16. Prepare recommendations on options for building on the approach embodied in joint implementation with a view to the CMP considering the recommendations of the JISC as part of the first review of the joint implementation guidelines.
Para 19	Encourages the JISC: (a) To continue to keep the joint implementation management plan under review and to make adjustments to it as necessary to ensure the efficient, cost-effective and transparent functioning of joint implementation; (b) To enhance its interaction with designated focal points, independent entities and project participants.		Table 1, action 3, page 6 Table 2, actions 12, page 9	3. Monitoring MAP implementation and considering revisions as appropriate; 12. Explore establishing a DFP forum.



Joint Implementation Supervisory Committee

CMP para ref	CMP 6	JI work plan reference	JI MAP reference	JI MAP Related Actions
Para 20	Encourages the JISC and the secretariat to strengthen their outreach activities to improve overall understanding of joint implementation and collaboration with stakeholders.	Page 6 & 7, action 13, 14 & 15	Table 2, actions 11, 13, 14 & 15, Page 9 & 10	11. Develop a comprehensive communication strategy to reach out to various JI stakeholders; 13. Enhance outreach activities on JI; 14. Involve stakeholders in activities to raise awareness and understanding of JI among policy-makers; 15. JISC Chair and Vice-Chair actively engaged in JI outreach activities.
Para 22	Encourages the JISC, designated focal points, independent entities, project participants and stakeholders to make every effort to contribute towards a more transparent, consistent, predictable and efficient verification procedure under the Committee.	Page 6, action 12	Table 2, action 12, Page 10	12. Explore establishing a DFP forum.
Para 28	Decides to establish provisions for the charging of fees for activities under the Track 1 procedure in order to contribute to the administrative costs of the Joint Implementation Supervisory Committee and its supporting structures, by introducing a fee of up to USD 20,000 for each large-scale project activity, including programmes of activities, and a fee of up to USD 5,000 for each small-scale project activity and for each programme of activities composed of small-scale project activities, with the fees payable upon publication of project documentation on the UNFCCC website.	Page 3, action 4 & 5	Table 1, action 4, Page 6	4. Revising the provisions for the charging of fees to cover costs relating to the activities of the JISC.
Para 29	Decides further that the CMP will review, and if necessary revise, the level and structure of these fees at its seventh session, on the basis of the recommendations of the Joint Implementation Supervisory Committee included in its annual report.			



Joint Implementation Supervisory Committee

CMP para ref	CMP 6	JI work plan reference	JI MAP reference	JI MAP Related Actions
Para 30	Requests the JISC to finalize the provisions for charging the fees outlined in paragraph 28 above at its first meeting in 2011, on the basis of an estimate of the administrative costs relating to the activities under Track 1, taking into account the existing provisions for the charging of fees for activities under the Track 2 procedure, and to apply the fees to projects for which documentation is submitted to the UNFCCC secretariat for publication from 1 March 2011 onwards.			
Para 31	Requests the JISC to make further recommendations to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its seventh session on amendments to the fee structure including, inter alia, the introduction of a fixed annual fee payable by host Parties.		Table 1, action 5, page7	5. Preparing recommendations to the CMP for its seventh session on amendments to the fee structure.