



**JOINT IMPLEMENTATION PROJECT DESIGN DOCUMENT FORM
FOR SMALL-SCALE PROJECTS
Version 01.1 - in effect as of: 27 October 2006**

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SECTION A. General description of the small-scale project

A.1. Title of the small-scale project:

Implementation of energy-efficient lighting system in the Donetsk Region with the use of Kyoto Protocol mechanism: replacement of incandescent lamps with energy-efficient ones at budget financed and social entities in the Gorlivka town (under Track 2)

Sectoral scope 3: Energy demand

Version 03.2
20/03/2012

A.2. Description of the small-scale project:

The proposed project aims to replace traditional incandescent lamps (ICLs) with up-to-date compact florescent lamps (CFLs) in budget and social facilities of Gorlivka Town, i.e. schools, kindergartens, hospitals, health centers, etc. Please see the detailed list in Annex 4.

Situation before the project implementation

Despite CFLs proved their energy efficiency, ICLs had been used for lighting in budget and social facilities of Gorlivka Town before the project started. The reason for ICLs usage was insufficient funding, the established practice, and other issues related to unauthorized removal of CFLs, etc.

Baseline scenario

Further operation of 100 to 150W ICLs (light flux is about 1,350 Lm and 2,180 Lm respectively) is considered as the baseline scenario. Electric power required for ICL functioning is supplied from the Ukrainian power grid.

Project scenario

The project stipulates replacement of 100 W and 150 W ICLs with 20 W and 32 W CFLs which are energy saving lamps compared to ICLs, since they consume four-five times less power with similar lighting. CFLs are to be installed instead of the 100 W and 150 W ICLs and will provide the minimum light flux of 1,350 Lm and 2,180 Lm respectively. Service life of CFLs proposed for replacement under the project reaches 8,000 hours, i.e. 8 times higher than the service life of typical ICLs. CFLs are fully compatible with standard ICL holders, as well as provide white and soft lighting. The project covers replacement of 100 to 150W ICLs.

If within the project lifecycle light-emitting diode (LED) lamps become more affordable from the economic standpoint, they will be used instead of ICLs, since they consume about ten times less power than ICLs, while providing the same lighting level.

The total variable number of ICLs makes up:

- 33,252 pieces, 100 W;
- 156 pieces, 150 W.

Greenhouse gas (GHG) emission reduction in project scenario is reached by reduction of electricity consuming from Ukrainian power grid. In case of reduction of electricity consuming from Ukrainian power

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grid the need of combusting of fossil fuels on Ukrainian power stations decreases. As a result, level of direct emissions of GHG decreases.

Small-scale project development

To implement this small-scale JI project for improving energy efficiency in budget and social facilities of Gorlivka Town, the Innovation Center "Ecosystem" and the project investor Carbon Futures LLP signed the Project Implementation Agreement on 02/08/2010. The project is financed according to agreement between the Innovation Center "Ecosystem" and Carbon Futures LLP. The Innovation Center "Ecosystem" shall coordinate implementation of the project in Gorlivka Town and provide engineering, logistical, and organizational support. The Innovation Centre "Ecosystem" has committed to distribute CFLs among defined facilities and ensure effective replacement of ICLs with CFLs, collect, and dispose of ICLs (according to the current environmental safety requirements), and replace non-operational CFLs. Carbon Futures LLP has committed to procure and deliver CFLs to facilities.

GHG emission reduction resulting from the project scenario is reached by reduction of electricity consumption from the Ukrainian power grid. Carbon Futures LLP is the final owner of the ERUs generated by the project. This fact is proved by relevant agreements with the Innovation Centre "Ecosystem" and local administration.

The Project Idea Note (PIN) was submitted to the National Environmental Investment Agency of Ukraine for a review. As a result, the National Environmental Investment Agency of Ukraine issued a Letter of Endorsement # 183/23/6 as of 26/01/2011 for this project.

Since 07/02/2011 all CFLs have been installed, and their operation is being monitored according to the monitoring plan.

A.3. Project participants:

Table A.1. Project participants

<u>Party involved</u>	<u>Legal entity project participants (as applicable)</u>	<u>Please indicate if the Party involved wishes to be considered a project participant (Yes/No)</u>
The Netherlands	<ul style="list-style-type: none"> Carbon Futures LLP 	No
Ukraine (host Party)	<ul style="list-style-type: none"> Gorlivka Town Council 	No

A.4. Technical description of the small-scale project:

A.4.1. Location of the small-scale project:

Gorlivka Town, Donetsk Region, Ukraine. The Project covers budget and social facilities in the town.

A.4.1.1. Host Party(ies):

Ukraine

A.4.1.2. Region/State/Province etc.:

Donetsk Region

A.4.1.3. City/Town/Community etc.:

Gorlivka Town

A.4.1.4. Detail of physical location, including information allowing the unique identification of the small-scale project:

This project is implemented within Gorlivka Town in Donetsk oblast of Ukraine.

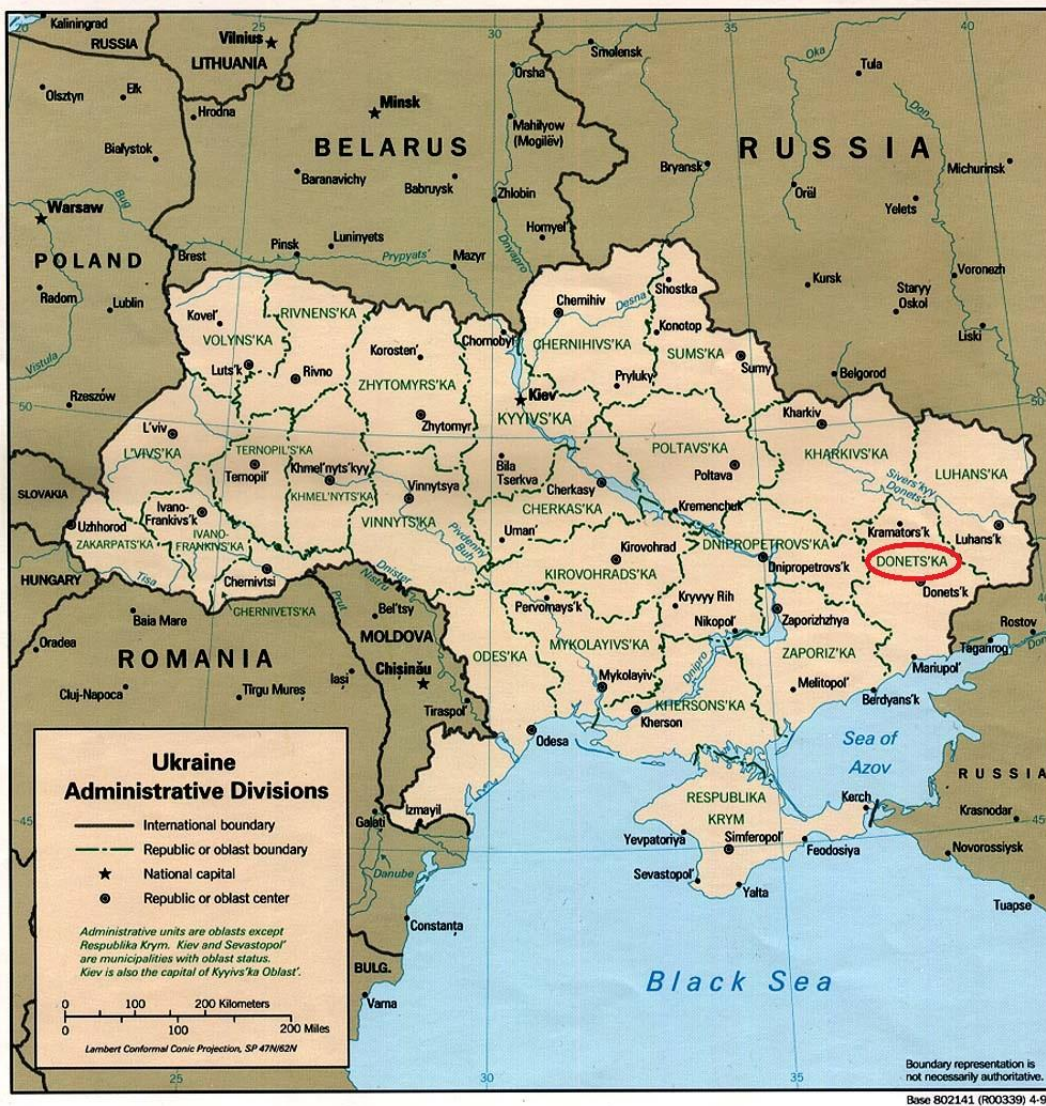


Figure A.1. Map of Ukraine (Administrative Divisions)

Gorlivka Town is located in the east of Donetsk oblast. It is situated at the following coordinates:

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48°20'49"N, 38°3'15"E.

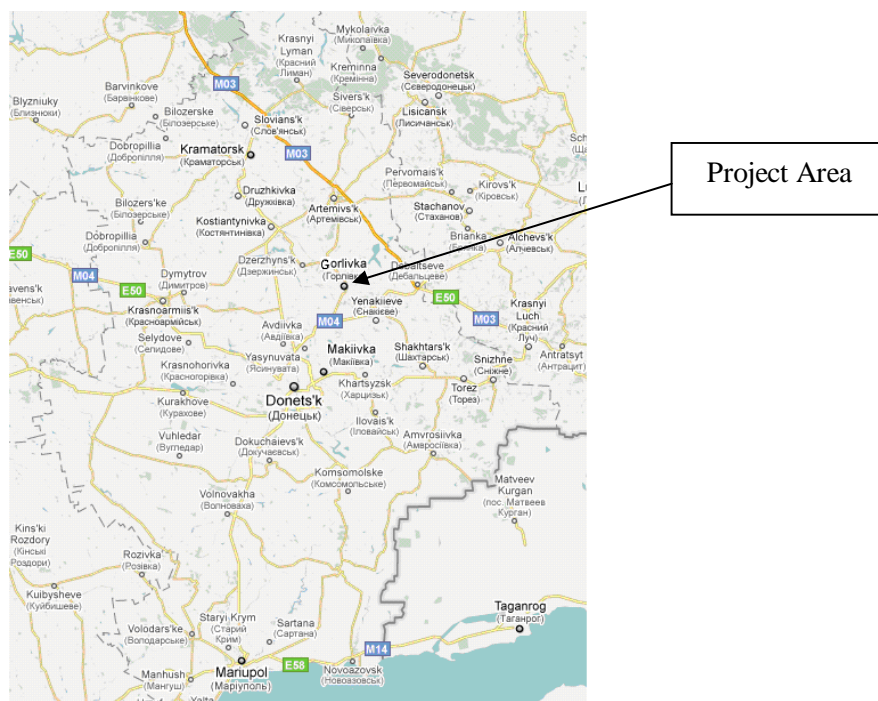


Figure A.2. Project area (Goriivka town)¹

The full list of budget and social facilities of Goriivka covered by the project can be found in Annex 4.

A.4.2. Small-scale project type(s) and category(ies):

According to paragraphs 7 and 8 of Provisions for JI SSC Projects (version 3)², type of small-scale project activity is II (Energy efficiency improvement projects which reduce energy consumption, on the supply and/or demand side, by up to the equivalent of 60 GWh per year). The project category is II.J (Demand-side activities for efficient lighting technologies)³.

The proposed project has following features:

1. The proposed project is a demand-side energy efficiency activity, which eventually leads to the reduction of electricity consumption.
2. The activity includes energy-efficient measures.
3. The project activity is to be carried out in Public Buildings.
4. The annual energy savings of the project activity is estimated to be about 6.7 GWh/year.

A.4.3. Technology(ies) to be employed, or measures, operations or actions to be implemented by the small-scale project:

Technical aspects of the project to replace ICLs with CFLs comprise of two stages:

¹ Source: Google map

² http://ji.unfccc.int/Ref/Documents/Provisions_for_JI_SSC_projects.pdf

³ <http://cdm.unfccc.int/methodologies/SSCmethodologies/approved>



- 1) The first stage is simple and requires the minimum professional background. Under the project lamps subject to replacement are only 100W and 150W (light flux is about 1,350 Lm and 2,180 Lm respectively), they operate for about 6.86 hours per day in average in budget and social facilities (This figure is average calculated value). 20 W and 32 W CFLs are to be installed instead of the 100W and 150W ICLs and will provide the minimum light flux of 1,350 and 2,180 Lm lumens (lm), respectively, during their operation period. CFL lifecycle indicated by the manufacturer is 8,000 hours.
- 2) The second technical aspect of the project, namely, replacement of failed CFLs with new CFLs lamps requires the minimum professional background too.

Though monitoring of the project activity requires additional training and continuous supervision by the project participants. Requirements for training and continuous supervision are indicated in sections D.3 and D.4.

CFLs that have to replace ICLs under the project should be specifically labeled (in addition to standard specification of the manufacturer). Additional labeling will allow proving the fact of CFLs installation under the project and facilitate the project monitoring and verification of its results. Replaced ICLs is utilized in an environmentally safe manner at landfill of Gorlivka Town. With aim to prevent from any carbon leaks utilization was done under the supervision of responsible persons, and it is confirmed by the report of incandescent lamp utilization (SD-2).

Today, usage of CFLs lamps in the budget and social facilities is not a common practice because of insufficient funding. While CFLs installed under the project consume 4-5 times less power upon preservation of similar lighting, LED lamps, which may be installed under the project in the future, consume 10 times less electricity than under the same conditions. Please see Table A.2. for the project implementation activities.

Table A.2. Project activities

Activity	Period
Investment Phase	02/08/2010-31/12/2020
Replacement Phase	05/01/2011-06/02/2011
Operational Phase (replacement of failed lamps; monitoring)	07/02/2011-31/12/2020

A.4.4. Brief explanation of how the anthropogenic emissions of greenhouse gases by sources are to be reduced by the proposed small-scale project, including why the emission reductions would not occur in the absence of the proposed small-scale project, taking into account national and/or sectoral policies and circumstances:

GHG emission reduction following the project scenario is achieved due to reduced electricity consumption and decreased fuel combustion for electric power generation followed by GHG emission reduction.

Budget financed and social entities in the Gorlivka as well as Town Administration have to replace ICLs according to The Ordinance of the Cabinet of Ministers of Ukraine No. 1337-r “Concerning implementation of activities to reduce electrical power consumption by state-financed institutions”⁴ that calls for the gradual replacement of common ICLs with up-to-date energy efficient light sources requiring no change of lighting fixtures. This document binds municipal executive body to convert subordinate state-financed institutions to energy efficient devices and lighting systems in compliance with sanitary mandated lighting norms. It was supposed to make energy efficient lighting devices mandatory since November, 1,

⁴ <http://zakon1.rada.gov.ua/cgi-bin/laws/main.cgi?nreg=1337-2008-%F0>



2008, while phasing out ICLs after expiry of their lifetime. Starting from January, 1, 2009 capital and maintenance home repairs should be supplemented with the installation of energy efficient lighting devices. However, the state funding for large-scale replacement of ICLs with CFLs in the public sector is not sufficient. However, The Ordinance of the Cabinet of Ministers of Ukraine No. 1337-r which covers large-scale replacement ICLs with CFLs in budget and social facilities is fulfilled on low level.

Small-scale JI project covers replacement of ICLs that are operational, in working condition and caused greenhouse gas emissions. Respectively, in interventions within the frames of the small-scale JI project, those are additional to the baseline, led to the reduction of power consumption and to measurable reductions of GHG emissions.

A.4.4.1. Estimated amount of emission reductions over the crediting period:

Table A.3 Emission reduction levels for crediting period under the Kyoto Protocol

	Years
Length of the <u>crediting period</u>	2
Year	Estimate of annual emission reductions in tonnes of CO ₂ equivalent
2011	7,373
2012	8,229
Total estimated emission reductions over the <u>crediting period</u> (tonnes of CO ₂ equivalent)	15,602
Annual average of estimated emission reductions over the <u>crediting period</u> (tonnes of CO ₂ equivalent)	8,140

Table A.4 Emission reduction levels for late crediting period after 2012

	Years
Length of the <u>crediting period</u>	8
Year	Estimate of annual emission reductions, tonnes of CO ₂ equivalent
2013	8,229
2014	8,229
2015	8,229
2016	8,229
2017	8,229
2018	8,229
2019	8,229
2020	8,229
Total estimated emission reductions over the <u>crediting period</u> (tonnes of CO ₂ equivalent)	65,832
Annual average of estimated emission reductions over the <u>crediting period</u> (tonnes of CO ₂ equivalent)	8,229



A.4.5. Confirmation that the proposed small-scale project is not a debundled component of a larger project:

Gorlivka Town Council confirms that the proposed small-scale project is not a separate component of a larger project since there is not registered small-scale JI project or application for registration of other small-scale JI project, where:

- Existing JI SSC project has completed the determination process involving the same participants;
- The same project category and technology/measure are used;
- Determination of the project has been made publicly available in accordance with paragraph 34 of the JI guidelines within the previous 2 years;
- Project boundary of other project is within 1 km of the project boundary of the proposed small-scale activity at the closest point.

A.5. Project approval by the Parties involved:

The Project Idea Note had been submitted for review of the National Environmental Investment Agency of Ukraine. Subsequently, the National Environmental Investment Agency of Ukraine issued a Letter of Endorsement for this project # 183/23/6 as of 26/01/2011.

Further on, Letter of Approval #3152/23/6 by the Ukraine (host Party) was issued on 28/10/2011.

Written project approval by a Party involved in JI small-scale project, other than the host Party was obtained – Declaration of Approval reference #2011JI56 on 02/02/2012, issued by “NL Agency” Ministry of Economic Affairs, Agriculture and Innovations.



SECTION B. Baseline

B.1. Description and justification of the baseline chosen:

Description and justification of the baseline chosen is provided in accordance with “Guidance on criteria for baseline setting and monitoring”, (Version 03)⁵ and in accordance with “Guidelines for users of the joint implementation project PDD form for small-scale projects and the form for submission of bundled joint implementation small-scale projects ” (version 04)⁶.

The **JI specific approach** is used for description and justification of the baseline chosen that includes the following steps:

1. Indication and description of the approach chosen regarding baseline setting;
2. Application of the approach chosen.

Step 1. Indication and description of the approach chosen regarding baseline setting

A special approach to JI⁷ with elements of AMS-II.J methodology (Demand-side activities for efficient lighting technologies), version 04⁸, chosen for setting the baseline, includes the following stages:

- 1) Identifying plausible alternative scenarios to the project.

At this project stage, possible alternative scenarios and their implementation opportunities are identified.

- 2) Analysis of the key factors that affect the implementation of the alternative scenarios.

This stage includes analysis of key factor and attractive alternative scenarios. As the result of analysis, the conclusion on the opportunity of implementation of alternative scenarios is made.

- 3) Choice of the most plausible scenario

This stage results in determination of the baseline scenario based on key factors. An acceptable and most possible alternative is the baseline scenario.

1. Identifying plausible alternative scenarios to the project

The following criteria are set for identification of alternative scenarios:

- the scenario should be possible for implementation by the project participants;
- the scenario should ensure the same level of lighting in the facilities;
- the scenario can not result from force major.

According to terms of scenario establishment, the following alternatives were proposed:

- Alternative scenario 1: usage of ICLs in the project period;
- Alternative scenario 2: Town Administration is to replace ICLs with CFLs;
- Alternative scenario 3: Town Administration is to replace ICLs with LED lamps.

⁵ Source: http://ji.unfccc.int/Ref/Documents/Baseline_setting_and_monitoring.pdf

⁶ http://ji.unfccc.int/Ref/Documents/Guidelines_users_JISC_PDD_Form.pdf

⁷ In accordance with item 9(a) of “Guidance on criteria for baseline setting and monitoring”, (Version 03).

⁸ <http://cdm.unfccc.int/methodologies/DB/5RMYBVTQ83H9CJA99M2392TSNO9IUJ>



2) Analysis of the key factors that affect the implementation of the alternative scenarios.

Key factors are the factors having a significant impact upon the alternative scenario implementation.

Key factors include:

- 1) Key factor 1 is the financing the alternative scenario;
- 2) Key factor 2 is fulfillment of regulatory instruments (Ordinance of the Cabinet of Ministers of Ukraine # 1337-r “On Implementation of Measures to Reduce Electricity Consumption by Budget Institutions”⁹ stipulates gradual change of ordinary CFLs with up-to-date energy efficient sources of light)
- 3) Key factor 3 is fulfillment of sanitary regulations and rules with CFLs kept (according to state sanitary rules and regulations “Hygienic requirements to industrial waste management and determination of population health hazard class” (DSanPiN 2.2.7.029-99)¹⁰, CFLs should be kept in special conditions listed in section F).

3) Choice of the most plausible scenario – baseline

Table B.1 represents the analysis of key factors’ impact on alternative scenarios.

Table B.1. Analysis of key factors’ impact on alternative scenarios.

Key factor \ Alternative scenario	Key factor 1	Key factor 2	Key factor 3
Alternative scenario 1	This scenario is the continuation of the existing situation (the Town Council would have the same level of financing).		No contradictions
Alternative scenario 2	Despite of decreasing of consumption of electricity in case of installation modern lighting sources, CFLs have not being installed.	Ordinance of the Cabinet of Ministers of Ukraine # 1337-r stipulates replacement of failed lamps that are out of order after using the stocks	It’s necessary to provide training on CFL management and storage, and keep CFLs in accordance with regulations.
Alternative scenario 3	Despite of decreasing of consumption of electricity in case of installation modern lighting sources, LED lamps have not being installed.		No contradictions

The Ordinance of the Cabinet of Ministers of Ukraine No. 1337-r aimed for the replacement of common ICLs that are out of order, and replacement of ICLs while capital repairs and maintenance while small-scale JI project aimed to replace ICLs which were functioned in budget and social facilities.

⁹ <http://zakon1.rada.gov.ua/cgi-bin/laws/main.cgi?nreg=1337-2008-%F0>

¹⁰ <http://3umf.com/doc/5421/>



Taking into account impact of key factors on alternative scenarios, one may come to the conclusion that Alternative scenario 1, which stipulates usage of ICLs in the project period, is the most plausible and conservative one. Therefore, the project scenario is not a part of the baseline scenario.

Step 2. Application of the approach chosen

The following parameters are used **to establish the baseline** (estimation of greenhouse gas emissions according to the baseline):

- Number of ICLs installed in the budget and social facilities in the Town of Gorlivka;
- Rated Power of ICLs installed in budget and social facilities in the Town of Gorlivka;
- Average daily operating hours of ICLs;
- Demand-Side Carbon Emission Factor (EFCO₂.ELEC).

Data / Parameter	$Q_{BL,i}$
Data unit	Number
Description	The number of installed ICLs , type ‘i’; ICL power rating makes 100W or 150W.
Time of <u>determination/monitoring</u>	During the replacement phase.
Source of data (to be) used	Real amount of ICLs which were collected on the replacement phase.
Value of data applied (for ex ante calculations/determinations)	100W: 33,252 150W: 156
Justification of the choice of data or description of measurement methods and procedures (to be) applied	This is a constant. Its value not depends on the year ‘y’ of the project activity.
QA/QC procedures (to be) applied	Standardised forms will be used for the data collection during the survey and the people responsible for conducting the survey on ground will be reasonably educated about the project. Additionally, there will be experts and reliable personnel to oversee the overall process.
Any comment	

Data / Parameter	$P_{i, BL}$
Data unit	Watts
Description	Rated power of the baseline lighting devices of the group of “i” lighting devices (Watts)
Time of <u>determination/monitoring</u>	During the replacement phase.
Source of data (to be) used	Weighted Average Power Rating of the baseline ICLs as recorded during lamp distribution
Value of data applied (for ex ante calculations/determinations)	ICL of following two power rating would be replaced as part of the project activity. These are 100W (33,252 pieces) and 150W (156 pieces).
Justification of the choice of data or description of measurement methods and procedures (to be) applied	The power rating recorded on each ICL will be considered as the primary source of this data. In cases where the wattage label is not visible, a portable power meter will be used to determine the rating on the spot.
QA/QC procedures (to be) applied	Standardised forms will be used for the data collection during the distribution and the people responsible for distribution on ground will be reasonably



	educated about the project. Additionally, there will be experts and reliable personnel to oversee the overall process.
Any comment	This data may be verified during the ICL utilization.

Data / Parameter	O _i
Data unit	Hours
Description	Average daily operating hours of the devices of the group of “i” baseline Devices
Time of <u>determination/monitoring</u>	Monitoring during credit period
Source of data (to be) used	The number of operation hours of lamps is fixed in the operation hours’ log. Example of the operation hours’ log can be found in supporting documents (SD-3).
Value of data applied (for ex ante calculations/determinations)	It’s of 6.86 hours per day according to the monitoring in February, 2011. During the monitoring, this value will be updated in accordance with real operating hours.
Justification of the choice of data or description of measurement methods and procedures (to be) applied	The number of operation hours within a day is determined by continuous measuring of ICL usage hours within 120 days of representation period of lighting instrument operation (in February, April, July, and October). Paper operation hours’ logs are used for these measurements. To obtain the total number of operation hours, these records will be processed and extrapolated for the entire project. Healthcare and educational institutions are different groups of facilities within the project. Therefore, for estimation of achieved final value of emission reduction units (ERUs), the average weighted value of operation hours will be used.
QA/QC procedures (to be) applied	To control the quality of data records by local authorities, inspections will be initiated. The Example can be found in supporting documents (SD-4).
Any comment	

Data / Parameter	EF _{CO2,ELEC,y}
Data unit	kg CO ₂ /kW·h
Description	Specific indirect carbon dioxide emissions from electric power consumption by the 2 nd voltage class consumers ¹¹ in ‘y’ year. It shows emission of GHG in CO ₂ equivalent for production and transportation electricity for consumers.
Time of <u>determination/monitoring</u>	Monitoring during credit period
Source of data (to be) used	National Environmental Investment Agency of Ukraine.
Value of data applied (for ex ante calculations/determinations)	For 2011, the value is 1.227 ¹² . During the monitoring, this value will be updated in accordance with orders of the State Environmental Investment Agency of Ukraine. For preliminary estimates, the value for 2011 is used.
Justification of the choice of data or description of measurement methods and procedures (to be) applied	No measurement required

¹¹ For 2nd voltage class consumers belongs costumers and subcostumers which buy electricity in grid with voltage 27.5 kV and lower (http://www.nerc.gov.ua/control/uk/publish/article/main?art_id=84231&cat_id=34446).

¹² <http://www.neia.gov.ua/nature/doccatalog/document?id=127498>



QA/QC procedures (to be) applied	Data will be obtained from orders of the State Environmental Investment Agency of Ukraine.
Any comment	

B.2. Description of how the anthropogenic emissions of greenhouse gases by sources are reduced below those that would have occurred in the absence of the small-scale project:

JI specific approach is used for demonstration of additionality of the project in accordance with the paragraph 44(a) of the Annex I to the “Guidance on criteria for baseline setting and monitoring”, (Version 03)¹³ and in accordance with “Guidelines for users of the joint implementation project PDD form for small-scale projects and the form for submission of bundled joint implementation small-scale projects ” (version 04)¹⁴.

The demonstration that the project provides reductions in emissions by sources that are additional to any that would otherwise occur, is provided using the following step-wise approach:

1. Indication and description of the approach applied
2. Application of the approach chosen
3. Provision of additionality proofs

Step 1. Indication and description of the approach applied

A JI-specific approach is chosen for justification of additionality. JISC’s “Guidance on criteria for baseline setting and monitoring”, (Version 03)¹⁵ prescribes in this case to provide traceable and transparent information showing that the baseline was identified on the basis of conservative assumptions, that the project scenario is not part of the identified baseline scenario and that the project will lead to reductions of anthropogenic emissions by sources or enhancements of net anthropogenic removals by sinks of GHGs.

Step 2. Application of the approach chosen

Analysis provided in Section B.1 demonstrates that the baseline scenario covers usage of ICLs in the project period.

The project is not a part of the baseline scenario since it can be shown based on the analysis of barriers affecting the project implementation.

Step 3. Provision of additionality proofs

Proofs of the abovementioned assumptions include the description of barriers to the project activity. Below you will find three basic barriers:

(a) Investment barrier. State funding of the large-scale replacement of ICLs with fluorescent lamps in the public sector is not sufficient since the initial cost of CFLs is ten times higher than the cost of ICLs. According to the prevailing practice, despite electricity consumption reduction, lamps have not being installed.

¹³ Source: http://ji.unfccc.int/Ref/Documents/Baseline_setting_and_monitoring.pdf

¹⁴ http://ji.unfccc.int/Ref/Documents/Guidelines_users_JISC_PDD_Form.pdf

¹⁵ Source: http://ji.unfccc.int/Ref/Documents/Baseline_setting_and_monitoring.pdf



(b) Technological barrier. The current practice shows a very low use of CFLs in public and social facilities due to lack of public funding. Therefore, the managers of the facilities are not very familiar with CFL based lighting technology (despite its simplicity). An additional technological barrier is related to the need for proper utilization of expired CFLs, which is handled appropriately within the current JI project. The point is that collection of non-operating CFLs will be centrally managed, and these lamps will be utilized by lamps owner (Carbon Futures LLP) in accordance with effective regulations (Detailed information is indicated in sections D.1 and F.1 of PDD).

(c) Other barriers: Unauthorised removal (theft) of CFLs. Due to high cost of CFLs, they are not widely used in the residential sector of the region. Installation of CFLs in facilities without proper supervision and monitoring is likely to lead to unauthorised removal (theft) of CFL for the re-sale or personal use. Special CFL supervision, in particular, appointment of responsible persons, and monitoring of installed CFLs under the current JI project effectively removes this barrier.

Thus, emission reductions achieved during the project implementation are additional to the baseline scenario. Emission of GHG in baseline scenario is higher than emission of GHG in small-scaled project because rated power of CFLs less than rated power of ICLs with similar light power.

B.3. Description of how the definition of the project boundary is applied to the small-scale project:

The project activity involves a set of measures to improve energy efficiency in lighting systems of budget and social facilities of the town (the full list of facilities is provided in Annex 4). The project boundary is the physical, geographical location of each measure (each CFL) installed. Meanwhile, the project aims to reduce indirect GHG emissions.

GHG emission sources taken into account in the project activity are shown in the table B.2.

For both “Baseline Scenario” and “Project Scenario”, CO₂ emissions should be included in the baseline and project scenarios. At the same time the project reduces emissions of CH₄ and N₂O from fuel consumption. However, these emissions are much smaller in comparison with than emissions of CO₂ and are excluded from the project to ensure that emission reductions are estimated in a conservative manner.

Table B.2. GHG emission sources related to the project activity

		Inside project boundary	Outside project boundary
Baseline Scenario	Included in the project	CO ₂ emissions from electricity consumption of existing facilities	No GHG emissions related to business as usual activities
	Excluded from the project	CH ₄ and N ₂ O emissions from electricity consumption of existing facilities	No GHG emissions related to business as usual activities
Project Scenario	Included in the project	CO ₂ emissions from electricity consumption of facilities after applying energy-efficiency improvement measures	No GHG emissions related to the project activities
	Excluded from the project	CH ₄ and N ₂ O emissions from electricity consumption of facilities after applying energy-efficiency improvement measures	No GHG emissions related to the project activities

Figure B.1 shows project boundaries and emission sources.

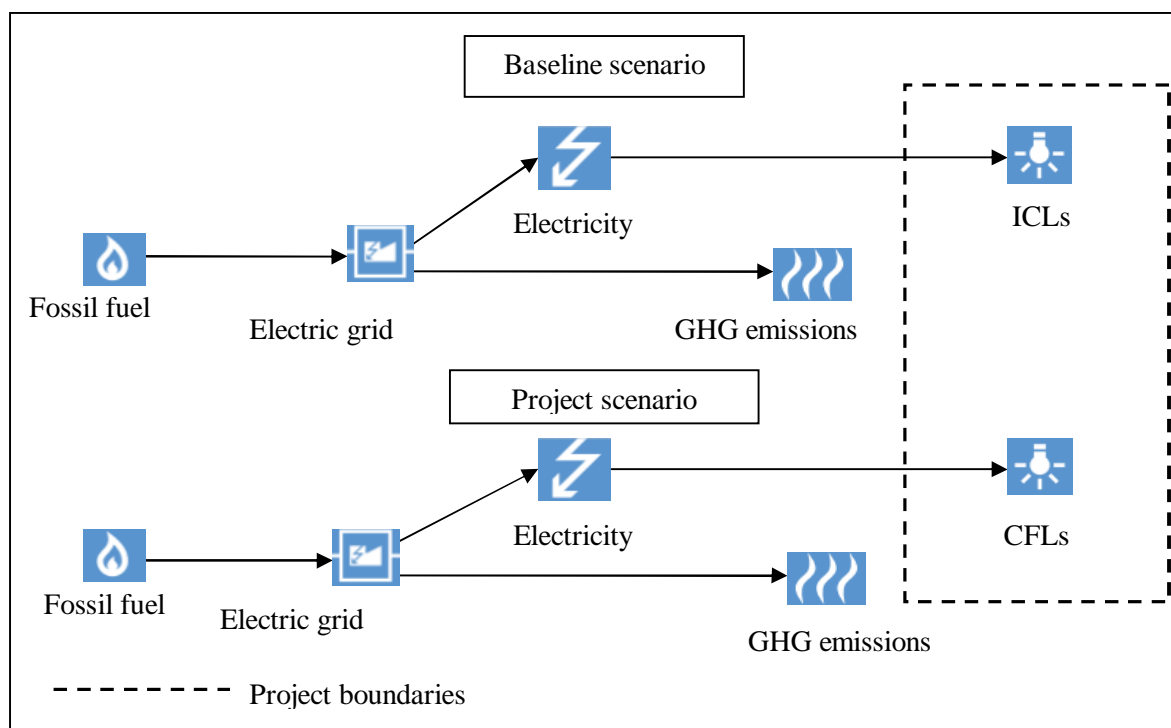


Figure B.1. Project boundaries and GHG emission sources

Table B.3 – Determination of the project boundaries

No	Project boundaries criteria	Comment
1	Controlled by the project parties	All lamps are controlled by the project participants as they are installed at budget and social facilities that are indicated in Annex 4. Emission sources are affected (controlled) while GHG emissions decrease upon reduced electricity generation in the Ukrainian power grid.
2	Reasonably belong to the project	GHG emission sources depend on the project activity as the reduction of electricity consumption cause the reduction of GHG emissions, therefore, project implementation reduces GHG emissions.
3	Scale of emissions from the GHG sources	Emissions from sources are significant - they exceed the level of 2,000 tCO ₂ -e (see section E). ¹⁶

Emissions outside the project are caused by fossil fuel usage (extraction, processing, transportation, etc.). Since project implementation will lead to reduced electricity consumption related to decreased fossil fuel usage, reduction of GHG emissions outside the project is expected, but these emissions are insignificant and are not taken into account for conservative estimation of emission reduction.

¹⁶ In accordance with item 14(a) of “Guidance on criteria for baseline setting and monitoring”, (Version 03).



B.4. Further baseline information, including the date of baseline setting and the name(s) of the person(s)/entity(ies) setting the baseline:

The baseline emissions for the project activity will be calculated from the available information on the replaced number of CFL and its usage during the project lifetime.

Date of completion of the baseline study: 15/03/2011.

Name of the person/entity determination of the baseline:

ICF Consulting – Project's Consultant

Legal address: Sardinia House 52, Lincoln's Inn Fields, London WC2A 3LZ, UK

Mailing address: ICF Consulting Office 454, 3 Tverskaya Zastava sq., Moscow, 125047, Russia

Contact phone: + 7 495 250 4339

Contact fax: + 7 495 250 0615

Contact person: Alexei Sankovski

Contact e-mail: asankovski@icfi.com

With support from

Agency for Rational Use and Ecology (ARENNA-ECO) – Development of Project Documentation

Legal address: office 60, 10/10 Podvysotskogo/ Dragomyrova Str., Kyiv, Ukraine

Phone: + 38 044 585 15 60

Fax: + 38 044 585 15 61

Contact person: Sergey Surnin

E-mail: arena@arena-eco.com

ICF Consulting and Agency for Rational Energy Use and Ecology (ARENA-ECO) are not a project participants listed in Annex 1.



SECTION C. Duration of the small-scale project / crediting period

C.1. Starting date of the small-scale project:

The project operational phase started on 07/02/2011 after replacement of ICLs with CFLs and initiated maintenance of the operation hours' log (examples of these logs are provided in the supporting documents).

C.2. Expected operational lifetime of the small-scale project:

The expected project period will last for about 10 years (120 months) until 31/12/2020.

C.3. Length of the crediting period:

Emission reduction units (ERUs) will be transferred to the Investor during the period from 07/02/2011 to 31/12/2012 (the total length of the crediting period is 1.9 years or 23 month). The transfer of emissions reduction units from 01/01/2013 till 31/12/2020 (the total length of the crediting period is 8 years or 96 month) will be made in compliance with forthcoming international agreements and Ukrainian legislation.



SECTION D. Monitoring plan

D.1. Description of monitoring plan chosen:

To provide a detailed description of the selected monitoring plan, step-by-step approach was chosen:

Step 1. Definition and description of the approach chosen for monitoring

Monitoring plan of the GHG emissions in the project and baseline scenarios and the GHG emissions reduction is elaborated on the basis of requirements of the “Guidance on criteria for baseline setting and monitoring”, (Version 03)¹⁷.

The monitoring plan employs the following is based on specific JI approach and partly on methodology AMS II.J - Demand-side activities for efficient lighting technologies (Version 04)¹⁸ approaches to the determination of the GHG emissions in the project and baseline scenarios.

Step 2. Usage of the chosen approach

Since there are no direct CO₂ emissions in the project, the emission estimate (and further emission monitoring) is based on electricity consumption from the power grid and specific indirect emissions of carbon dioxide.

Parameters required for estimation in accordance with abovementioned approaches include:

1. Parameters continuously monitored within the entire crediting period:

- Number of operating hours of lighting instruments within a day;
- Specific indirect carbon dioxide emissions from electricity consumption (EF_{CO₂,ELEC}).

2. Parameters which are determined once and are taken as constants for the whole monitoring period. They are available at the stage of determination:

- Number of ICLs replaced;
- Power rating of replaced ICLs;
- Power rating of CFLs installed (being installed);

3. Parameters which are determined once and are taken as constants during monitoring but are not available at the stage of determination:

Absent.

The chosen monitoring approach includes monitoring and estimation of baseline emissions, project scenario emissions and leakages. GHG emission estimation and monitoring are provided as follows:

¹⁷ Source: http://ji.unfccc.int/Ref/Documents/Baseline_setting_and_monitoring.pdf

¹⁸ <http://cdm.unfccc.int/methodologies/DB/5RMYBVTQ83H9CJA99M2392TSNO9IUIJ>

Stage 1. Baseline emission calculation

Step 1. The electricity consumption in baseline scenario in year ‘y’ is calculated as follows:

$$EC_{BL,y} = \sum_{i=1}^n Q_{BL,i} \cdot P_{i,BL} \cdot O_i \cdot 365/1000 \quad (1)$$

Table D.1. Description for baseline electricity consumption calculation

Symbol	Parameter Definition	Ex-ante value Applied	Rationale for value applied
EC_{BL}	Baseline electricity consumption in year y (kWh)	Calculated based on Equation (1)	-
\sum_i	Sum over the group of “i” devices (i.e. 100W and 150W incandescent bulb)	-	-
$Q_{BL,i}$	Number (quantity) of devices of the group of “i” devices (i.e. 100W and 150W incandescent bulb)	100W: 33,252 pieces 150W: 156 pieces	-
$P_{BL,i}$	Power of the devices of the group of “i” baseline devices	100W and 150W	-
O_i	Average daily operating hours of the devices of the group of “i” baseline devices	6.86 hours	-

Step 2. The emission in baseline scenario in year ‘y’ is calculated as follows:

$$BE_y = EC_{BL,y} \cdot EF_{CO_2,ELEC,y} \cdot 10^{-3} \quad (2)$$

Table D.2. Description for baseline emission calculation

Symbol	Parameter Definition	Ex-ante value Applied	Rationale for value applied
BE_y	Emission in year t CO ₂	Calculated based on Equation (2)	
EC_{BL}	Electricity consumption in year y (kWh)	Calculated based on Equation (1)	
$EF_{CO_2,ELEC,y}$	Electricity consumption carbon emission factor for Ukraine, kgCO ₂ /kWh	1.227	According to the order of the National Environmental Investment Agency of Ukraine, this value for 2011 makes 1.227 ¹⁹ . During the monitoring, this value will be updated in accordance with orders of the State Environmental Investment Agency of Ukraine. The 2011 value is used for preliminary estimates.

¹⁹ <http://www.neia.gov.ua/nature/doccatalog/document?id=127498>

Stage 2. Project emission calculation

Step 1. The electricity consumption by the project activity in year ‘y’ is calculated as follows:

$$EC_{PJ,y} = \sum_{i=1}^n Q_{PJ,i} \cdot P_{i,PJ} \cdot O_i \cdot 365/1000 \quad (3)$$

Table D.3. Description for project electricity consumption calculation

Symbol	Parameter Definition	Ex-ante value Applied	Rationale for value applied
$EC_{PJ,y}$	Project electricity consumption in year y (kWh)	Calculated based on Equation (3)	-
\sum_i	Sum over the group of “i” devices (i.e. 20W and 32W CFLs)	-	-
$Q_{PJ,i}$	Number (quantity) of devices of the group of “i” devices (i.e. 20W and 32W CFLs)	20W: 33,252 pcs. 32W: 156 pcs.	-
$P_{PJ,i}$	Power of the devices of the group of “i” project devices	20W or 32W	-
O_i	Average daily operating hours of the devices of the group of “i” baseline devices	6.86 hours.	-

Step 2. The emission by the project activity in year ‘y’ is calculated as follows:

$$PE_y = EC_{PJ,y} \cdot EF_{CO_2,ELEC,y} \cdot 10^{-3} \quad (4)$$

Table D.4. Description for project emission calculation

Symbol	Parameter Definition	Ex-ante value Applied	Rationale for value applied
PE_y	Emission in year t CO ₂	Calculated based on Equation (4)	
EC_{PJ}	electricity Consumption in year y (kWh)	Calculated based on Equation (3)	
$EF_{CO_2,ELEC,y}$	Electricity consumption carbon emission factor for Ukraine, kgCO ₂ /kWh	1.227	According to the order of the National Environmental Investment Agency of Ukraine, this value for 2011 makes 1.227 ²⁰ . During the monitoring, this value will be updated in accordance with orders of the State Environmental Investment Agency of Ukraine. The 2011 value is used for preliminary estimates.

Stage 3. Leakages

²⁰ <http://www.neia.gov.ua/nature/doccatalog/document?id=127498>



There is no leakage in the project on either installation side or disposal side, due to the following reasons:

- Project leakage (indirect effects) may be incurred due to improper storage and partial re-use of ICLs that are replaced with CFLs. Leakage may occur, for example, either when undestroyed 100W lamps are used instead of expired 60W or 75W ICLs in buildings outside of the project boundary or when such lamps are installed in locations with no illumination prior to project initiation. To prevent project leakage, implementing organizations will properly replace ICLs with CFLs, store and dispose those ICLs being replaced.
- Replaced ICLs will be collected from facilities and kept till their destruction. It will be assumed that the amount of collected ICLs will be higher or equivalent to the number of installed CFLs. It can be accurately checked only by manual counting of ICLs collected in each facility and comparison of their amount with the amount of CFLs delivered to the same facility. These two values are confirmed by transfer certificates. Collected ICLs shall be transported to the utilization site and utilized/destroyed (the certificate of completed work on ICL destruction is provided in the supporting documentation SD-2).

Possible other leakage:

- In the course of operation certain lamps will fail which might result in decreased emission reductions. However, the project provides for the immediate replacement of failed CFLs with new CFLs. In the course of monitoring the replacement date will be recorded and the operating hours of the lamp will be corrected as needed (e.g., during the replacement the time when the lamp is not functioning will be subtracted from the daily number of operational hours).
- As it was mentioned before, CFLs are expensive. Therefore, unauthorized removal (theft) is possible for resale or personal use. But monitoring conditions for CFLs installed under the current project require a supervision which effectively reduces the possibility of unauthorized removal. Also, the project stipulates immediate installation of new CFLs in case of detected unauthorized removal.

To provide the opportunity of installation of new CFLs (or ICLs) in case of their failure or unauthorized removal, a reserve of 1% working lamps is provided in each facility. According to the practice, this amount of reserve lamps is enough for immediate replacement/installation of working CFLs.

In case of a lamp failure (or determined lamp removal), a responsible person shall install a new lamp and fill in the form "Accounting of Philips failed lamps". All failed and newly installed lamps shall be included in this form.

CFL (containing mercury) collection, storage and utilization procedures are performed in accordance with state sanitary rules and regulations "Hygienic requirements to industrial waste management and determination of population health hazard class" (DSanPiN 2.2.7.029-99)²¹.

²¹ <http://3umf.com/doc/5421/>



Stage 4. GHG emission reductions in year ‘y’ (ER_y) are estimated by the following formula:

$$ER_y = (BE_y - PE_y) - LE_y \quad (5)$$

Where:

ER_y – Emission reductions in year y (tCO₂e)

BE_y – baseline GHG emissions in year ‘y’ (tCO₂e)

PE_y- project GHG emissions in year ‘y’ (tCO₂e)

LE_y – Leakage emissions in year y (tCO₂e)

Monitoring surveys

First actual monitoring survey

The goal of the first monitoring survey is determining the amount and power of replaced ICLs; power of installed CFLs; number of operation hours of lighting instruments during a day and specific indirect carbon dioxide emissions.

Periodic monitoring surveys

The goal of periodic monitoring survey is monitoring of the amount of operation hours of lighting instruments during a day; specific indirect carbon dioxide emissions.

The table below shows the plan of monitoring of the number of operation hours of lighting instruments during a day.

Table D.5. O_i parameter survey plan

#	Attribute	Project plan
1	Goal	The goal is estimating the average number of lighting instrument operation hours.
2	Goal of operational measuring and data to be collected	The operation hours’ logs (please see SD-3 for an example) will be used for daily record of operation hours of lighting instruments in all facilities within 120 days per year. This data will be used for calculation of average weighed value of daily operation hours. The average weighed values will be effective within the whole crediting period.
3	Data collection period	Because of different duration of the light day, monitoring is conducted for 4 months per year. Months chosen for estimation of operation hours depend on annual variation of the light day. To ensure a conservative approach, April, July, October, and February were chosen for monitoring. April, July, and October were chosen since these months are representative (mid-season) for spring, summer, and



#	Attribute	Project plan
		<p>autumn. February was chosen as the winter representative month since this month has the longest light day duration in winter which allows making conservative estimation of GHG emission reduction. Also, January is not representative month because of high number of days off.</p> <p>After data receipt for each data collection month (April, July, October, and February), the value of the day duration will be extrapolated for the whole season.</p>
4	Data collection method	Within the monitoring period, data is collected in the operation hours' logs by inputting lamp operation hours during each day. In the post-monitoring period, notes on lamp serviceability and operation are input in the logs.

Data storage.

All data will be stored at least 2 years after the end of the crediting period in a paper (at facilities) and electronically (at the Special Workgroup and Innovation Center "Ecosystem") to ensure safe storage of information. Detailed information is given in Section D.4.

D.2. Data to be monitored:

Data / Parameter	$Q_{PJ,i}$
Data unit	Number
Description	Number (quantity) pieces of equipment of type 'i' distributed or installed under the project activity (units) instead of ICLs; the power rating of the CFL would be 20W or 32W.
Time of determination/monitoring	During the replacement phase.
Source of data (to be) used	Actual CFL distribution during the project.
Value of data applied (for ex ante calculations/determinations)	20W CFLs: 33,252 pcs. 32W CFLs: 156 pcs.
Justification of the choice of data or description of measurement methods and procedures (to be) applied	This number is a constant value once all of the project's CFLs are distributed.
QA/QC procedures (to be) applied	Standardised forms will be used for the data collection during the survey and the people responsible for conducting the survey on ground will be reasonably educated about the project. Additionally, there will be experts and reliable personnel from the project participants to oversee the overall process.
Any comment	

Data / Parameter	$P_{i,BL}$
Data unit	Watts
Description	Rated power of the baseline lighting devices of the group of "i" lighting devices (Watts)
Time of determination/monitoring	During the replacement phase.
Source of data (to be) used	Weighted Average Power Rating of the baseline ICLs as recorded during lamp distribution



Value of data applied (for ex ante calculations/determinations)	ICL of following two power rating would be replaced as part of the project activity. These are 100W (33,252 pieces) and 150W (156 pieces).
Justification of the choice of data or description of measurement methods and procedures (to be) applied	The power rating recorded on each ICL will be considered as the primary source of this data. In cases where the wattage label is not visible, a portable power meter will be used to determine the rating on the spot.
QA/QC procedures (to be) applied	Standardised forms will be used for the data collection during the distribution and the people responsible for distribution on ground will be reasonably educated about the project. Additionally, there will be experts and reliable personnel from the project participants to oversee the overall process.
Any comment	This data may be verified during the ICL utilization.

Data / Parameter	P_i, P_j
Data unit	Watts
Description	Rated power of the project lighting devices of the group of “i” lighting devices (Watts)
Time of <u>determination/monitoring</u>	During the replacement phase.
Source of data (to be) used	CFL power rating recorded during distribution.
Value of data applied (for ex ante calculations/determinations)	Project activity envisages distribution of 2 type power rating CFLs. They are 20W (33,252 pcs.) for replacement of 100W and 32W ICLs (156 pcs.) for replacement of 150W ICLs.
Justification of the choice of data or description of measurement methods and procedures (to be) applied	The power rating mentioned on the CFLs will be recorded during the lamp distribution.
QA/QC procedures (to be) applied	Standardised forms will be used for the data collection during the distribution and the people responsible for distribution on ground will be reasonably educated about the project. Additionally, there will be experts and reliable personnel from the project participants to oversee the overall process.
Any comment	This data has been checked upon CFL transfer (in accordance with the CFL transfer certificate).

Data / Parameter	O_i
Data unit	Hours
Description	Average daily operating hours of the devices of the group of “i” baseline Devices
Time of <u>determination/monitoring</u>	Monitoring during credit periods
Source of data (to be) used	The number of operation hours of lamps is fixed in the operation hours’ log. Example of the operation hours’ log can be found in supporting documents (SD-3).
Value of data applied (for ex ante calculations/determinations)	It’s of 6.86 hours per day according to the monitoring in February, 2011. During the monitoring, this value will be updated in accordance with real operating hours.
Justification of the choice of data or description of measurement methods and procedures (to be) applied	The number of operation hours within a day is determined by continuous measuring of ICL usage hours within 120 days of representation period of lighting instrument operation (in February, April, July, and October). Paper operation hours’ logs are used for these measurements. To obtain the total number of operation hours, these records will be processed and extrapolated



	for the entire project. Healthcare and educational institutions are different groups of facilities within the project. Therefore, for estimation of achieved final value of emission reduction units (ERUs), the average weighted value of operation hours will be used.
QA/QC procedures (to be) applied	To control the quality of data records by local authorities, inspections will be initiated. (The example is provided in supporting documentation SD-4).
Any comment	

Data / Parameter	EF _{CO₂,ELEC,y}
Data unit	kg CO ₂ /kW·h
Description	Specific indirect carbon dioxide emissions from electric power consumption by the 2 nd voltage class consumers ²² in 'y' year. It shows emission of GHG in CO ₂ equivalent for production and transportation electricity for consumers.
Time of determination/monitoring	Monitoring during credit periods.
Source of data (to be) used	National Environmental Investment Agency of Ukraine.
Value of data applied (for ex ante calculations/determinations)	For 2011, the value is 1.227 ²³ . During the monitoring, this value will be updated in accordance with orders of the State Environmental Investment Agency of Ukraine. For preliminary estimates, the value for 2011 is used.
Justification of the choice of data or description of measurement methods and procedures (to be) applied	No measurement required.
QA/QC procedures (to be) applied	Data will be obtained from orders of the State Environmental Investment Agency of Ukraine.
Any comment	

Ecological impact of the small-scale JI project is absent under condition of compliance of rules of handling and storage of CFLs that are indicated in section F.

D.3. Quality control (QC) and quality assurance (QA) procedures undertaken for data monitored:

Data/Parameter	QA/QC procedures to be applied:
Q _{PJ,i}	Power of replaced ICLs and their amount are confirmed by relevant certificates of completed works.
P _{i, BL}	
P _{i, PJ}	Power of installed ICLs is confirmed by certificates of completed works. Power of ICLs to be installed will be confirmed by certificates of completed works.
O _i	To ensure proper quality of records in the operation hours' logs (SD-3), the personnel responsible for operation time logging, lamp keeping and removal has been trained. To ensure quality control, a special working group performs log

²² For 2nd voltage class consumers belongs costumers and subcostumers which buy electricity in grid with voltage 27.5 kV and lower (http://www.nerc.gov.ua/control/uk/publish/article/main?art_id=84231&cat_id=34446).

²³ <http://www.neia.gov.ua/nature/doccatalog/document?id=127498>

	maintenance inspections. Please see supporting materials for inspection examples (SD-4).
EFCO2,ELEC,y	Data will be obtained following orders of the State Environmental Investment Agency of Ukraine.

D.4. Brief description of the operational and management structure that will be applied in implementing the monitoring plan:

The project coordinator “Ecosystem” is in charge of data collection and reporting. The Gorlivka administration has assembled the special working group (SWG) to oversee the project implementation. Each building supervisor/manager will have a separate task of monitoring and safeguarding the project implementation. Random inspections will be conducted by SWG in the buildings to ensure proper project implementation.

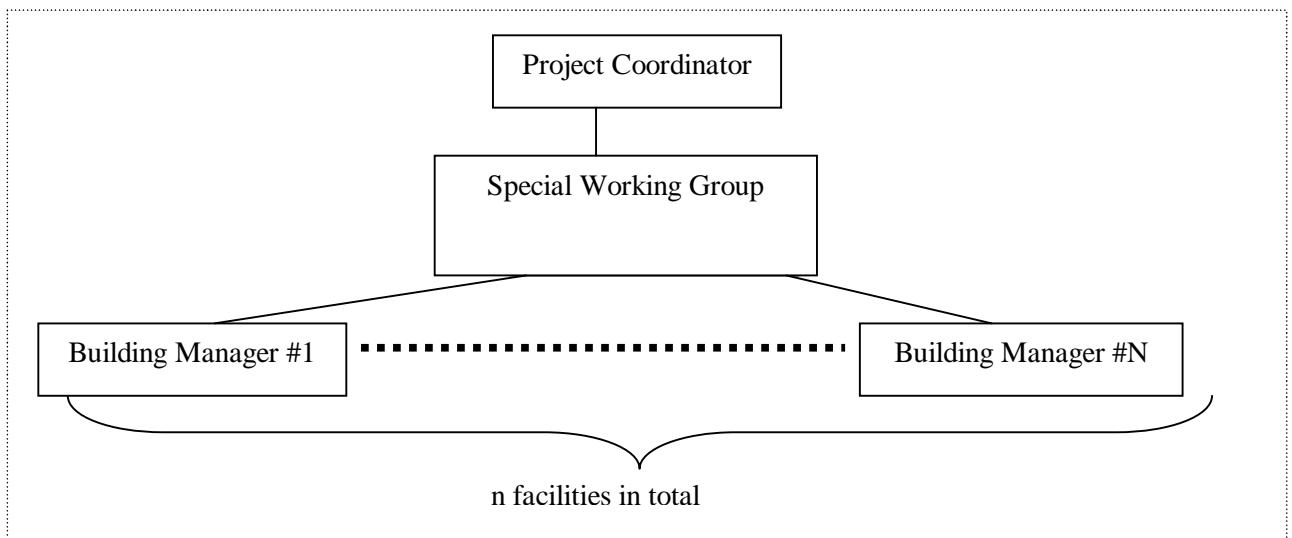


Figure D.1. Management structure



The monitoring plan and existing operational structure allow tracking GHG emission reduction by each facility, which is the advanced practice for such type projects.

A. Training and calibration

Staff involved in the project implementation and checks will be (was) trained according to the guidelines, and the training facts are fixed in the training protocols (see the supporting documentation).



B. Data storage

Monitoring data (original logs and relevant acts) will be collected in paper format and kept for at least 2 years after the crediting period. Aggregated information in Microsoft Excel format will be saved on a hard drive and kept for at least 2 years by a representative of Ecosystem after the crediting period. To ensure reliability of information storage in the electronic format, files are backed up and saved on DVD kept in the office of Ecosystem for at least 2 years after the crediting period.

D.5. Name of person(s)/entity(ies) establishing the <u>monitoring plan</u>:
--

Name of the person/entity establishing the monitoring plan:

ICF Consulting – Project's Consultant

Legal address: Sardinia House 52, Lincoln's Inn Fields, London WC2A 3LZ, UK

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With support from

Agency for Rational Use and Ecology (ARENNA-ECO) – Development of Project Documentation

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Contact person: Sergey Surnin

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Name of the person/entity responsible for the monitoring:

Innovation Center "Ecosystem"

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Tel: +38 044 498-08-87

Fax: +38 044 248-70-72

Contact person: Dmitriy Danilkin

Email: dmitriy.danilkin@ic-ecosystem.com



SECTION E. Estimation of greenhouse gas emission reductions

E.1. Estimated project emissions and formulae used in the estimation:

Please see Section D for the project GHG emissions calculation formula. In addition, supporting documentation (SD-1) includes estimates of the project GHG emissions.

Table E.1. Result for project emission for crediting period under the Kyoto Protocol

Year	Project Emissions, t CO ₂
2011	1,844
2012	2,059
Total	3,903

Table E.2. Result for project emission for late crediting period after 2012

Year	Project Emissions, t CO ₂
2013	2,059
2014	2,059
2015	2,059
2016	2,059
2017	2,059
2018	2,059
2019	2,059
2020	2,059
Total	16,472

E.2. Estimated leakage and formulae used in the estimation, if applicable:

No leakage.

E.3. Sum of E.1. and E.2.:

Since there is no applicable leakage to this project, the sum of E.1. and E.2. is equal to the value estimated in the section E.1.

E.4. Estimated baseline emissions and formulae used in the estimation:

Please see Section D for the baseline GHG emissions calculation formula. In addition, supporting documentation (SD-1) includes estimates of the baseline GHG emissions.

Table E.3. Result for baseline emission calculation for crediting period under the Kyoto Protocol

Year	Baseline Emission, t CO ₂
2011	9,217
2012	10,288
Total	19,505

Table E.4. Result for baseline emission calculation for late crediting period after 2012

Year	Baseline Emission, t CO ₂
2013	10,288
2014	10,288



2015	10,288
2016	10,288
2017	10,288
2018	10,288
2019	10,288
2020	10,288
Total	82,304

E.5. Difference between E.4. and E.3. representing the emission reductions of the project:

Table E.5. Result for Emission Reduction for crediting period under the Kyoto Protocol

Year	Emission Reduction, t CO ₂
2011	7,373
2012	8,229
Total	15,602

Table E.6. Result for Emission Reduction for late crediting period after 2012

Year	Emission Reduction, t CO ₂
2013	8,229
2014	8,229
2015	8,229
2016	8,229
2017	8,229
2018	8,229
2019	8,229
2020	8,229
Total	65,832

E.6. Table providing values obtained when applying formulae above:

Table E.7. Emission reductions for crediting period under the Kyoto Protocol

Year	Estimated <u>project</u> emissions (tonnes of CO ₂ equivalent)	Estimated <u>leakage</u> (tonnes of CO ₂ equivalent)	Estimated <u>baseline</u> emissions (tonnes of CO ₂ equivalent)	Estimated emission reductions (tonnes of CO ₂ equivalent)
2011	1,844	0	9,217	7,373
2012	2,059	0	10,288	8,229
Total (tonnes of CO ₂ equivalent)	3,903	0	19,505	15,602

Table E.8. Emission reductions for late crediting period after 2012

Year	Estimated <u>project</u> emissions (tonnes of CO ₂ equivalent)	Estimated <u>leakage</u> (tonnes of CO ₂ equivalent)	Estimated <u>baseline</u> emissions (tonnes of CO ₂ equivalent)	Estimated emission reductions (tonnes of CO ₂ equivalent)
2013	2,059	0	10,288	8,229
2014	2,059	0	10,288	8,229



2015	2,059	0	10,288	8,229
2016	2,059	0	10,288	8,229
2017	2,059	0	10,288	8,229
2018	2,059	0	10,288	8,229
2019	2,059	0	10,288	8,229
2020	2,059	0	10,288	8,229
Total (tonnes of CO ₂ equivalent)	16,472	0	82,304	65,832



SECTION F. Environmental impacts

F.1. Documentation on the analysis of the environmental impacts of the project, including transboundary impacts, in accordance with procedures as determined by the host Party:

On average every CFL contains about 5 mg of mercury that may have undesirable ecological effect if it is emitted into the environment. CFLs should be used and kept according to state sanitary rules and regulations “Hygienic requirements to industrial waste management and determination of population health hazard class” (DSanPiN 2.2.7.029-99)²⁴. At the same time, the Project participants will manage facilities where lamps are installed and adhere to current standards to prevent from environmental pollution with mercury.

The Project participants will support the efficient collection and disposal of failed CFLs in accordance with the current environmental standards, namely, keep CFLs in an iron air-proof box which can be accessed only by a person responsible for keeping. Failed CFLs will be delivered from facilities to departments of education or healthcare of town council and than to the owner, Carbon Futures, for proper utilization. Innovation Center "Ecosystem" will coordinate whole process of utilization.

Transboundary impacts are absent because the project aims to reduce electricity consumption and direct emissions of GHG are absent.

F.2. If environmental impacts are considered significant by the project participants or the host Party, provision of conclusions and all references to supporting documentation of an environmental impact assessment undertaken in accordance with the procedures as required by the host Party:

The project participants are not required to perform the Environmental Impact Assessment (EIA) according to the Ukrainian law, in particular, Article 27 of the Law of Ukraine On Environmental Protection²⁵, Article 14 of the Law of Ukraine On Environmental Expertise²⁶, DBN A.2.2.-1-2003 Content and Structure of the Environmental Impact Assessment (EIA) Materials upon Designing and Construction of Enterprises, Buildings and Facilities²⁷, DBN A.2.2.-3-2004 Content, Development Procedure, Agreement and Approval of Construction Project Documentation²⁸.

This project complies with requirements of Articles 1, 3, 40, and 51 of the Law of Ukraine On Environmental Protection²⁹, and as a result, requirements of the environmental legislation of Ukraine.

²⁴ <http://3umf.com/doc/5421/>

²⁵ <http://zakon.rada.gov.ua/cgi-bin/laws/main.cgi?nreg=1264-12>

²⁶ <http://zakon.rada.gov.ua/cgi-bin/laws/main.cgi?nreg=45%2F95-%E2%F0>

²⁷ <http://www.proxima.com.ua/dbn/articles.php?clause=6>

²⁸ <http://www.proxima.com.ua/dbn/articles.php?clause=22>

²⁹ <http://zakon.rada.gov.ua/cgi-bin/laws/main.cgi?nreg=1264-12>



SECTION G. Stakeholders' comments

G.1. Information on stakeholders' comments on the project, as appropriate:

The project information was published on the web-site of the Innovation Center "Ecosystem" and presented by the news program of the local channel, 6th Channel. Currently, on the stage of determination comments of stakeholders are not received.



Annex 1

CONTACT INFORMATION ON PROJECT PARTICIPANTS

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URL:	
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Title:	Mayor
Salutation:	Mr.
Last name:	Klep
Middle name:	Viktorovych
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Represented by:	
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Direct e-mail:	tony@carbon-futures.org



Annex 2

BASELINE INFORMATION

Baseline information can be found in Sections B, D.1, and E.4.



Annex 3

MONITORING PLAN

Please see Section D for the monitoring plan.



Annex 4
**BUDGET AND SOCIAL FACILITIES OF GORLIVKA TOWN WHERE ICLS HAVE BEEN
REPLACED WITH CFLS**

#	Name of Facility	Address of Facility
Educational Facilities of Tsentralno-Miskiy District		
1	School #1	3 Peremogy ave.
2	School #3, Gorlivka Town Council, Donetsk Region	1 Poltavska str.
3	School #4, Gorlivka Town Council, Donetsk Region	32 Komsomolska str.
4	School #8, Gorlivka Town Council, Donetsk Region	61 Peremogy ave.
5	School #14, «Lider», Gorlivka Town Council, Donetsk Region	31 Gertsena str.
6	School #16, Gorlivka Town Council, Donetsk Region	61 Gagarina str.
7	School #17, Gorlivka Town Council, Donetsk Region	17 Fizkulturna str.
8	School #18, Gorlivka Town Council, Donetsk Region	8 Spasenova str.
9	School #19, Gorlivka Town Council, Donetsk Region	4 Serdukova str.
10	Kindergarten #1-School #31, Gorlivka Town Council, Donetsk Region	130A Lenina ave.
11	School #35, Gorlivka Town Council, Donetsk Region	28 Artema str.
12	School #36, Gorlivka Town Council, Donetsk Region	51 Geroiv Stalingradu str.
13	School #38, Gorlivka Town Council, Donetsk Region	26 Gurova str.
14	School #40, Gorlivka Town Council, Donetsk Region	44 Ostapenka str.
15	School #54, Gorlivka Town Council, Donetsk Region	74 Ostapenka str.
16	School #55, Gorlivka Town Council, Donetsk Region	60 Lenina ave.
17	Ozeryanivska's School #58, Gorlivka Town Council, Donetsk Region	1 Glebova str.
18	School #65, Gorlivka Town Council, Donetsk Region	14 Ostapenka str.
19	School #74, Gorlivka Town Council, Donetsk Region	22 Vedenina str.
20	School #73, Gorlivka Town Council, Donetsk Region	9 Kommsomolska str.
21	School #85, Gorlivka Town Council, Donetsk Region	8 Chornogo-Didenka str.
22	Junior Sport School #1	1 Mariupolska str.
23	Junior Sport School #2	6B Sherbakova str.
24	Gorlovka's palace for children and teenagers	76 Dymytrova blvd.
25	MUPK	48 Gagarina str.
26	SUT	4 Internatsionalna str.



27	Gorlovka's Teacher's City Hall	29 Pershotravneva str.
28	TsTKE	111 Nesterova str.
29	VSSh #1, Gorlivka Town Council, Donetsk Region	22 Vrubova str.
30	Nursery school #2 , Gorlivka Town Council	89A Peremogy ave.
31	Nursery school #3, Gorlivka Town Council	27Rudakova str.
32	Nursery school #8, Pantelemonivska Village Council	2A Parkova str.
33	Nursery school #9, Gorlivka Town Council	44 Gagarina str.
34	Nursery school #11, Gorlivka Town Council, Donetsk Region	27 Pershotravneva str.
35	Nursery school #12, Gorlivka Town Council	36A Rudakova str.
36	Nursery school #13, Gorlivka Town Council	25A Gertsena str.
37	Nursery school #14, Gorlivka Town Council	111 Nesterova str.
38	Nursery school #15	8 Ostapenka str.
39	Nursery school #23, Gorlivka Town Council	23 Fizkulturna str.
40	Nursery school #26, Pantelemonivska Village Council	32A Vinogradna str.
41	Nursery school #30, Gorlivka Town Council	49 Rudakova str.
42	Nursery school #32, Gorlivka Town Council	60A Gagarina str.
43	Nursery school #38, Gorlivka Town Council	6 Komsomolska str.
44	Nursery school #43, Pantelemonivska Village Council	29 Karla Marksa str.
45	Nursery school #45, Pantelemonivska Village Council	1 Chista str.,
46	Nursery school #94, Gorlivka Town Council	46A Komsomolska str.
47	Nursery school #98, Gorlivka Town Council	108 Peremogy ave.
48	Nursery school #102, Gorlivka Town Council	13A Pushkinska str.
49	Nursery school #116	154A Lenina ave.
50	Nursery school #127, Gorlivka Town Council	29A Komsomolska str.
51	Nursery school #129	65 Minska str.
52	Department of Education of the City Council	41 Dymytrova blvd.
53	Centre of outside education, Gorlivka	48A Dymytrova blvd.
Educational Facilities of Kalyininskiy District		
1	School #5, Gorlivka Town Council, Donetsk Region	49 Umova str.
2	School #13, Gorlivka Town Council, Donetsk Region	4 Sherbakova str.
3	School "Intelekt", Gorlivka Town Council, Donetsk Region	34 Malynycha str.
4	School #21, Gorlivka Town Council, Donetsk Region	7 Pedagogichna str.



5	School #22, Gorlivka Town Council, Donetsk Region	66 40-rokiv Ukrainy str.
6	School #23, Gorlivka Town Council, Donetsk Region	6 Bitymna str.
7	School #28, Gorlivka Town Council, Donetsk Region	46 Pivnichnodonetska str.
8	School #29, Gorlivka Town Council, Donetsk Region	43 Maykopska str.
9	School #33, Gorlivka Town Council, Donetsk Region	16/18 Gurtyanska str.
10	School #41, Gorlivka Town Council, Donetsk Region	32 Malynycha str.
11	School #49, Gorlivka Town Council, Donetsk Region	21 Nemyrovycha-Danchenka str.
12	School #50, Gorlivka Town Council, Donetsk Region	15 Sokolovskogo str.
13	School #52, Gorlivka Town Council, Donetsk Region	4 Grechneva str.
14	School #53, Gorlivka Town Council, Donetsk Region	10 Rogozina str.
15	School #59, Gorlivka Town Council, Donetsk Region	1 Shakhtarska str.
16	School #60, Gorlivka Town Council, Donetsk Region	14 Gorlyvskoy Divizii str.
17	School #62, Gorlivka Town Council, Donetsk Region	6 Krasnosilska str.
18	School #86, Gorlivka Town Council, Donetsk Region	22 Shvydkogo str.
19	Boarding School #4, Gorlivka Town Council, Donetsk Region	16 Nemyrovycha-Danchenka str.
20	MUPK	5 30-rokiv VLKSM str.
21	Orphanage "Nadiya"	15 Voznyaka str.
22	BDT	31 Bezsonova str.
23	Nursery school #75, Gorlivka Town Council	48 Malynycha str.
24	Nursery school #82, Gorlivka Town Council	19 Medytsynska str.
25	Nursery school #86, Gorlivka Town Council	45 Makykhy str.
26	Nursery school #87, Gorlivka Town Council	24A Malynycha str.
27	Nursery school #88, Gorlivka Town Council	32 Bezsonova str.
28	Nursery school #90, Gorlivka Town Council	88 Gorlyvskoy Divizii str.
29	Nursery school #91, Gorlivka Town Council	7 Brativ Mazikovykh str.
30	Nursery school #100, Gorlivka Town Council	30 Chycherina str.
31	Nursery school #109, Gorlivka Town Council	40 Paustovskogo str.
32	Nursery school #110, Gorlivka Town Council	1 Akimova str.
33	Nursery school #114, Gorlivka Town Council	26 Nogyna str.
34	Nursery school #115, Gorlivka Town Council	1 Aktyvna str.
35	Nursery school #118, Gorlivka Town Council	14 Peresipkina str.
36	Nursery school #121, Gorlivka Town Council	39 Malynycha str.
37	Nursery school #138, Gorlivka Town Council	2 Kotova str.



38	Nursery school #140, Gorlivka Town Council	7 Labuzova str.
39	Nursery school #142, Gorlivka Town Council	3 Medytsynska str.
40	Nursery school #146, Gorlivka Town Council	12 Grechneva str.
41	Nursery school #147, Gorlivka Town Council	70 40-rokiv Ukrainy str.
Educational Facilities of Mykitivskiy District		
1	School #6, Gorlivka Town Council, Donetsk Region	9 Pereyaslavska str.
2	School #7, Gorlivka Town Council, Donetsk Region	10 Rtutna str.
3	School #10, Gorlivka Town Council, Donetsk Region	34 Komarova str.
4	School #12, Gorlivka Town Council, Donetsk Region	2 Dubinina str.
5	School #15, Gorlivka Town Council, Donetsk Region	28 Bakshaeva str.
6	School #25, Gorlivka Town Council, Donetsk Region	10 Olenina str.
7	School #30, Gorlivka Town Council, Donetsk Region	73 Chernyakhovskogo str.
8	School #42, Gorlivka Town Council, Donetsk Region	12 Tupoleva str.
9	School #47, Gorlivka Town Council, Donetsk Region	229 Lenina ave.
10	School #48, Gorlivka Town Council, Donetsk Region	63 Stozhka str.
11	School #68, Gorlivka Town Council, Donetsk Region	1 Novatoriv str.
12	School #77, Gorlivka Town Council, Donetsk Region	67 Radyanskoj Armij str.
13	School #84, Gorlivka Town Council, Donetsk Region	39 Fadeeva str.
14	Inter-School Workshop, Gorlivka	144 Chernyakhovskogo str.
15	Junior Sport School #3, Gorlivka	2 Bolotnikova str.
16	Nursery school # 4, Gorlivka Town Council	11 Dokuchaeva str.
17	Nursery school # 5, Gorlivka Town Council	20 Komarova str.
18	Nursery school #51, Gorlivka Town Council	4A Pereyaslovka str.
19	Nursery school #52, Gorlivka Town Council	14 G. Geine str.
20	Nursery school #57, Gorlivka Town Council	12 Zhukovskogo lane
21	Nursery school #64, Gorlivka Town Council	3 Borysoglebska str.
22	Nursery school #74, Zaitsivsk Village Council	11 Zagorskogo str.
23	Nursery school #77, Golmivsk Village Council	1A Zagorodnya str., Golmivskiy
24	Nursery school #125, Golmivsk Village Council	23A Likarnyana str., Golmivskiy
25	Nursery school #133, Gorlivka Town Council	11 Kazartseva str.
26	Nursery school #134, Gorlivka Town Council	21A Bolotnykova str.
27	Nursery school #135, Gorlivka Town Council	15A Mayorska str.
28	Nursery school #148, Gorlivka Town Council	1 Dubinina str.



Healthcare Facilities:		
1	Hospital #1, Gorlivka, Donetsk Region	8 Vrubova str.
2	Hospital #2, Gorlivka, Donetsk Region	26 Lenina ave.
3	Hospital #3, Gorlivka, Donetsk Region	39 Polytekhnichna str.
4	Polyclinic #4, Gorlivka, Donetsk Region	3 Brativ Mazikovykh str.
5	Polyclinic #8	51 Chernyakhovskogo str.
6	Local Medical Unit "Family and Health"	26A Lenina ave.
7	Ambulance station	4 Rudakova str.
8	Town Dental Clinic	24 Ostapenka str.
9	Town Children's Dental Clinic	19 Gertsena str.
10	Town Dental Clinic #4	16 Peresyphkina str.

Owner of all indicated facilities is Gorlivka Town Council. Contacts are provided below:

EDRPOU: 04052749

KVED: 75.11.2 managing of districts, towns, districts in towns

Address: Gorlivka, Donetsk oblast, 67 Peremogy ave., 84646

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Contact person position: Mayor

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